

# Axion mass in antiferromagnetic insulators

Koji Ishiwata

Kanazawa University

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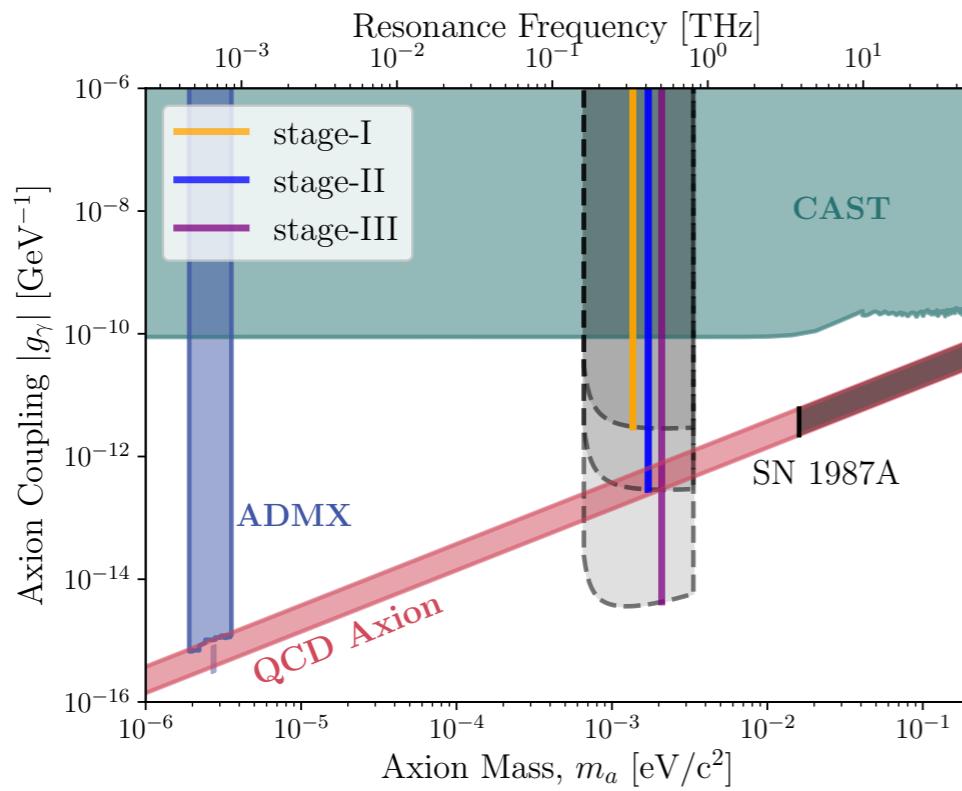
# **1. Introduction**

# Axion in condensed matter physics

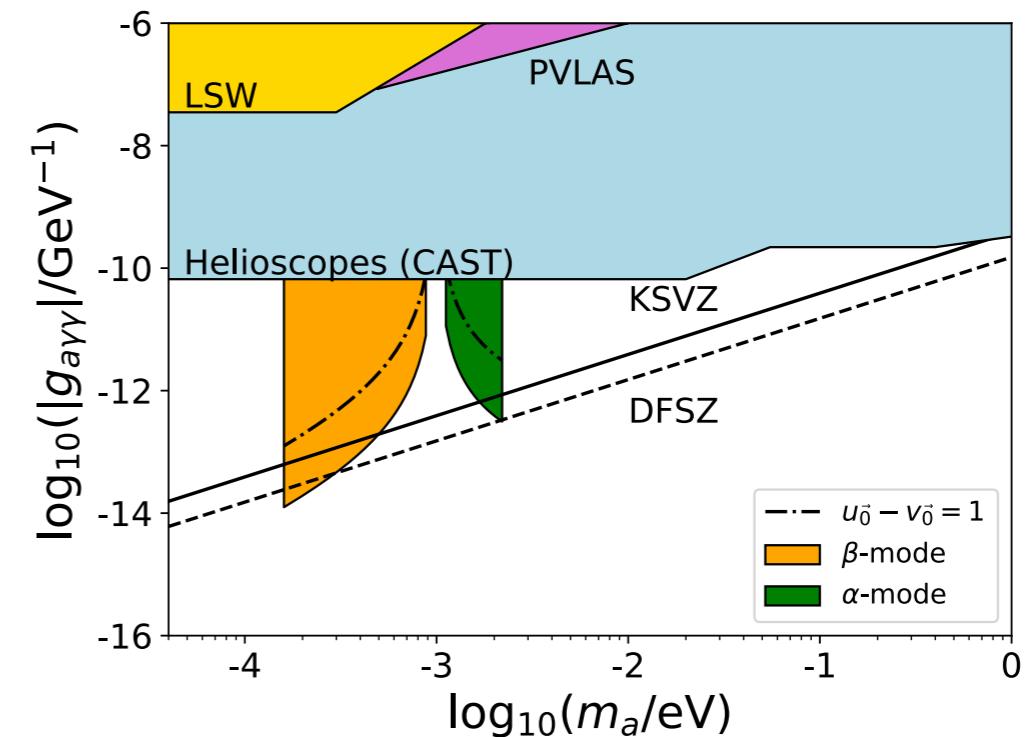
A hot topic and relates to

- Topological insulator
- Axion insulator
- Magnetoelectric effect
- Ferromagnetism / Antiferromagnetism
- Magnon
- It may be used in *particle* axion detection

# Proposals to detect axion/axion-like particles (ALPs)



Marsh et al. '19



Chigusa et al. '21

Topological magnetic insulators are used

# Dynamical axion is predicted in topological magnetic insulators

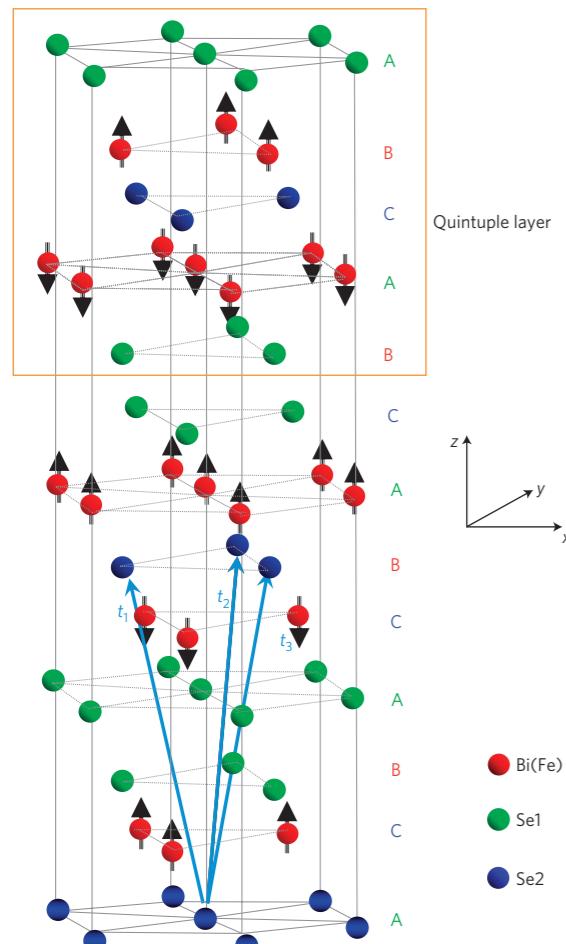
ARTICLES

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nature  
physics

## Dynamical axion field in topological magnetic insulators

Rundong Li<sup>1</sup>, Jing Wang<sup>1,2</sup>, Xiao-Liang Qi<sup>1</sup> and Shou-Cheng Zhang<sup>1\*</sup>



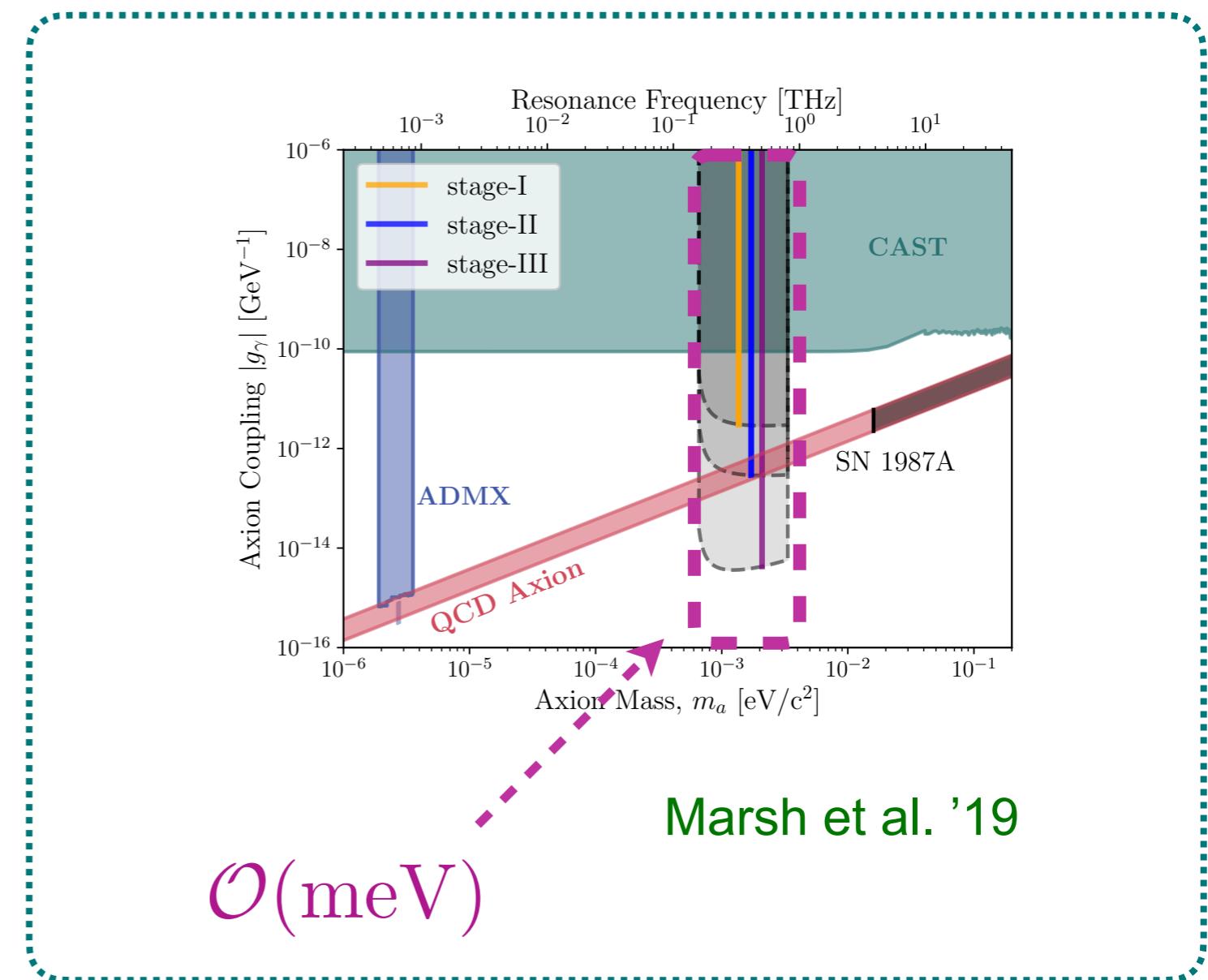
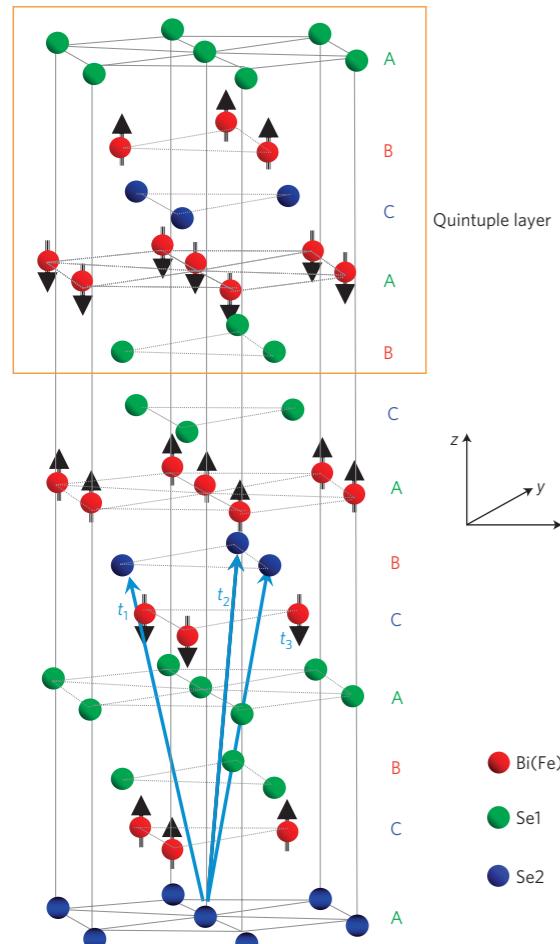
$$\begin{aligned} \mathcal{S}_{\text{tot}} &= \mathcal{S}_{\text{Maxwell}} + \mathcal{S}_{\text{topo}} + \mathcal{S}_{\text{axion}} \\ &= \frac{1}{8\pi} \int d^3x dt \left( \epsilon E^2 - \frac{1}{\mu} B^2 \right) + \boxed{\frac{\alpha}{4\pi^2} \int d^3x dt (\theta_0 + \delta\theta) E \cdot B} \\ &\quad + g^2 J \int d^3x dt [(\partial_t \delta\theta)^2 - (\nu_i \partial_i \delta\theta)^2 - m^2 \delta\theta^2] \end{aligned} \quad (4)$$

Axion mass  $\sim \mathcal{O}(\text{meV})$

$\text{Bi}_2\text{Se}_3$  ← Topological insulator

# Dynamical axion field in topological magnetic insulators

Rundong Li<sup>1</sup>, Jing Wang<sup>1,2</sup>, Xiao-Liang Qi<sup>1</sup> and Shou-Cheng Zhang<sup>1\*</sup>



Today, I would like to address

- What is topological insulator?
- How does antiferromagnetism play a role?
- How is axion in condensed matter described?

Method: Path integral (partition function)

Results: Axion mass depends on the state of insulators

## Plan to talk

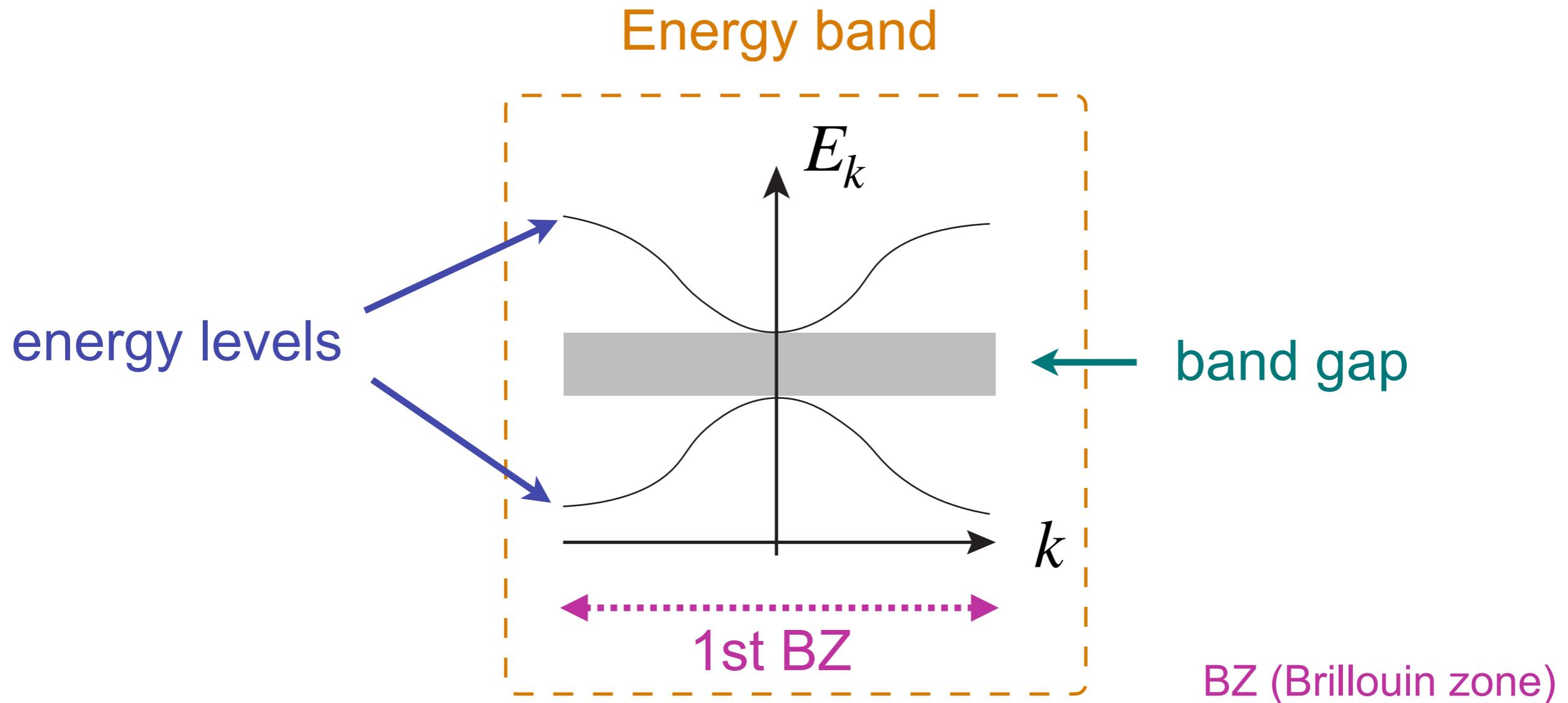
1. Introduction
2. Brief review of condensed matter physics (related to axion)
3. Axion in antiferromagnetic topological insulators
4. Conclusions and discussion

## **2. Brief review of condensed matter physics (related to axion)**

## Topics related to axion in condensed matter physics

- a). Insulators
- b). Anomalous quantum Hall effect
- c). Topological insulators
- d). Magnetoelectric effect

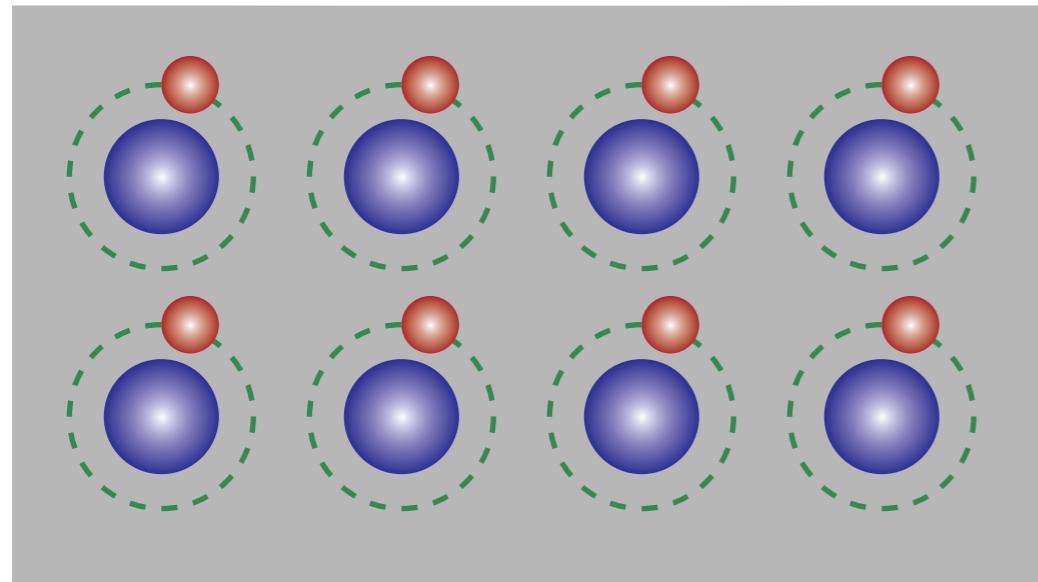
## Minimum basics



The region where there is no energy level is called **band gap**  
(important for insulators)

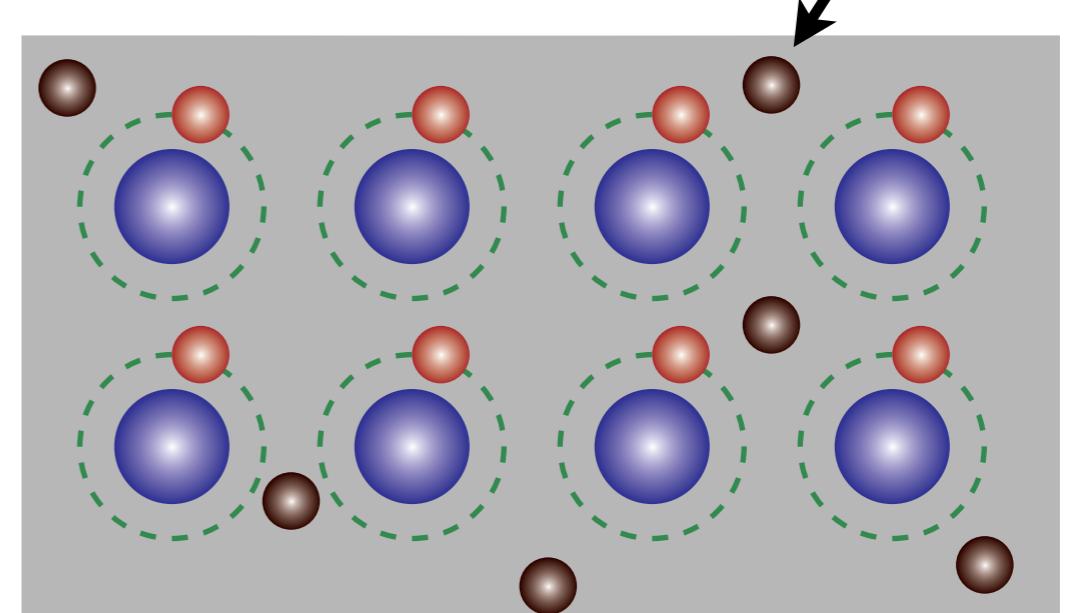
## Insulators (and metals)

Insulator



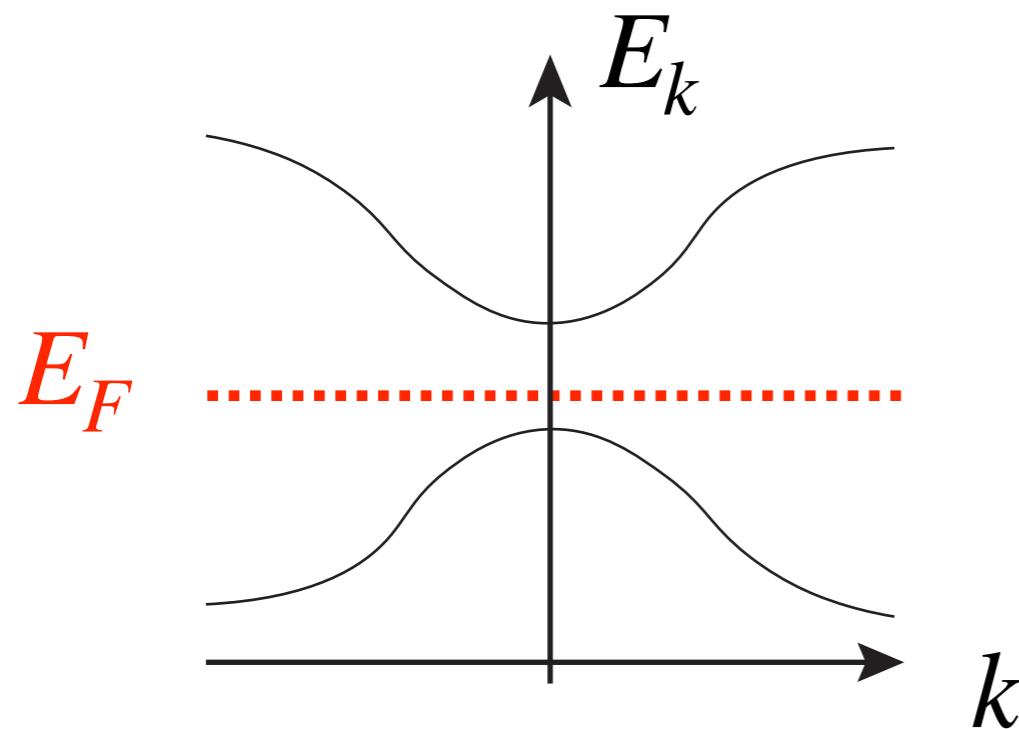
All electrons are tightly bound by nucleus

Metal

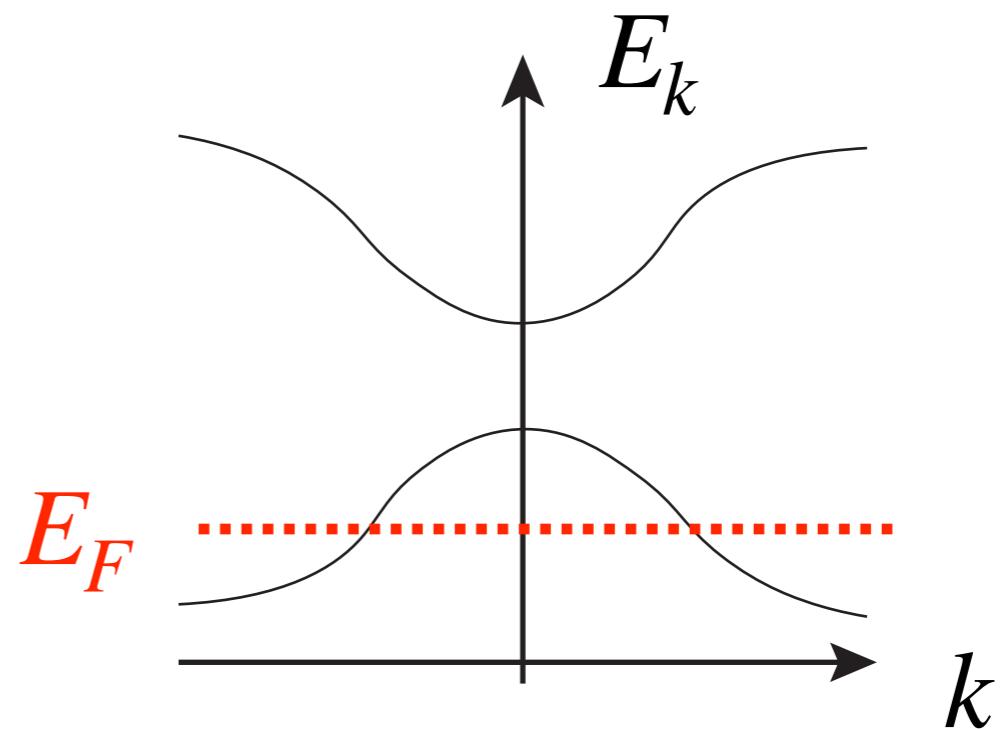


Free electrons exist

Insulator



Metal



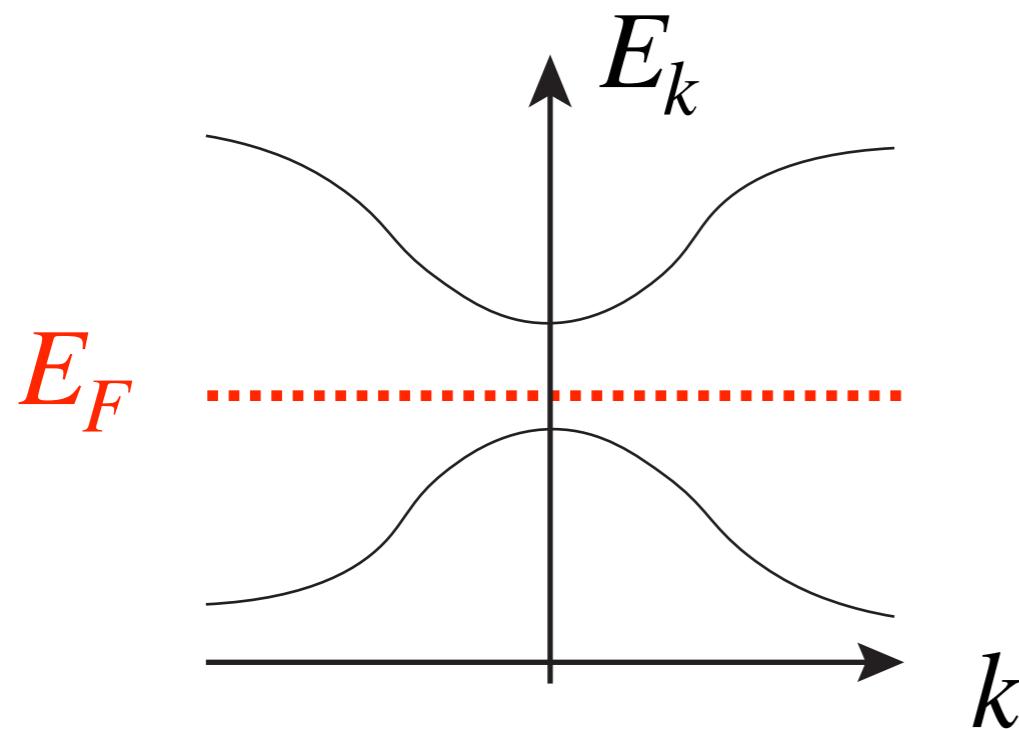
Fermi energy (level) is in the band gap

Fermi energy (level) is in the band

$E_F$  : Fermi energy

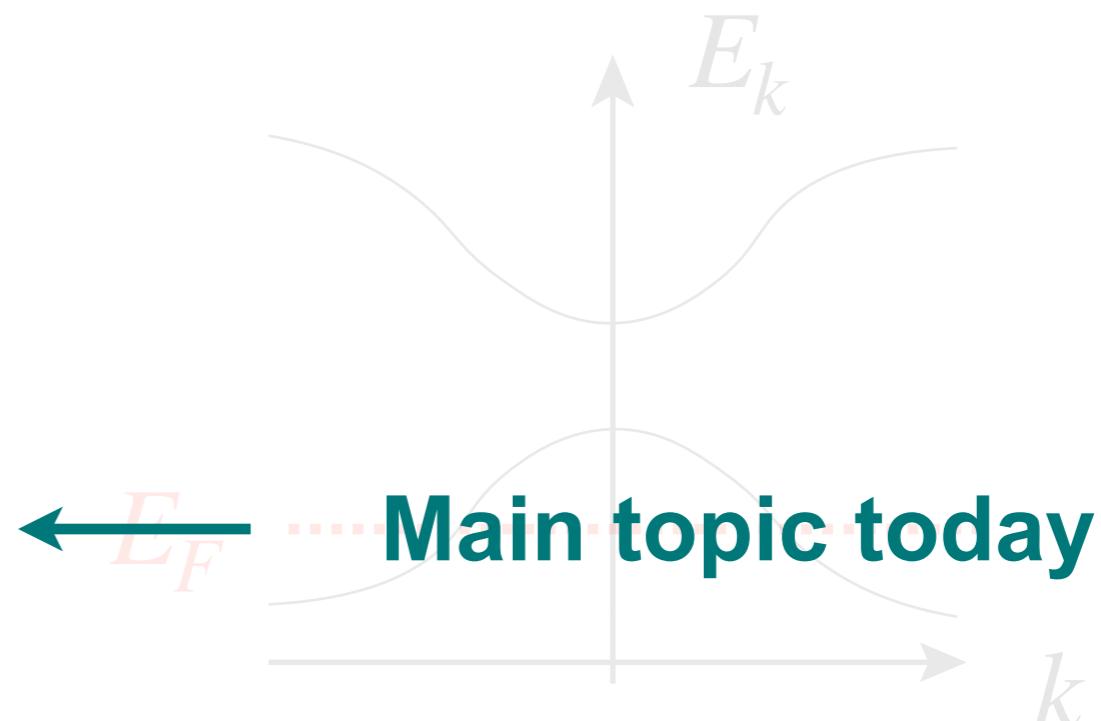
Electrons around the Fermi energy determines the features of the materials

## Insulator



Fermi energy (level) is in the band gap

## Metal



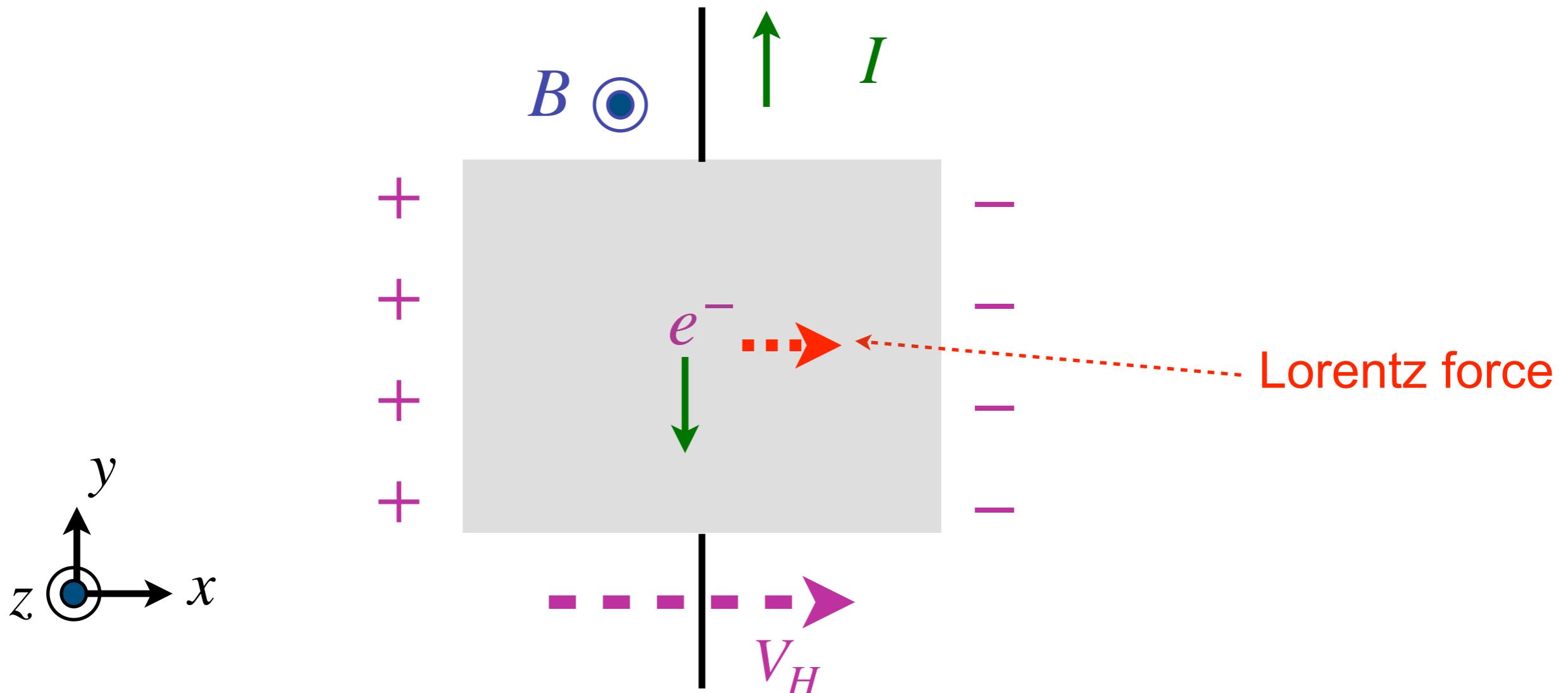
Fermi energy (level) is in the band

$E_F$  : Fermi energy

Electrons around the Fermi energy determines the features of the materials

## Hall effect

e.g., semiconductor



Electromotive force  $V_H$  is induced in the direction perpendicular to both the electric current and magnetic field

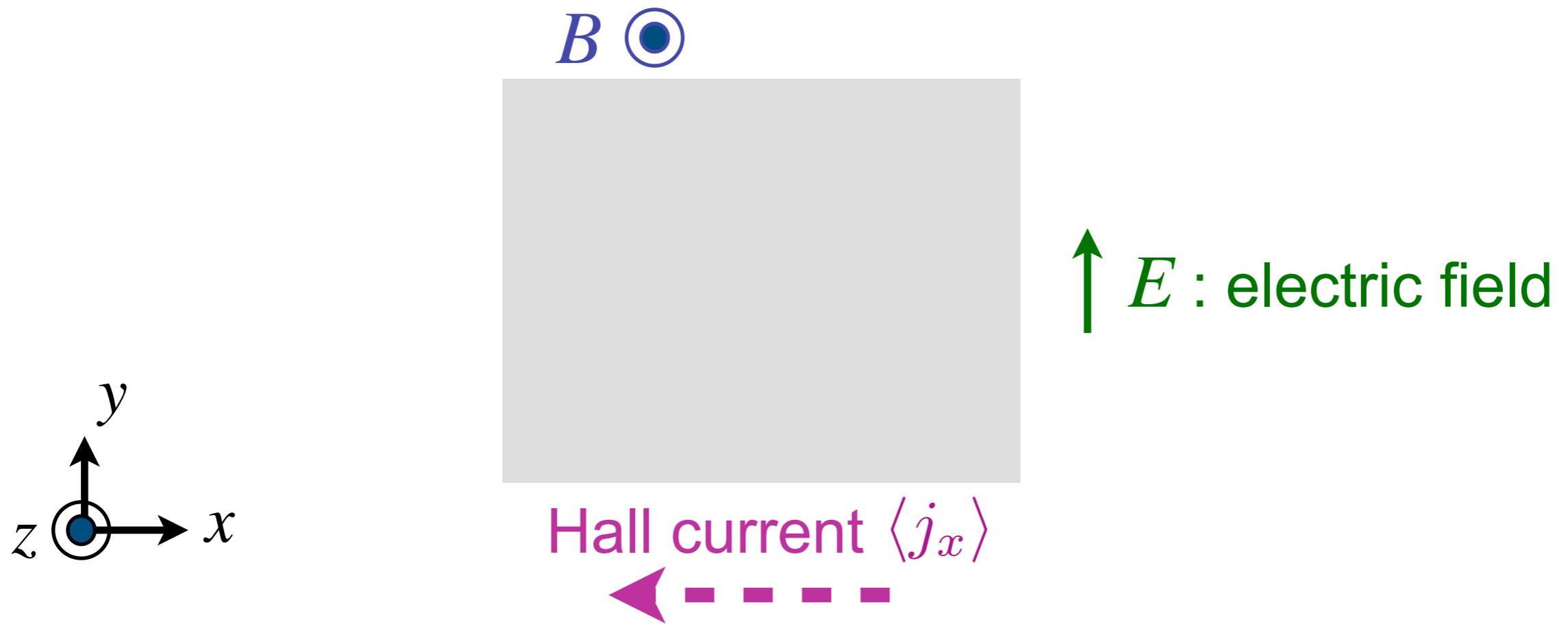
(In metal,  $V_H$  is too small to observe)

b). Anomalous quantum Hall effect

## Quantum Hall (QH) effect

e.g., 2D insulator

QH effect appears in semiconductor too



*Quantized electric current is induced in  $x$  direction*

$\langle j_x \rangle$  : current density in  $x$  direction

It seems weird but there was theoretical prediction:

Hall conductivity:

$$\sigma_{xy} \equiv \langle j_x \rangle / E = \nu \frac{e^2}{h}$$

*TKNN formula*

Thouless, Kohmoto, Nightingale, den Nijs '82

$$\nu \equiv \sum_n \int_{\text{BZ}} \frac{d^2 k}{2\pi} [\nabla_{\boldsymbol{k}} \times \mathbf{a}_n(\boldsymbol{k})]_z$$
$$\mathbf{a}_n(\boldsymbol{k}) \equiv -i \langle u_{n\boldsymbol{k}} | \frac{\partial}{\partial \boldsymbol{k}} | u_{n\boldsymbol{k}} \rangle$$

$|u_{n\boldsymbol{k}}\rangle$  : Bloch state

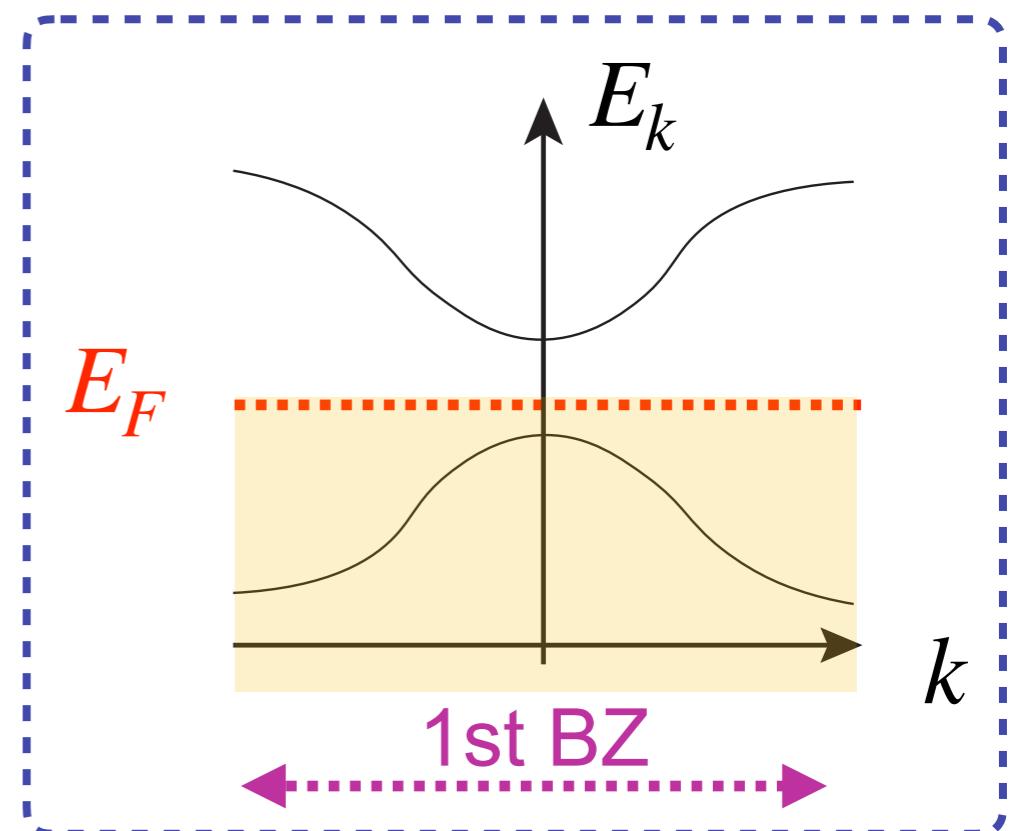
$n$  : label of band

For insulators

$$\nu \equiv \sum_n \int_{\text{BZ}} \frac{d^2 k}{2\pi} [\nabla_{\boldsymbol{k}} \times \boldsymbol{a}_n(\boldsymbol{k})]_z$$



integral over 1st BZ



→  $\nu$  is given by an integer

“(Integer) QH effect”

e.g.,

$$H = \sum_{a=1}^3 d^a \sigma^a \quad \text{with}$$

$$\begin{aligned}d^1 &= \sin k_x \\d^2 &= \sin k_y \\d^3 &= m + 2 - \cos k_x - \cos k_y\end{aligned}$$

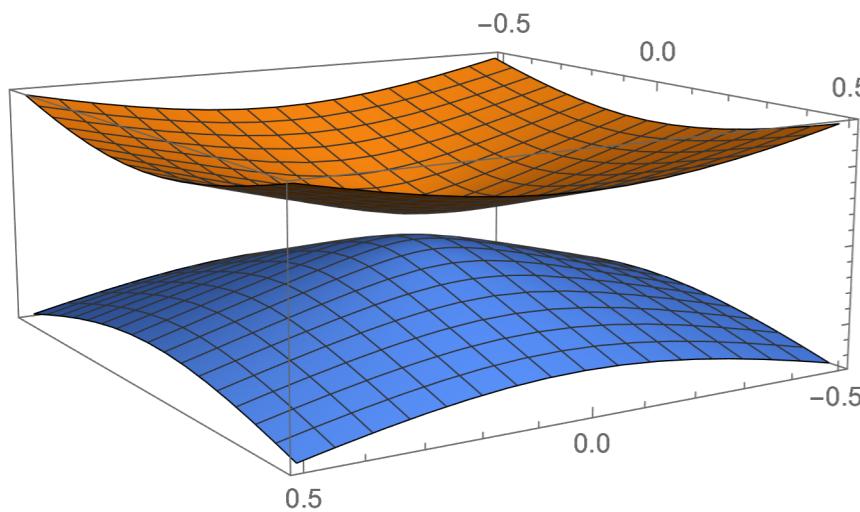
→ Two eigenvalues:  $\pm \sqrt{\sum_{a=1}^3 (d^a)^2}$

$$H \simeq \begin{pmatrix} m & k_x - ik_y \\ k_x + ik_y & -m \end{pmatrix}$$

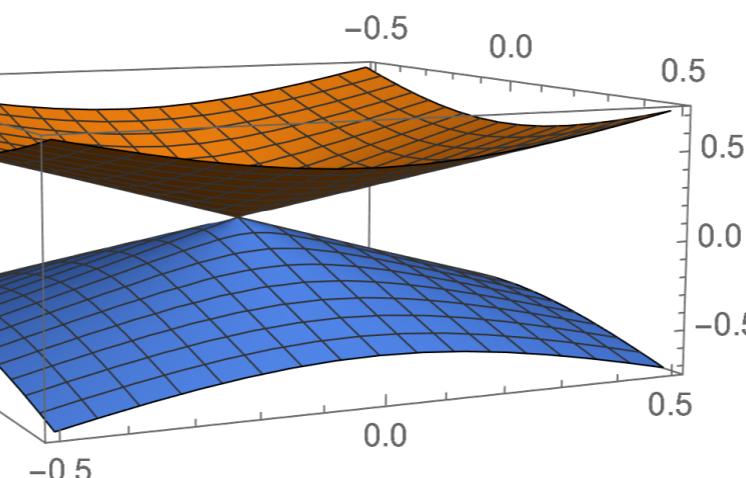
around  $k = 0$

## The band structure

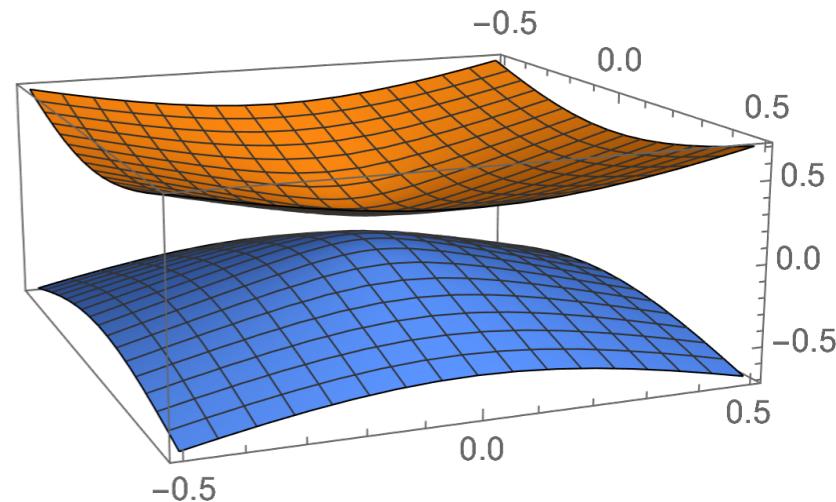
$m = 0.1$



$m = 0$

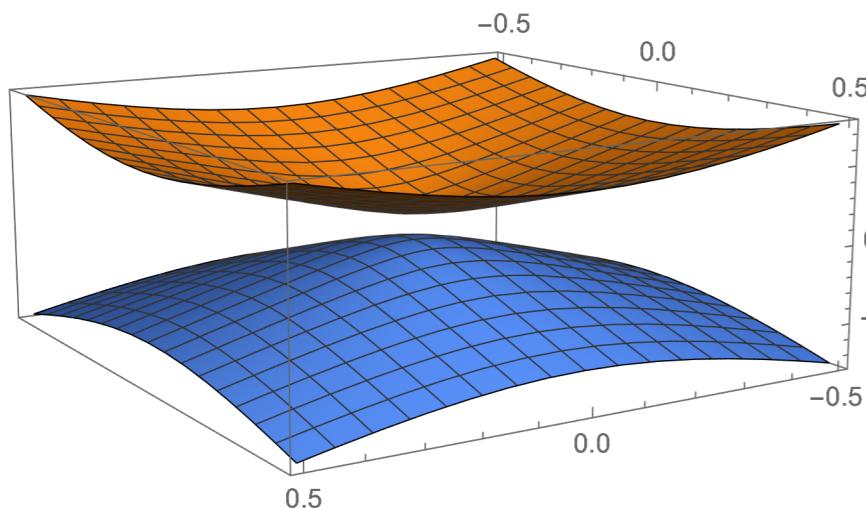


$m = -0.1$

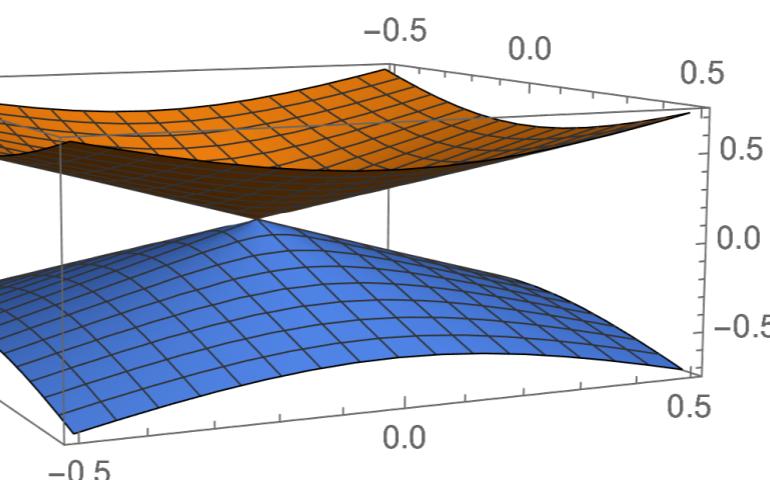


## The band structure

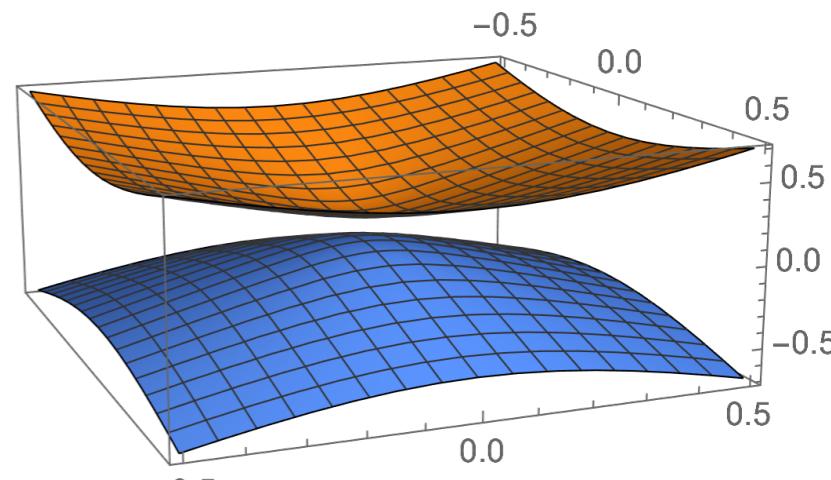
$m = 0.1$



$m = 0$



$m = -0.1$



$\nu = 0$

Normal insulator

Band inversion  
around  $k=0$

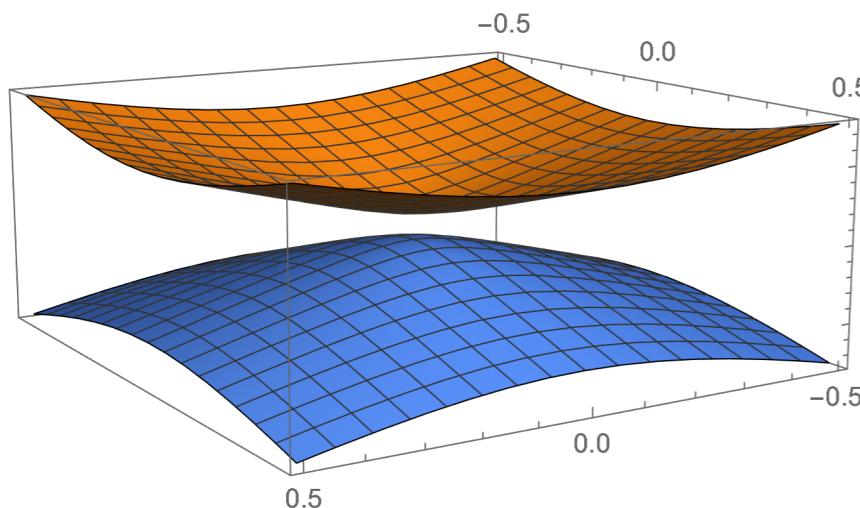
$\nu = 1$

QH insulator

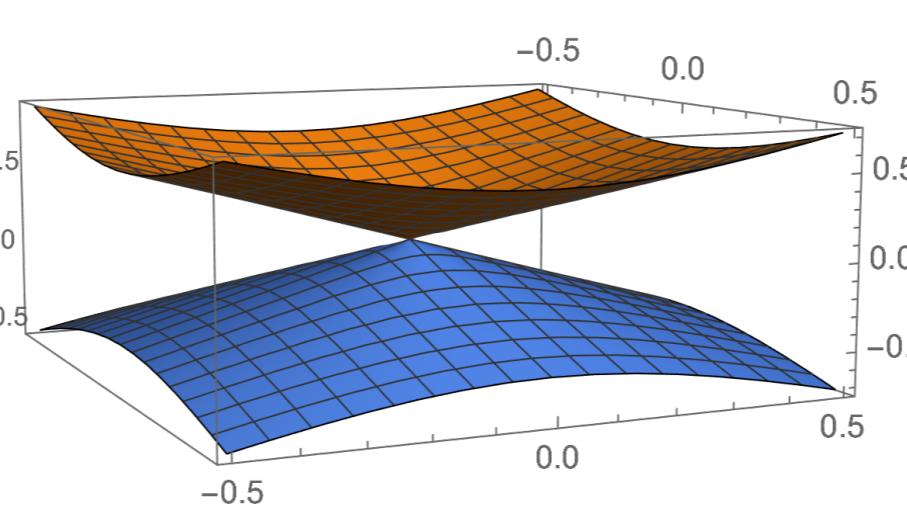


## The band structure

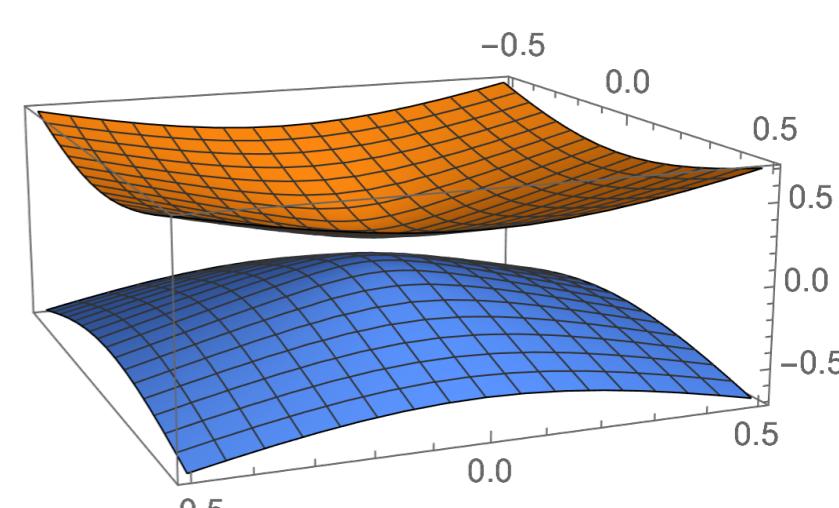
$m = 0.1$



$m = 0$



$m = -0.1$



$\nu = 0$

Normal insulator

Band inversion  
around  $k=0$

$\nu = 1$

QH insulator

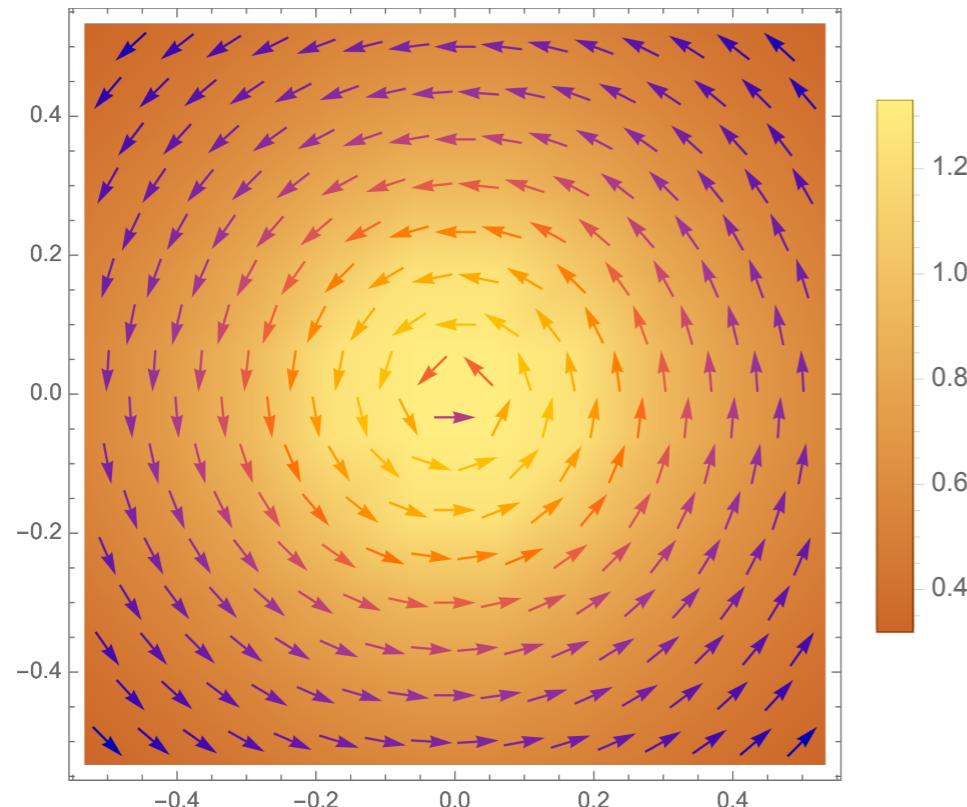
$$H \simeq \begin{pmatrix} m & k_x - ik_y \\ k_x + ik_y & -m \end{pmatrix}$$

around  $k = 0$

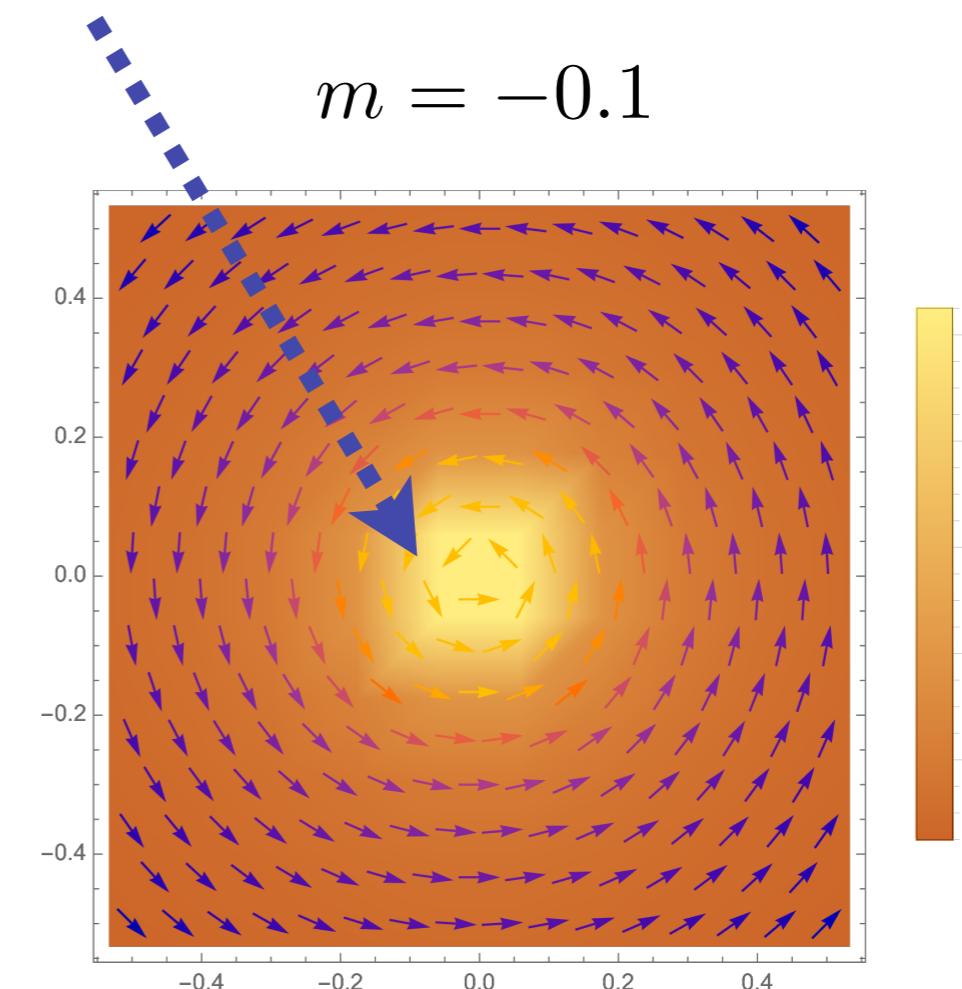
b). Anomalous quantum Hall effect

$a(\mathbf{k})$

$m = 0.1$



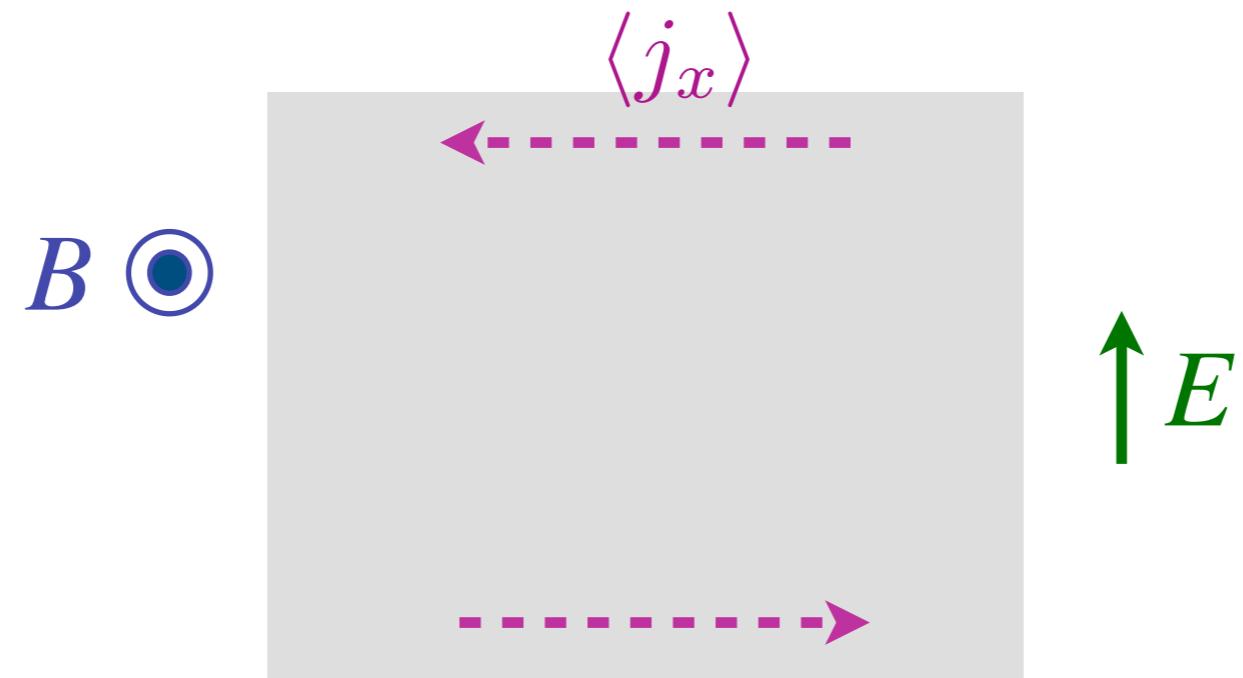
Singular at  $\mathbf{k} = 0$



→ Integer  $\nu$

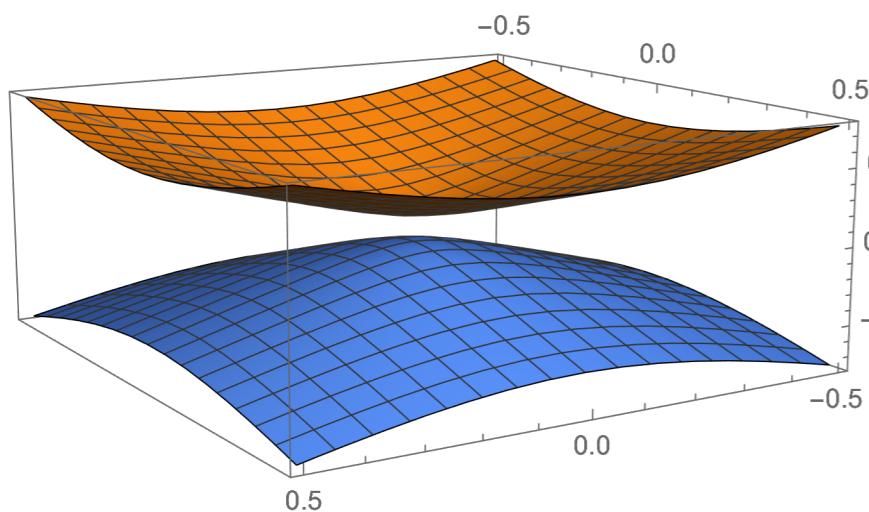
Note:  $\nu$  is half-integer if the dispersion relation is Dirac type

The current flows at the edge

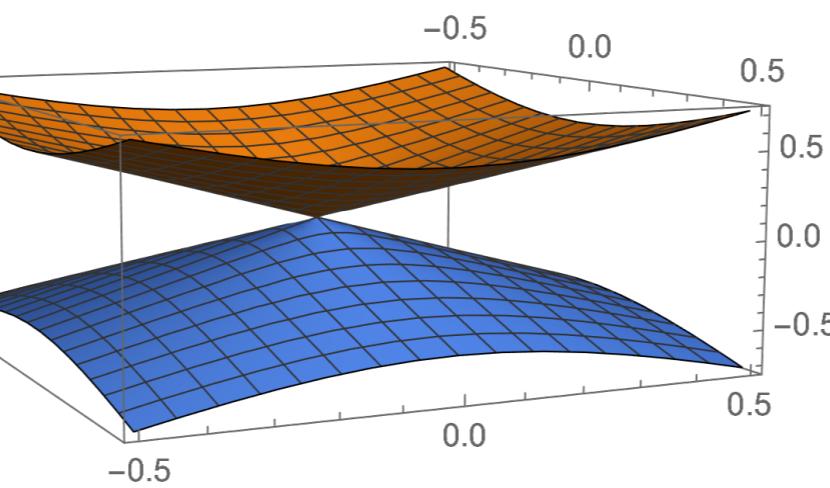


## The band structure (bulk)

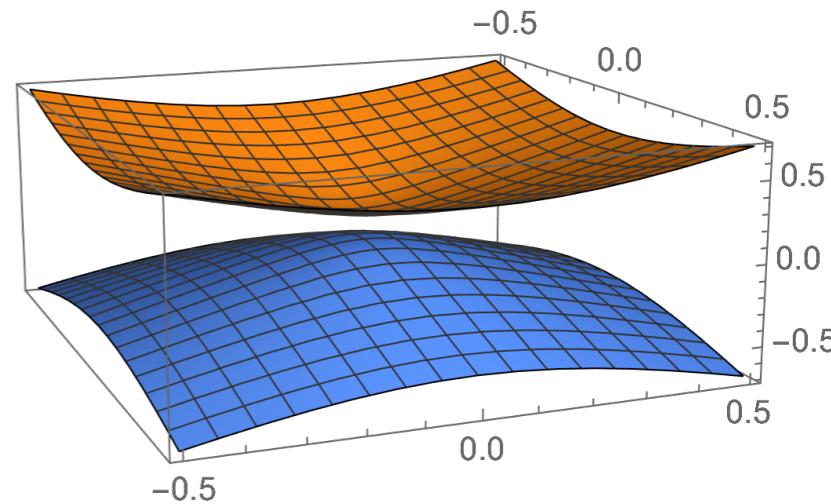
$m = 0.1$



$m = 0$



$m = -0.1$



$\nu = 0$

Normal insulator

$\nu = 1$

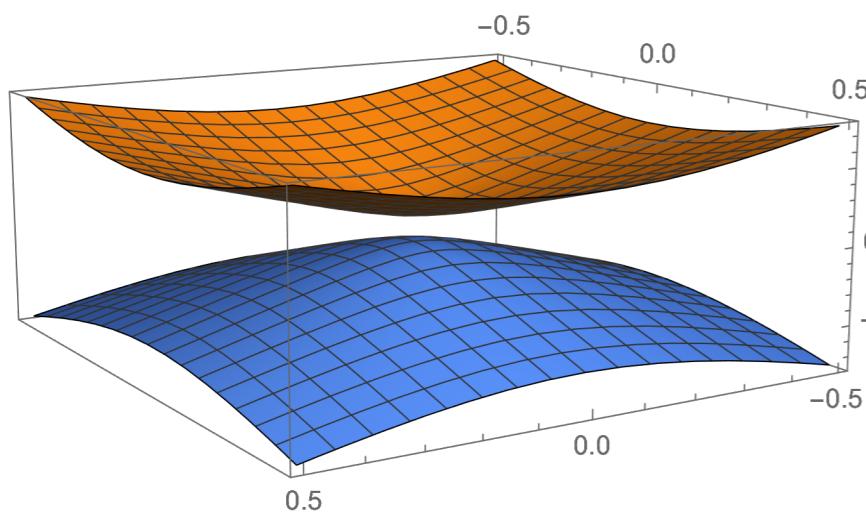
QH insulator

Band inversion  
around  $k=0$

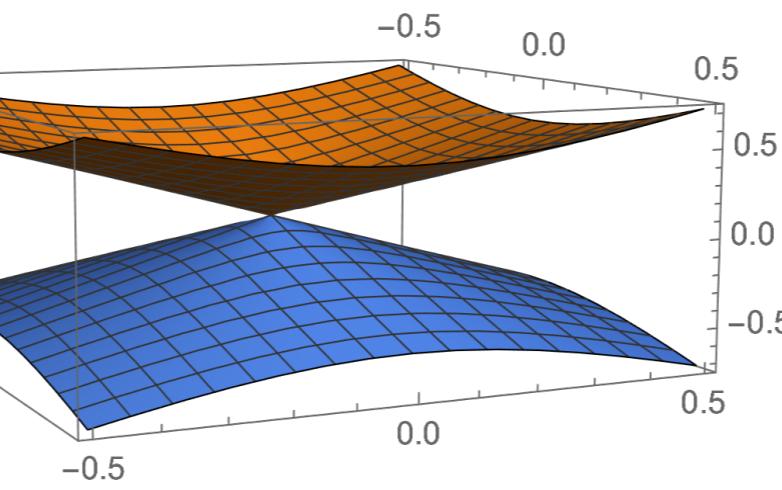


## The band structure (bulk + edge)

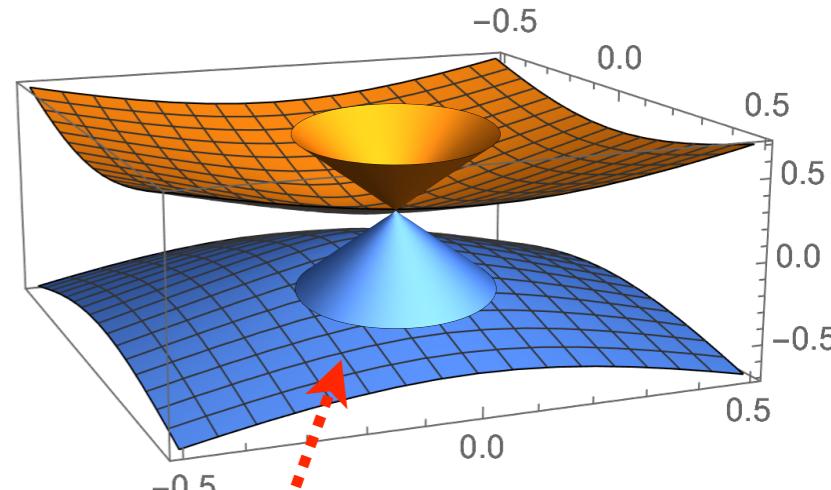
$m = 0.1$



$m = 0$



$m = -0.1$



$\nu = 0$

Normal insulator

Band inversion  
around  $k=0$

$\nu = 1$

QH insulator

Gapless state at edge

$E \sim \pm k$

“Dirac point”

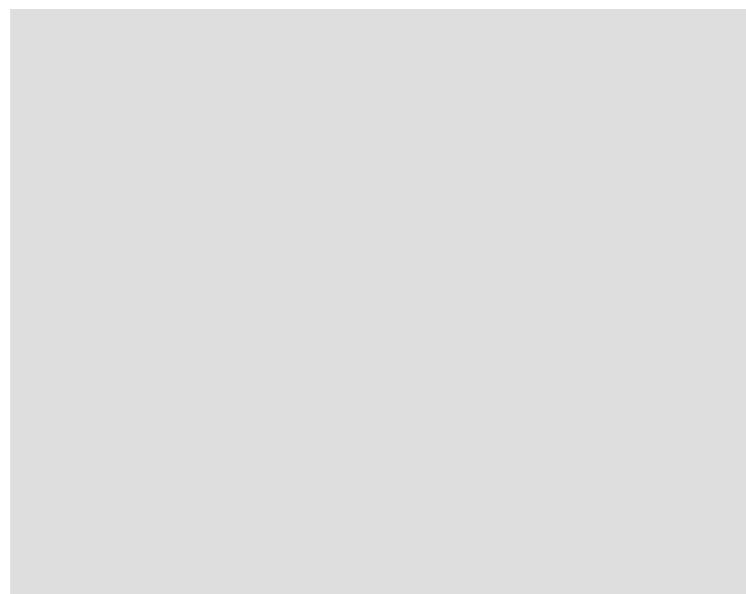
## Anomalous quantum Hall (AQH) effect

Same effect by magnetization  $M$ , not magnetic field

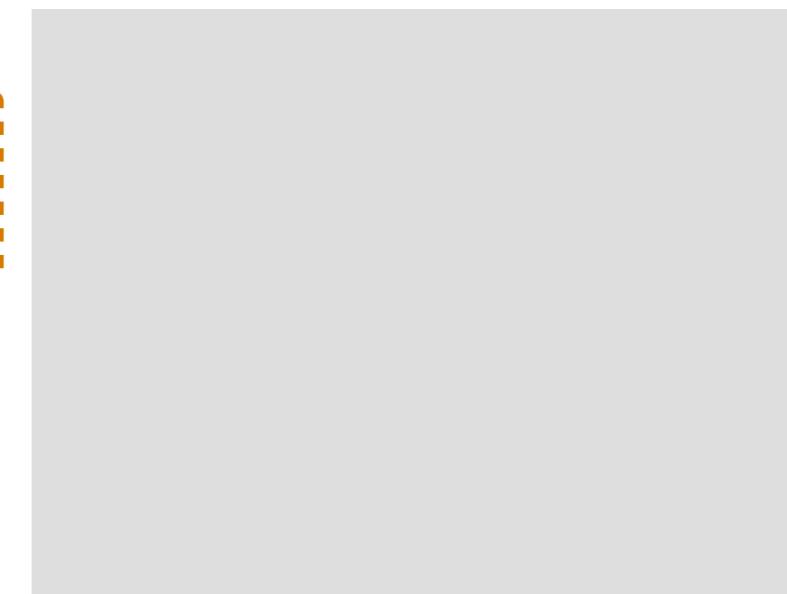
→ *Anomalous QH effect*

QH effect

$B \odot$



AQH effect

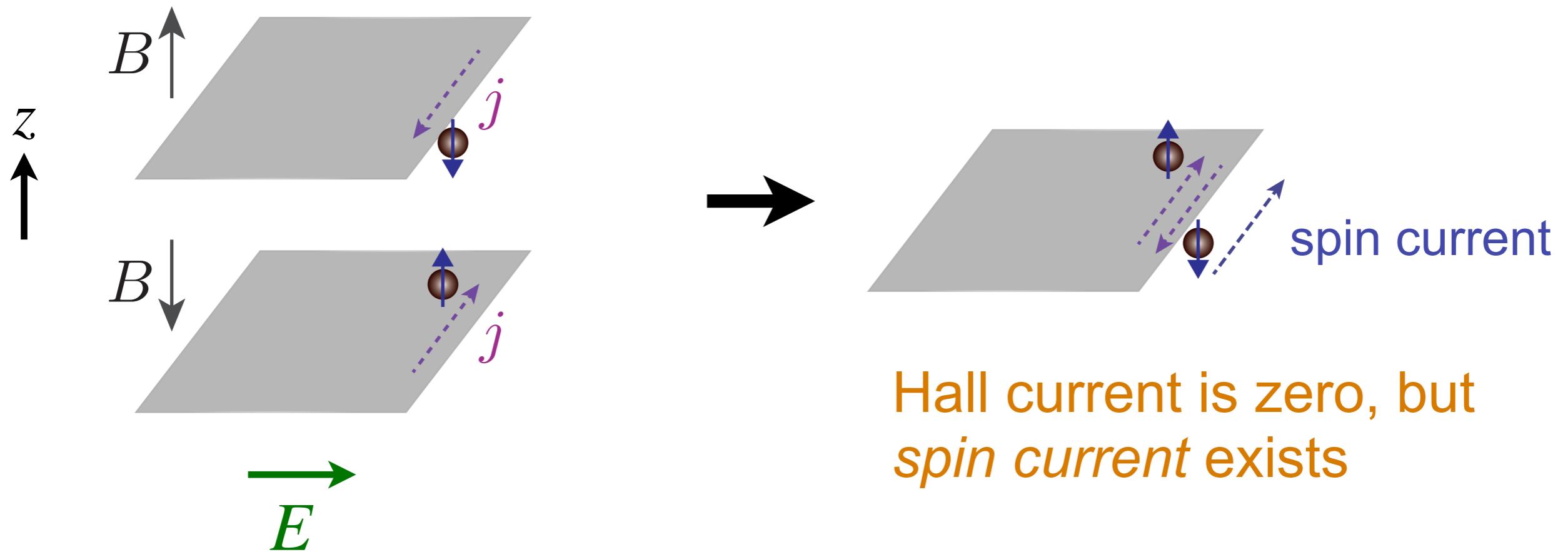


“AQH insulators”  
“Chern insulators”

## Topological insulators (TIs)

Idea: combination of two QH insulators

Kane, Mele '05



Hall current is zero, but  
*spin current* exists

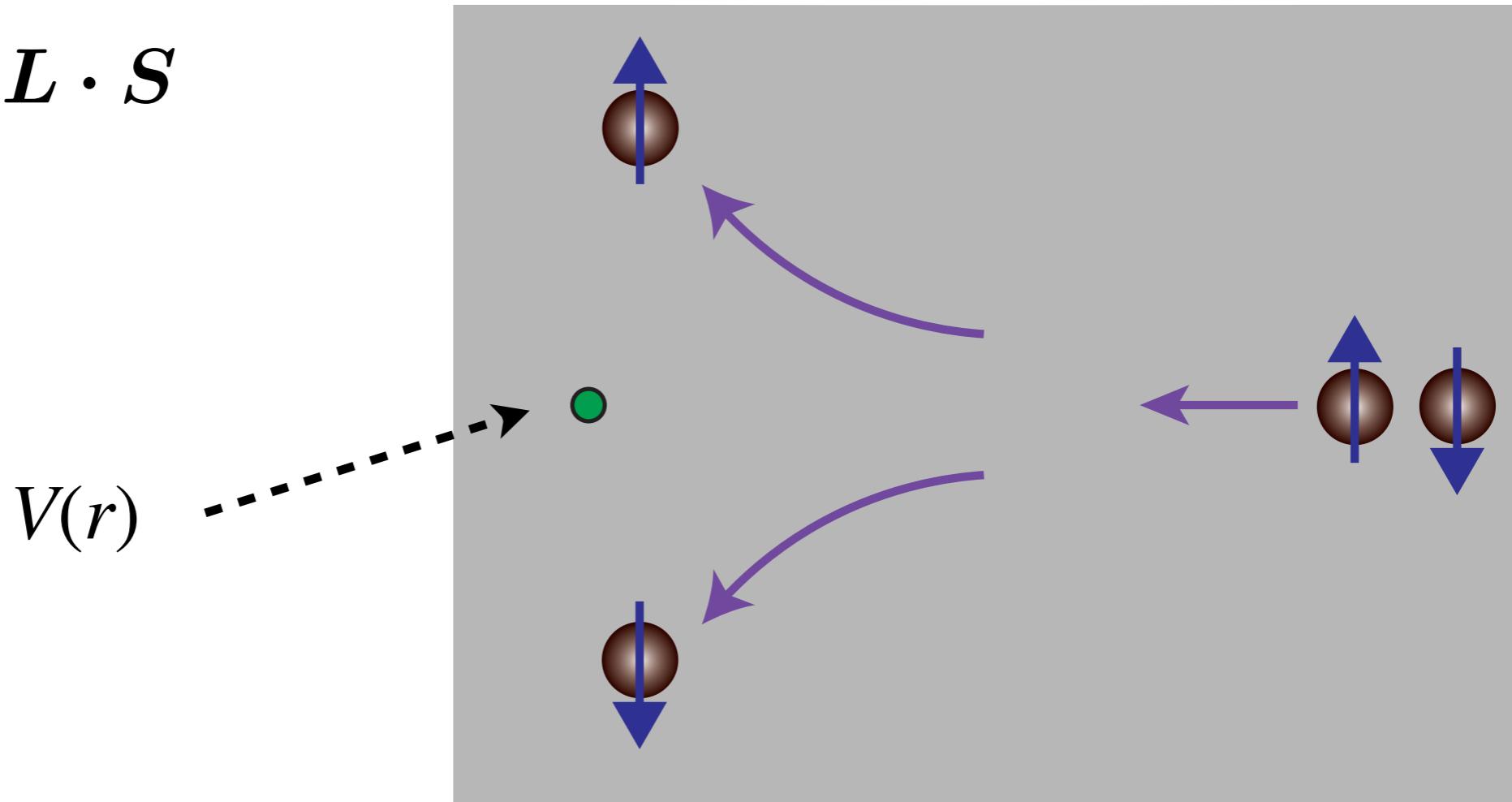
Such a system can be realized due to SOC  
(without magnetic field)

SOC: spin orbit coupling

## Spin-orbit coupling (SOC)

e.g.,

$$H_{\text{SO}} = V(r) \mathbf{L} \cdot \mathbf{S}$$



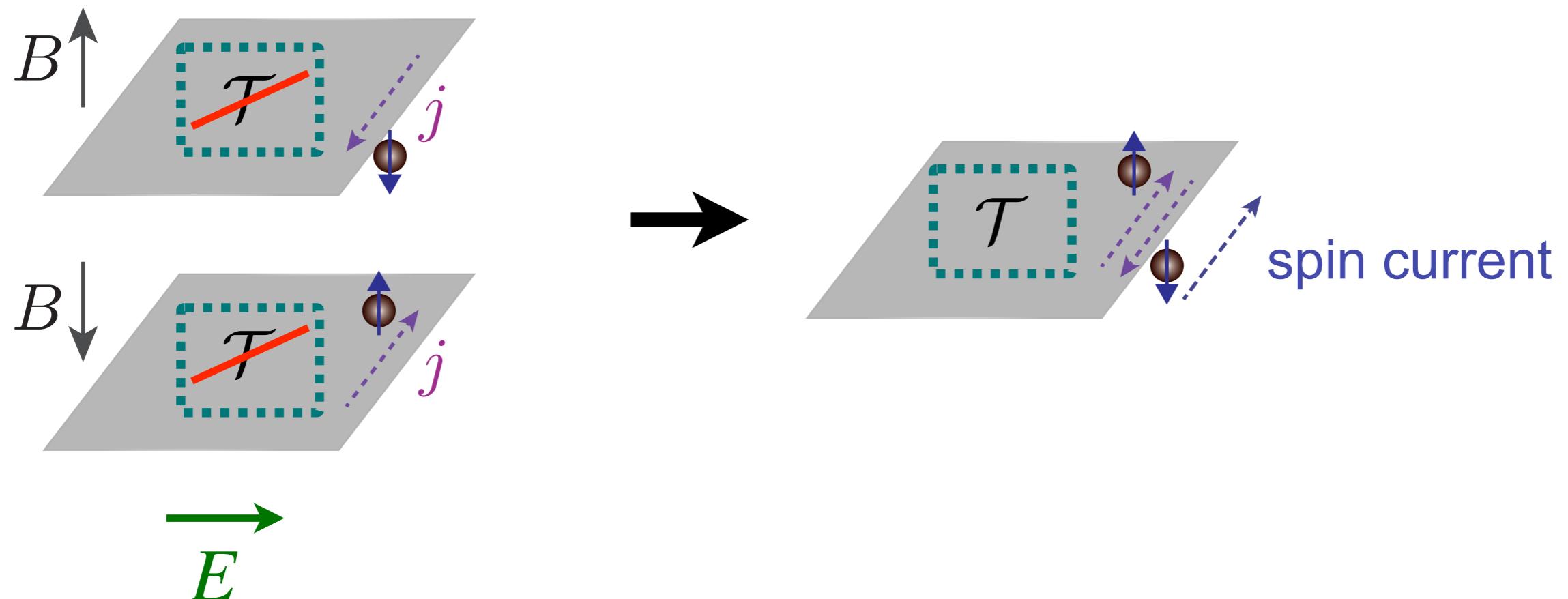
Electrons with spin up or down are scattered off to the opposite directions

## Keywords for topological insulators

- Time reversal invariance ( $\mathcal{T}$ )
- Strong spin-orbit coupling (SOC)

## Keywords for topological insulators

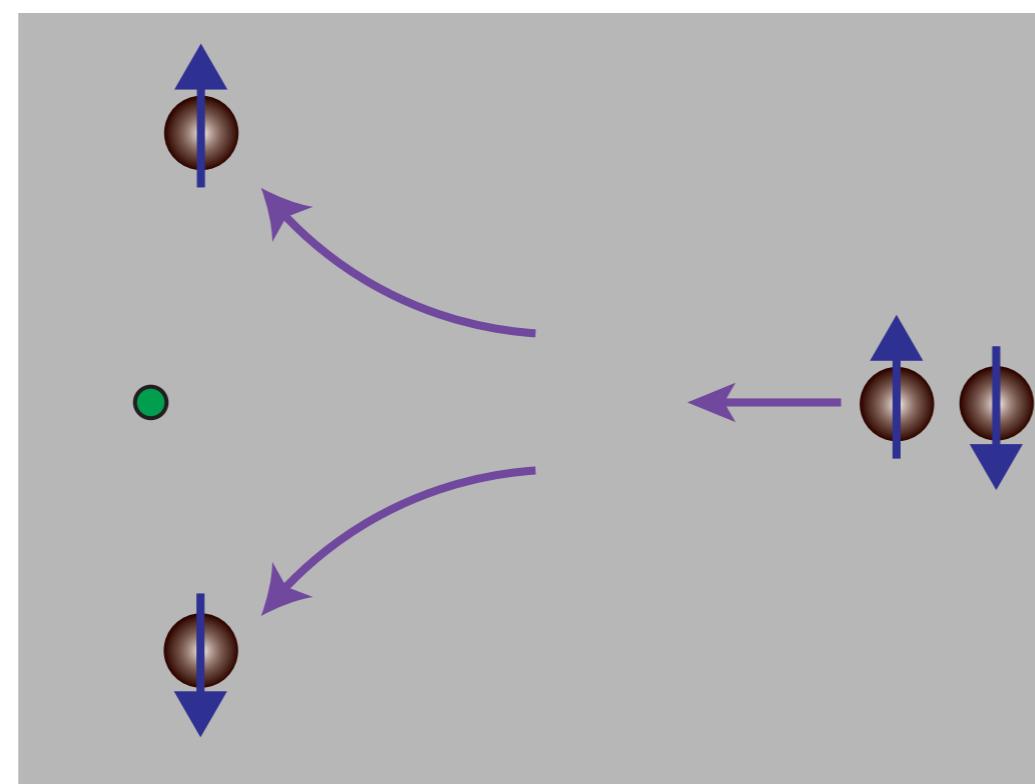
- Time reversal invariance ( $\mathcal{T}$ )
- Strong spin-orbit coupling (SOC)



$B$  breaks  $\mathcal{T}$  but the combination of  $B$  and  $-B$  keeps  $\mathcal{T}$

## Keywords for topological insulators

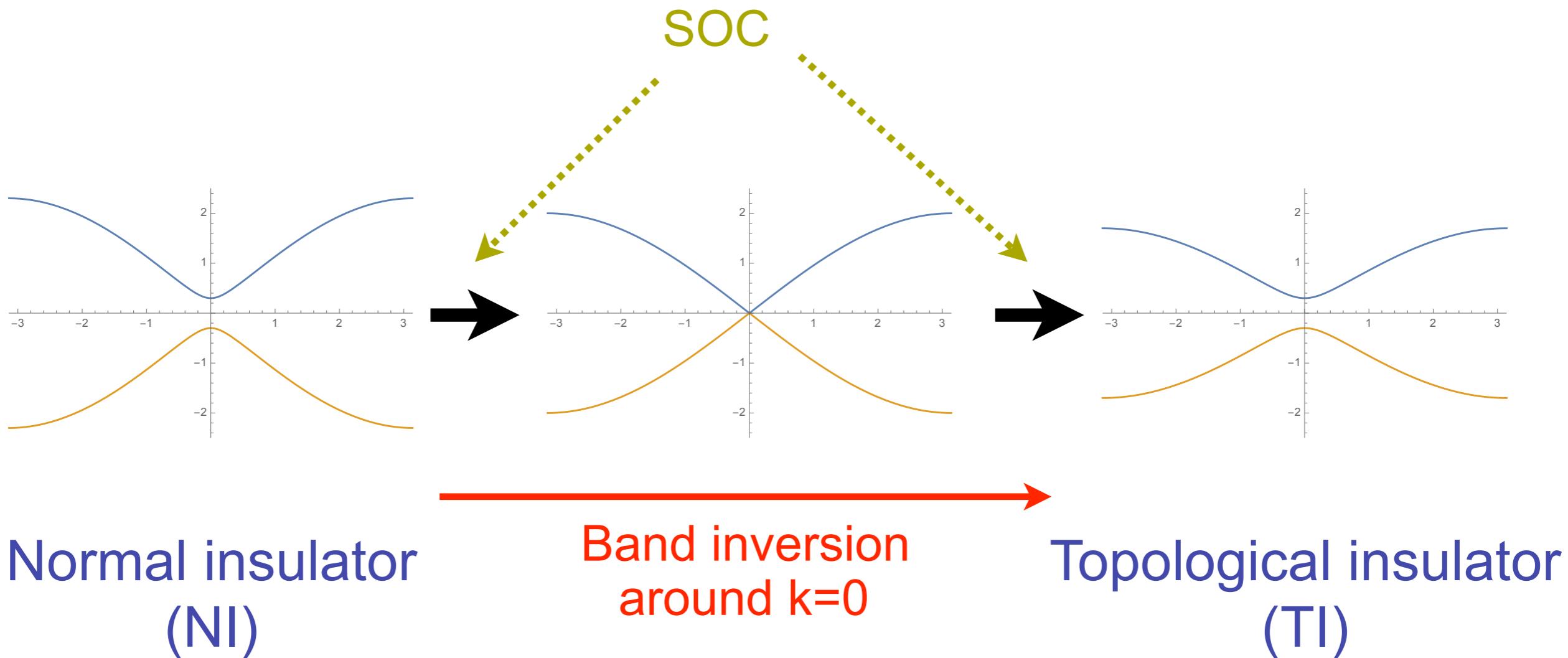
- Time reversal invariance ( $\mathcal{T}$ )
- Strong spin-orbit coupling (SOC)



Strong SOC is crucial for the realization

## The band structure (bulk)

→ Same as (A)QH insulators



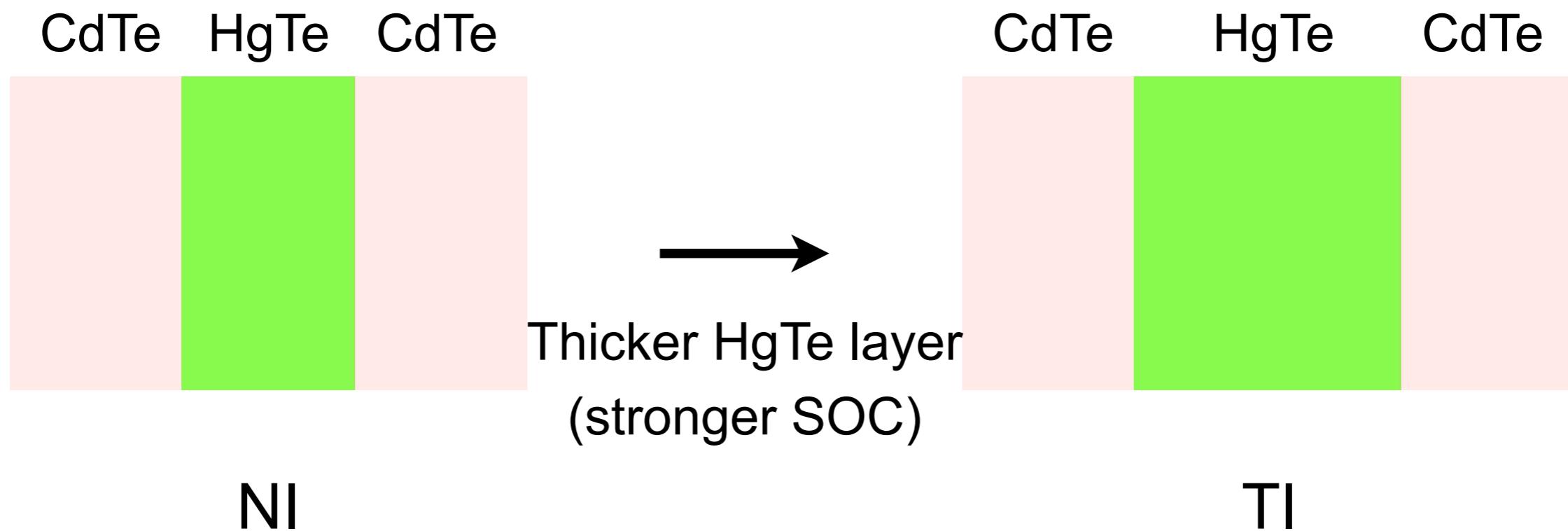
# Topological insulators are classified by $Z_2$

The number of band inversions (Dirac points) is

- Even → “Normal insulator”
  - Odd → “Topological insulator”

## Example of 2D TI: HgTe/(Hg<sub>x</sub>Cd)

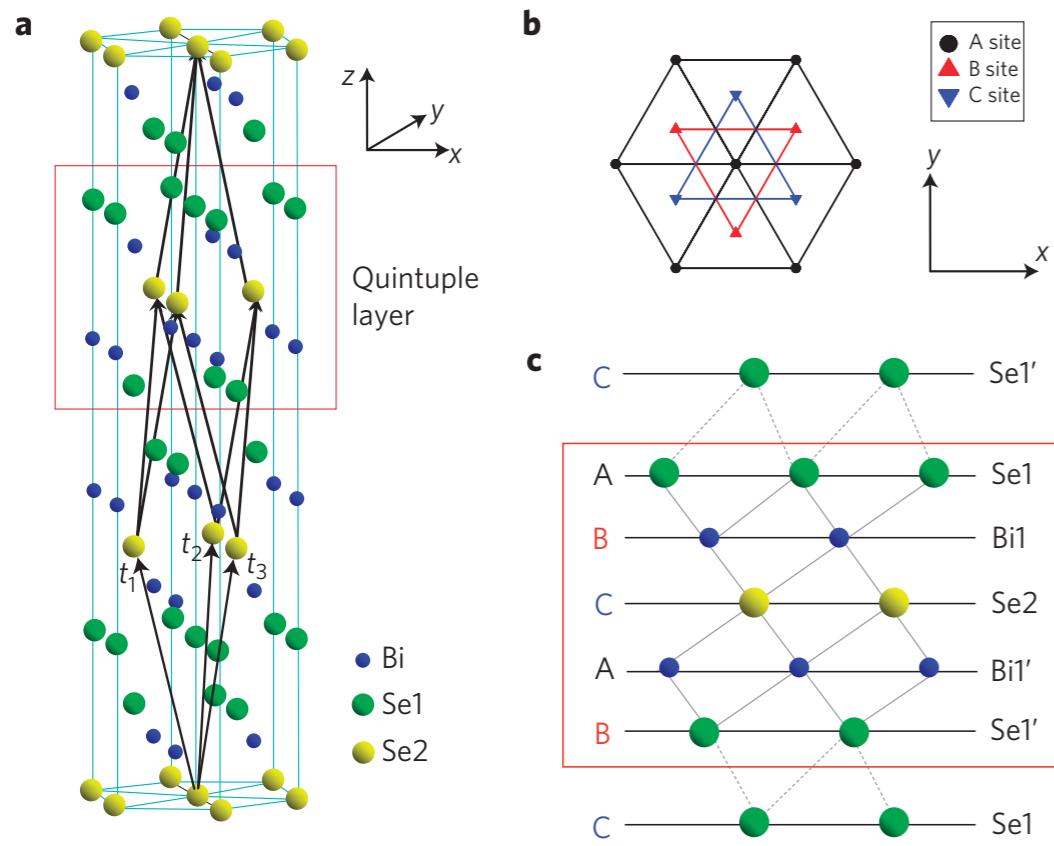
König et al. '07



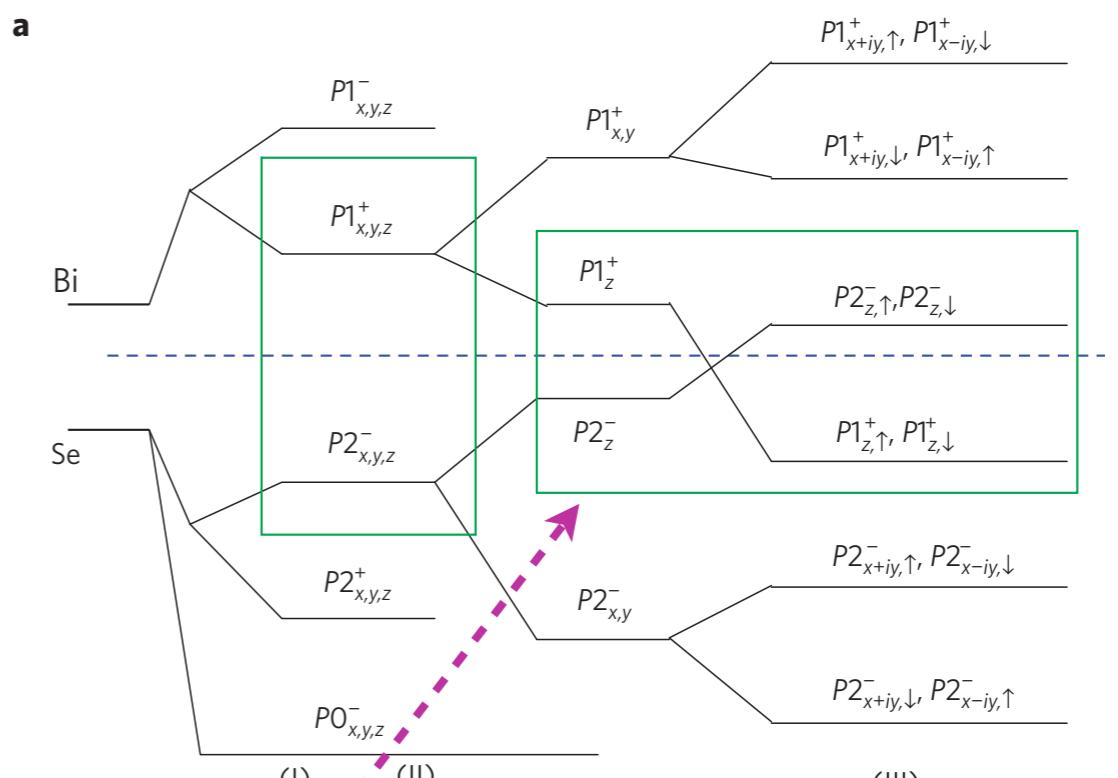
Band inversion happens in the energy band of HgTe

# Example of 3D TI: $\text{Bi}_2\text{Se}_3$

Zhang et al. '09



Cristal structure



Energy levels

Band inversion due to strong SOC

## Magnetoelectric (ME) effect

predicted by Landau&Lifshitz  
discovered by Dzyaloshinskii '60

- Electric field ( $E$ ) induces magnetization  $M$
- Magnetic field ( $B$ ) induces electric polarization  $P$

$$M_j = \alpha_{ij} E_i$$

$$P_i = \alpha_{ij} B_j$$



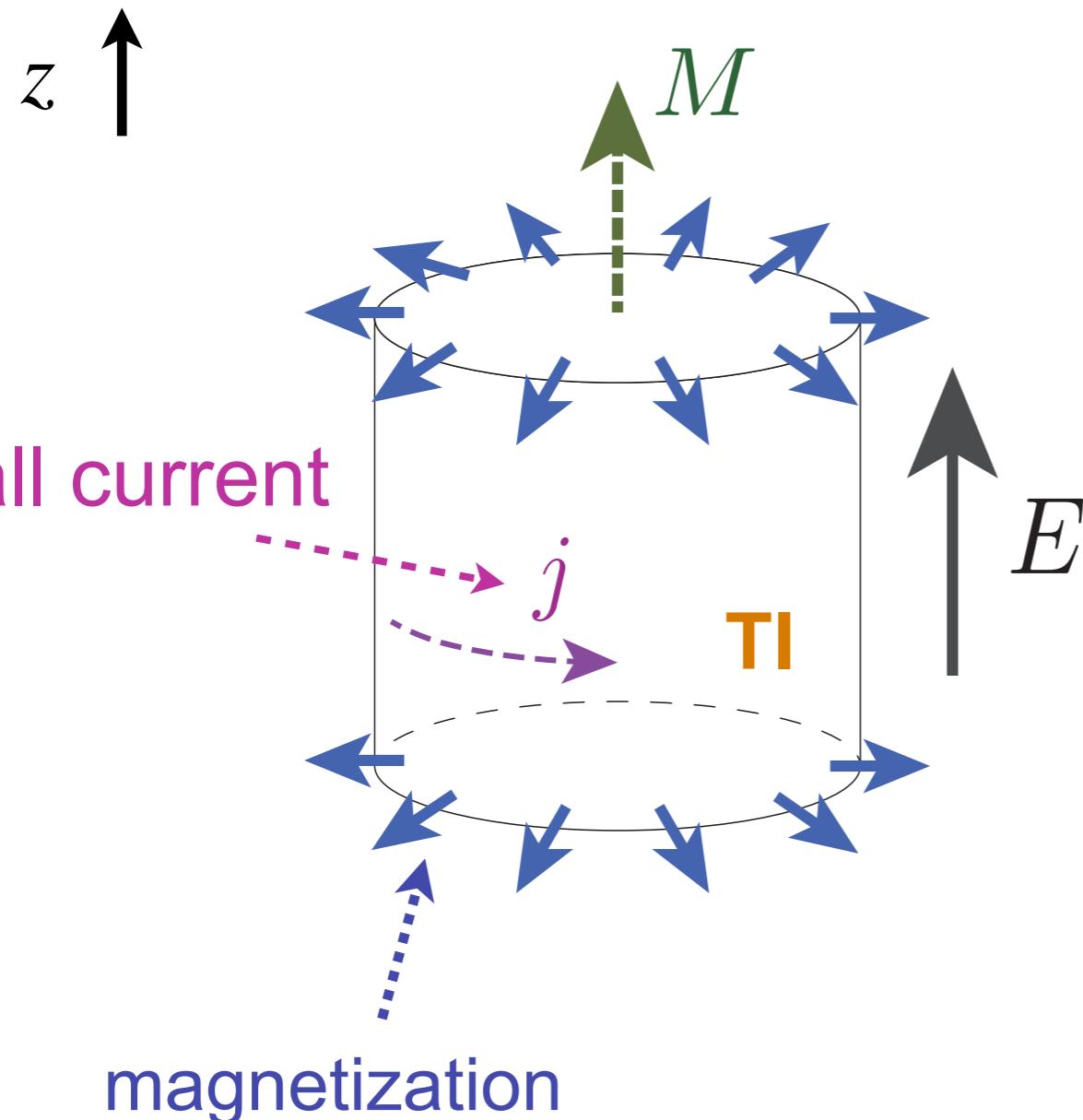
$$F = -\frac{1}{\mu_0 c} \int d^3x \ \alpha_{ij} E_i B_j$$

$$M_i = -\frac{1}{V} \left. \frac{\partial F}{\partial E_i} \right|_{B=0}$$

$$P_i = -\frac{1}{V} \left. \frac{\partial F}{\partial B_i} \right|_{E=0}$$

$\alpha_{ij}$  : constant

Let's consider  
TI coated with magnetization directing outside



Half-integer AQH current

The current induces  
magnetization in  $z$  direction

$$M = \pm \frac{\alpha}{\mu_0 c} E$$

$\alpha$  : fine-structure constant

This ME effect can be understood from a free energy:

$$F_\theta = -\frac{1}{\mu_0} \int d^3x \left[ \frac{\alpha}{c\pi} \theta \mathbf{E} \cdot \mathbf{B} \right] \quad \text{with} \quad \theta = \pm\pi$$

$\longrightarrow -\frac{\alpha}{4\pi} \theta F_{\mu\nu} \tilde{F}^{\mu\nu}$

$\theta = \pm \pi$  is called static axion

(  $\theta = 0$  in NI )

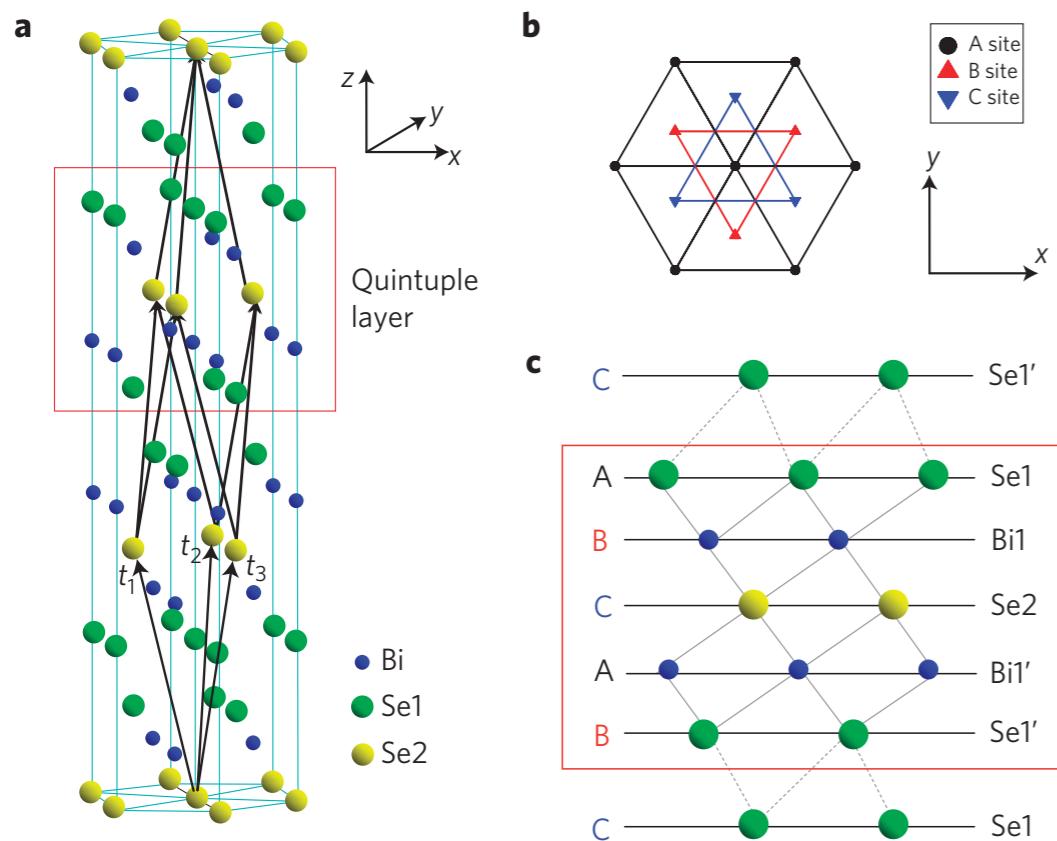
## Quick summary

- Energy bands around the Fermi energy are crucial to determine the characteristics of the materials
- SOC and  $\mathcal{T}$  are crucial for TI
- ME effect in TI is described by “static axion”

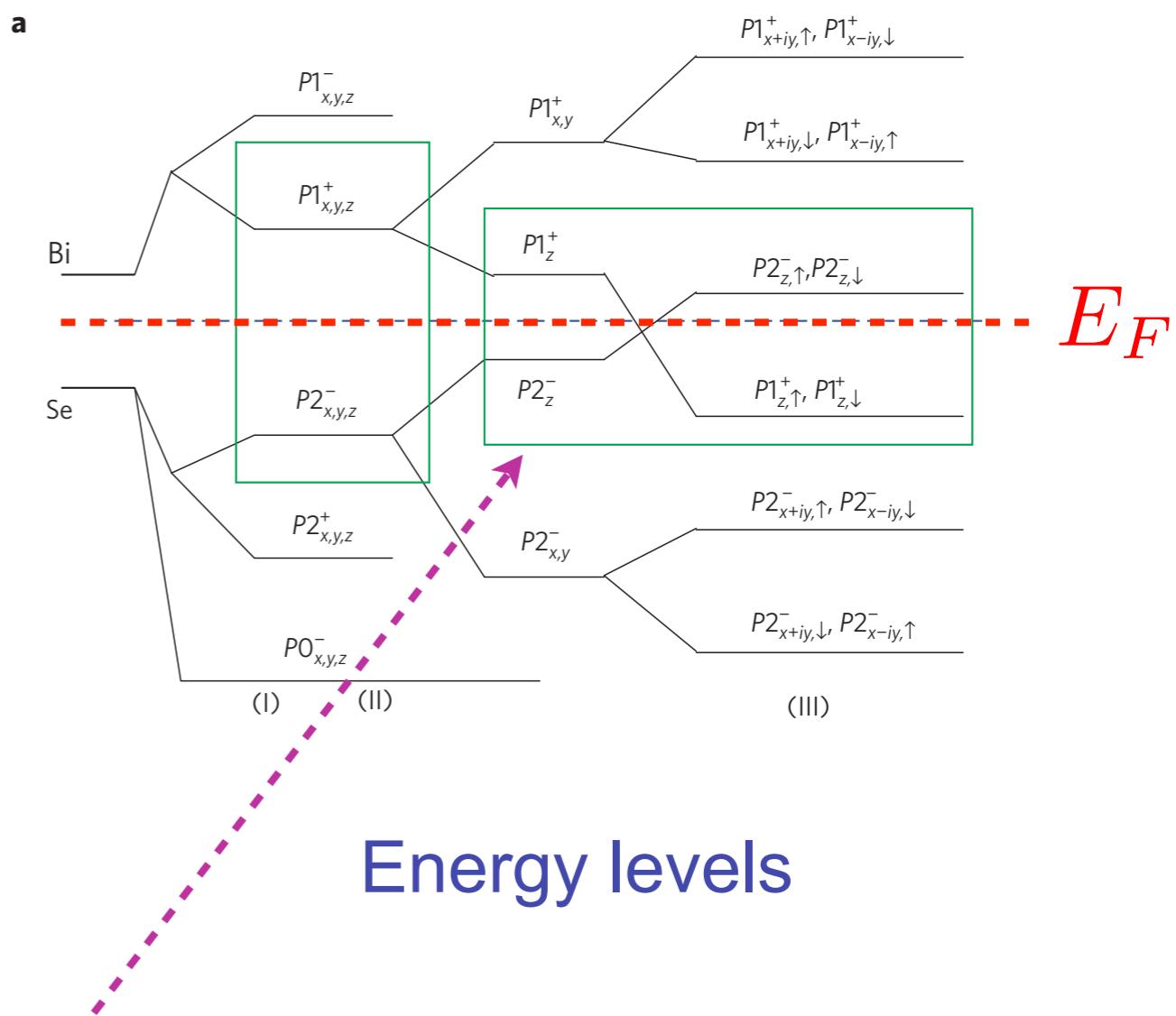
### **3. Axion in antiferromagnetic topological insulators**

We consider 3D TI,  $\text{Bi}_2\text{Se}_3$

H. Zhang et al. '09



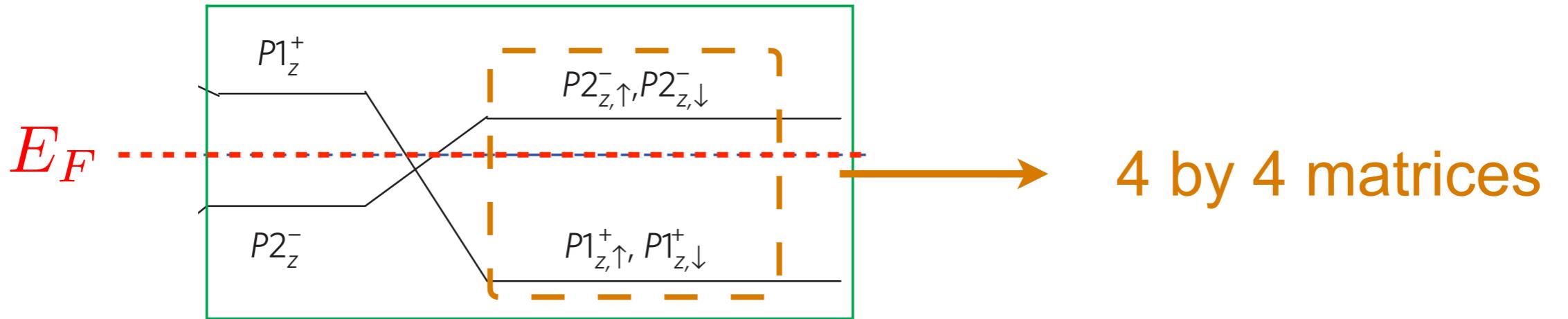
Cristal structure



Energy levels

Band inversion due to strong SOI

# Two bands near the Fermi energy are important



$H_0(\mathbf{k})$

$$= \begin{pmatrix} \epsilon_0(\mathbf{k}) + \mathcal{M}(\mathbf{k}) & 0 & -iA_1 \sin k_z & A_2(\sin k_x - i \sin k_y) \\ 0 & \epsilon_0(\mathbf{k}) + \mathcal{M}(\mathbf{k}) & A_2(\sin k_x + i \sin k_y) & -iA_1 \sin k_z \\ iA_1 \sin k_z & A_2(\sin k_x - i \sin k_y) & \epsilon_0(\mathbf{k}) - \mathcal{M}(\mathbf{k}) & 0 \\ A_2(\sin k_x + i \sin k_y) & iA_1 \sin k_z & 0 & \epsilon_0(\mathbf{k}) - \mathcal{M}(\mathbf{k}) \end{pmatrix}$$

basis:  $(|P1_z^+, \uparrow\rangle, |P1_z^+, \downarrow\rangle, |P2_z^-, \uparrow\rangle, |P2_z^-, \downarrow\rangle)$

“Effective Hamiltonian for 3D TI”

The effective Hamiltonian is written by Gamma matrices:

$$H_0(\mathbf{k}) = \epsilon_0(\mathbf{k}) \mathbf{1}_{4 \times 4} + \sum_{a=1}^5 d^a(\mathbf{k}) \Gamma^a$$

$$(d^1, d^2, d^3, d^4, d^5) = (A_2 \sin k_x, A_2 \sin k_y, A_1 \sin k_z, \mathcal{M}(\mathbf{k}), 0)$$

$$\mathcal{M}(\mathbf{k}) = M - 2B_1 - 4B_2 + 2B_1 \cos k_z + 2B_2 (\cos k_x + \cos k_y)$$

$\Gamma^1 = \begin{pmatrix} 0 & \sigma^x \\ \sigma^x & 0 \end{pmatrix}$	$\Gamma^4 = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix}$	$\{\Gamma^a, \Gamma^b\} = 2\delta^{ab} \mathbf{1}_{4 \times 4}$
$\Gamma^2 = \begin{pmatrix} 0 & \sigma^y \\ \sigma^y & 0 \end{pmatrix}$	$\Gamma^5 = \begin{pmatrix} 0 & \sigma^z \\ \sigma^z & 0 \end{pmatrix}$	$\text{tr}(\Gamma^a \Gamma^b) = 4\delta^{ab}$
$\Gamma^3 = \begin{pmatrix} 0 & -i\mathbf{1} \\ -i\mathbf{1} & 0 \end{pmatrix}$		$\text{tr}(\Gamma^a) = 0$

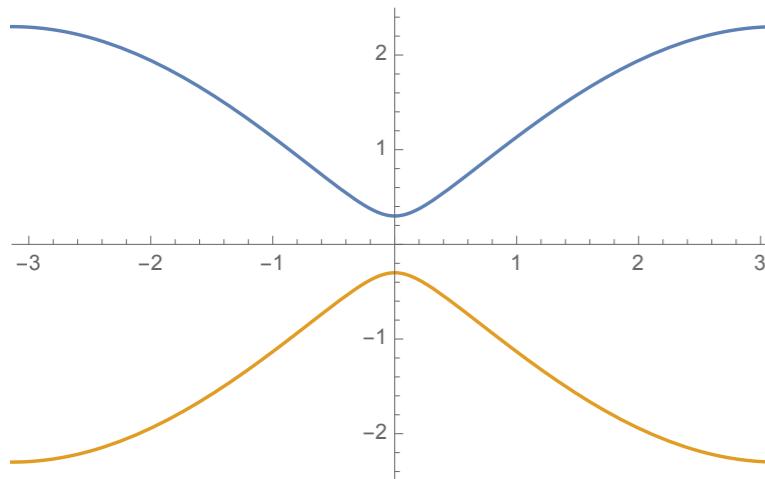
## The band structure (bulk)

$$\epsilon_0 = 0$$

$$A_1 = A_2 = 1$$

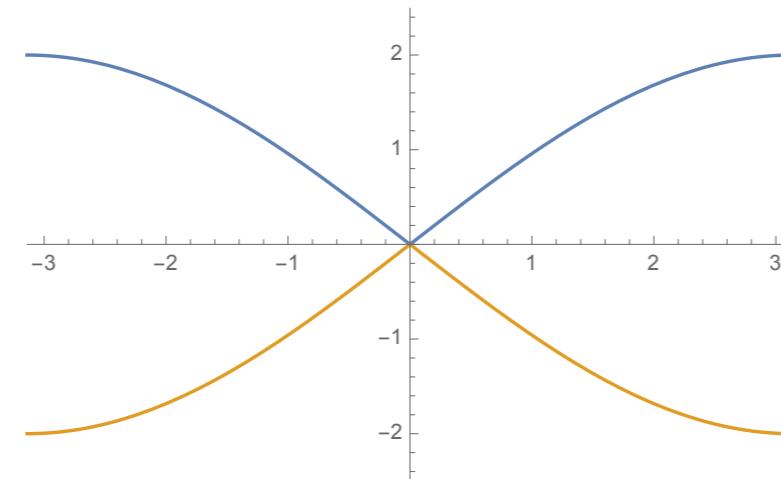
$$B_1 = B_2 = -0.5$$

$$k_y = k_z = 0$$



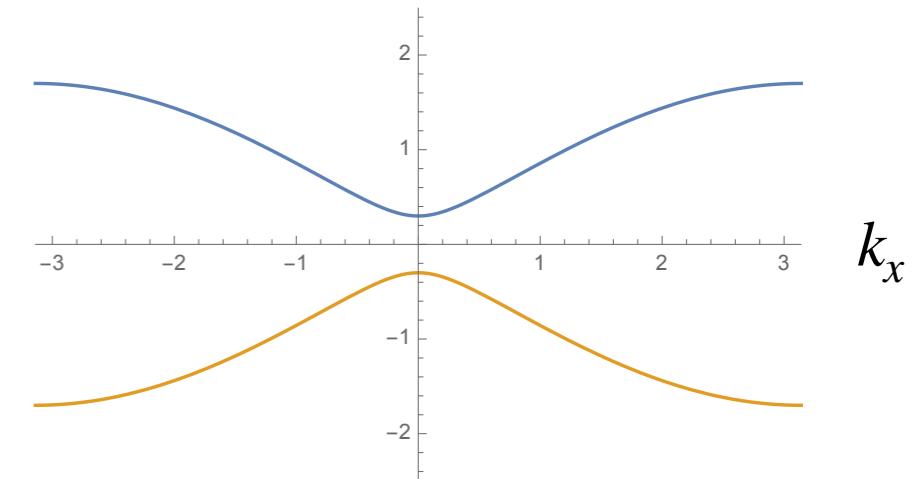
$$M = 0.3$$

NI



$$M = 0$$

Phase transition



$$M = -0.3$$

TI

( $M/B_1, M/B_2$  describe SOI)

## Partition function (given by path integral)

$$S_0 = \int d^4x \psi^\dagger(x) [i\partial_t - H_0] \psi(x) = \int dt \mathcal{H}_0$$

→  $Z_0 = \int \mathcal{D}\psi \mathcal{D}\psi^\dagger e^{iS_0}$

$\psi(x)$  : wavefunction of electron

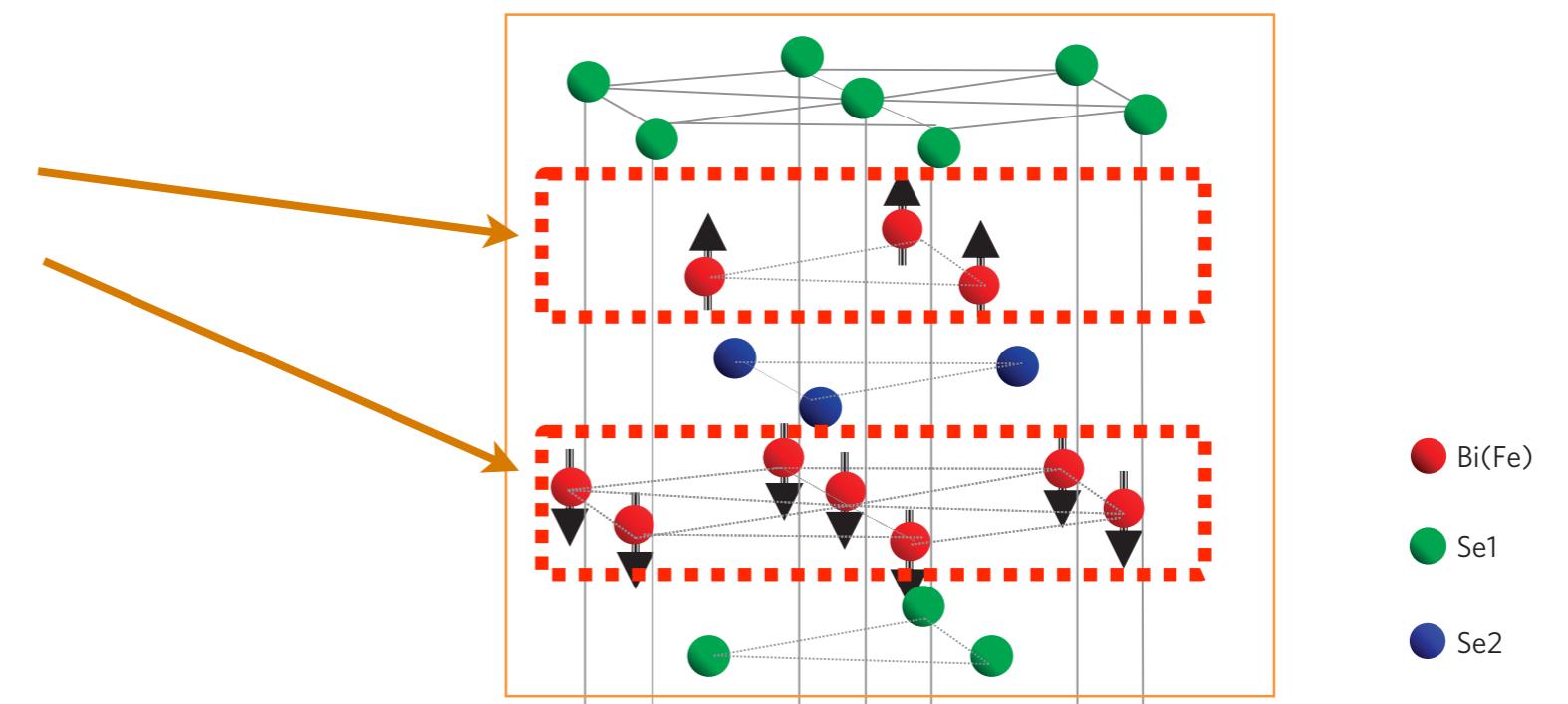
$\sim (|P1_z^+, \uparrow\rangle, |P1_z^+, \downarrow\rangle, |P2_z^-, \uparrow\rangle, |P2_z^-, \downarrow\rangle)^T$

Now we introduce *antiferromagnetism (AFM)* by hand

→ Explicit  $\mathcal{T}$  breaking

R. Li et al. '10

Suppose electrons at Bi  
are AFM order



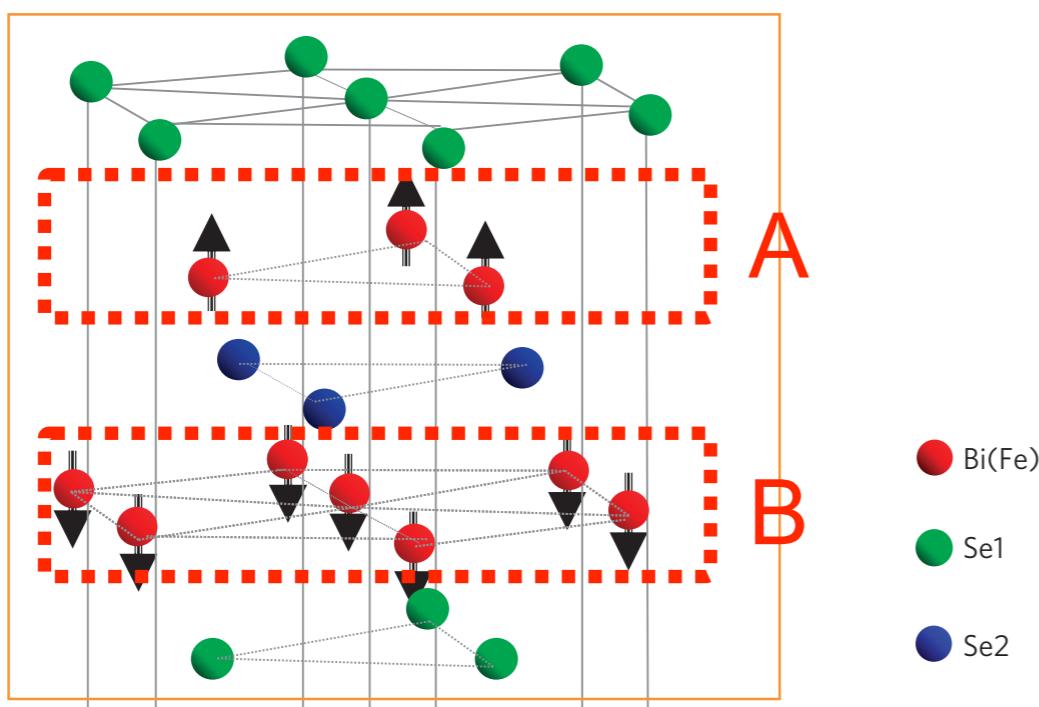
This corresponds to introducing the Hubbard terms:

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{\text{int}}$$

where

$$\mathcal{H}_{\text{int}} = \frac{UV}{N} \int d^3x (n_{A\uparrow}n_{A\downarrow} + n_{B\uparrow}n_{B\downarrow})$$

$U$  : parameter to give AFM



$V$  : volume  
 $N$  : number of site

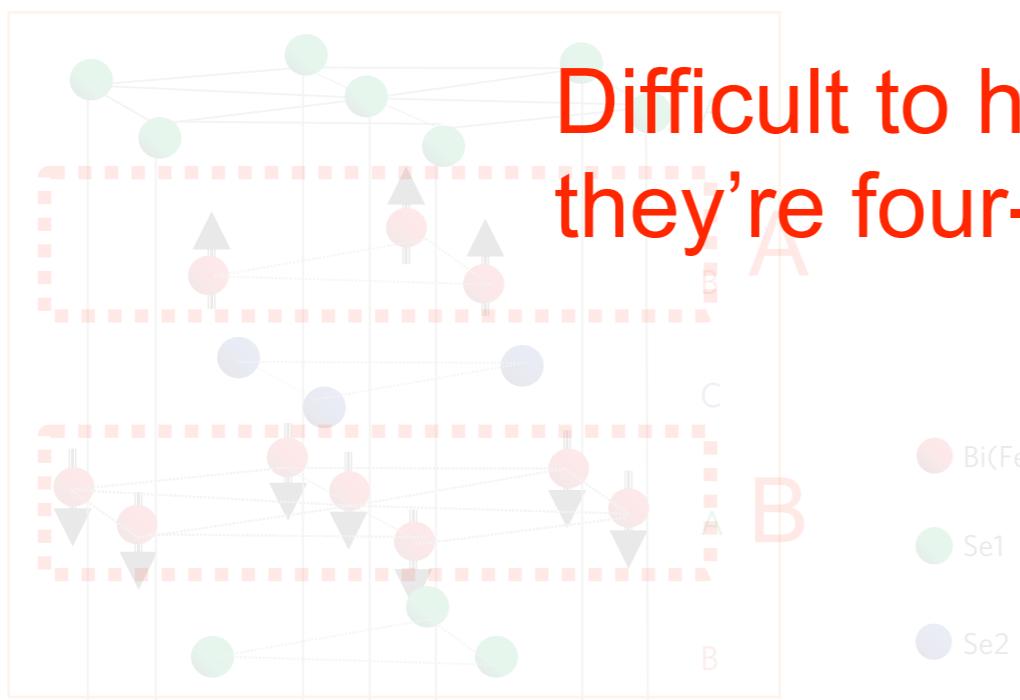
$$n_{A\sigma} = \psi_{A\sigma}^\dagger \psi_{A\sigma}$$
$$n_{B\sigma} = \psi_{B\sigma}^\dagger \psi_{B\sigma}$$

This corresponds to introducing Hubbard terms:

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{\text{int}}$$

where

$$\mathcal{H}_{\text{int}} = \frac{UV}{N} \int d^3x (n_{A\uparrow}n_{A\downarrow} + n_{B\uparrow}n_{B\downarrow})$$



Difficult to handle since  
they're four-body int.

- Bi(Fe)
- Se1
- Se2

↑  
 $U$  : parameter to give AFM

$V$  : volume  
 $N$  : number of site

$$n_{A\sigma} = \psi_{A\sigma}^\dagger \psi_{A\sigma}$$
$$n_{B\sigma} = \psi_{B\sigma}^\dagger \psi_{B\sigma}$$

## Hubbard-Stratonovich (HS) transformation

$$\mathcal{H}_{\text{int}} = \frac{UV}{N} \int d^3x \ (n_{A\uparrow}n_{A\downarrow} + n_{B\uparrow}n_{B\downarrow})$$



HS transformation

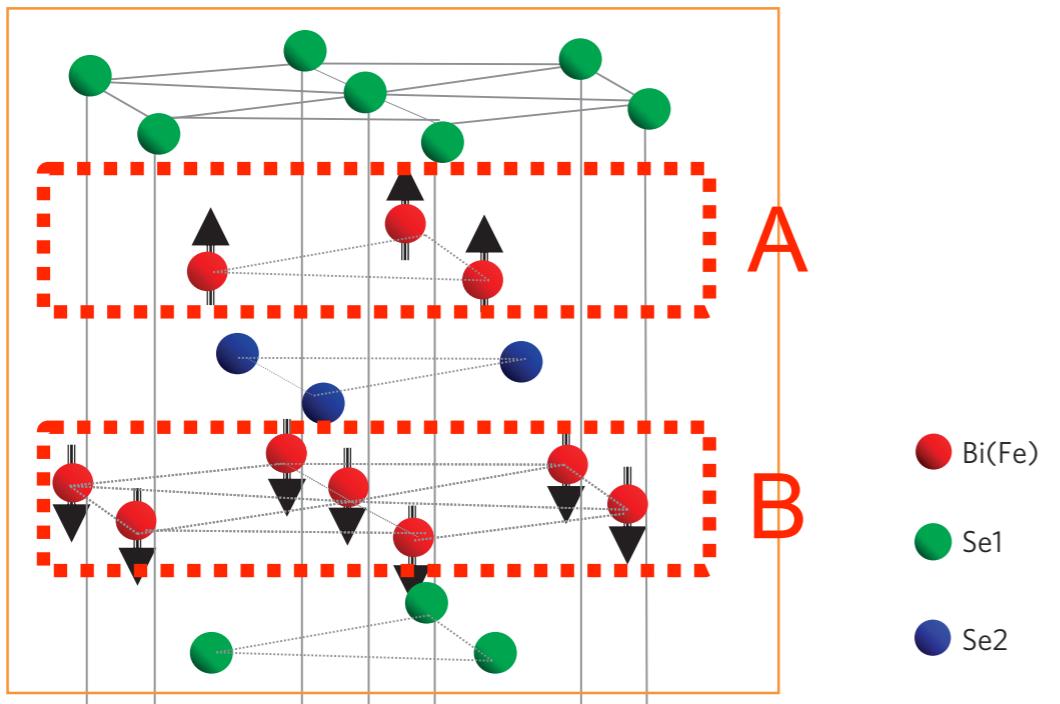
## Hubbard-Stratonovich (HS) transformation

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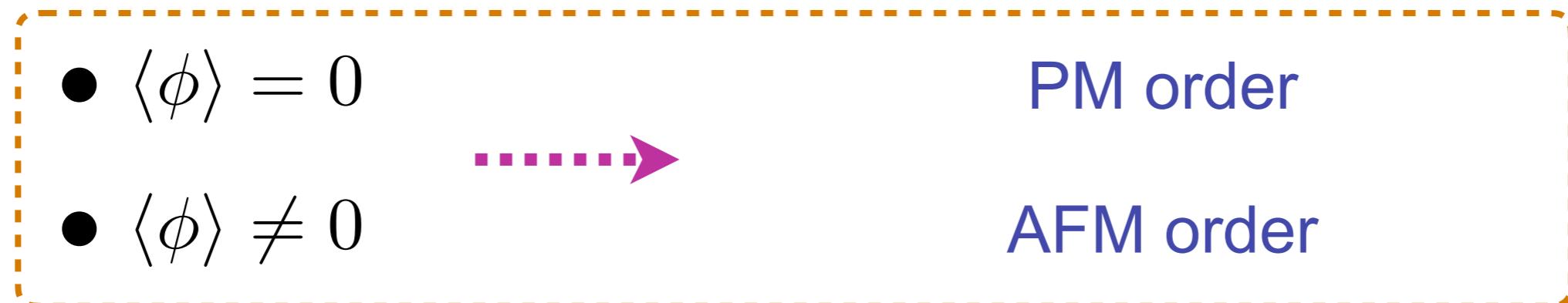
HS transformation

- A dynamical scalar  $\phi$  that gives  $\Gamma^5 d_5$  ( $d_5 = \phi$ )



$$\langle \phi \rangle \sim \langle S_A \rangle = -\langle S_B \rangle$$

VEV of  $\phi$  is the order parameter of AFM



PM (paramagnetic)

## Hubbard-Stratonovich (HS) transformation

$$\mathcal{H}_{\text{int}} = \frac{UV}{N} \int d^3x (n_{A\uparrow}n_{A\downarrow} + n_{B\uparrow}n_{B\downarrow})$$



HS transformation

- A dynamical scalar  $\phi$  that gives  $\Gamma^5 d_5$  ( $d_5 = \phi$ )

# Hubbard-Stratonovich (HS) transformation

$$\mathcal{H}_{\text{int}} = \frac{UV}{N} \int d^3x \ (n_{A\uparrow}n_{A\downarrow} + n_{B\uparrow}n_{B\downarrow})$$

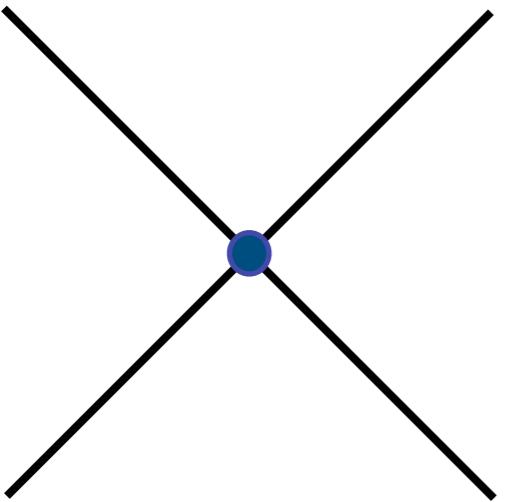
# HS transformation

- A dynamical scalar  $\phi$  that gives  $\Gamma^5 d_5$  ( $d_5 = \phi$ )
  - Mass term of  $\phi$   missed in **Sekine, Nomura '16**  
**Sekine, Nomura '20**  
**Schütte-Engel '21**

This can be understood from

HS transformation

~ Inverse of integrating out a scalar



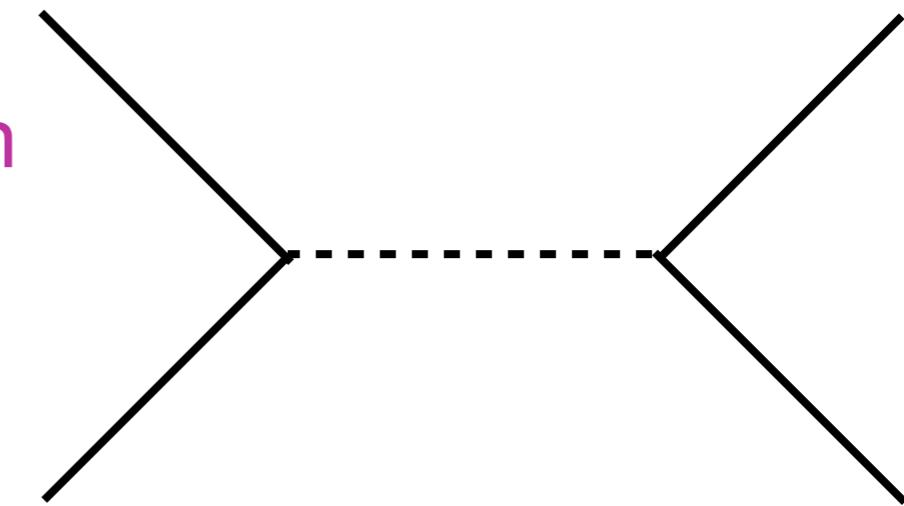
$n_{A\uparrow} n_{A\downarrow}, n_{B\uparrow} n_{B\downarrow}$   
 $(\psi_\uparrow^\dagger \psi_\uparrow \psi_\downarrow^\dagger \psi_\downarrow)$

Four Fermi int.

HS transformation



Integrate out  $\phi$



$\phi \psi_\uparrow^\dagger \psi_\uparrow, \phi \psi_\downarrow^\dagger \psi_\downarrow$

Yukawa int.

Thus the mass term should appear

## Partition function (TI + AFM)

$$Z = \int \mathcal{D}\psi \mathcal{D}\psi^\dagger \mathcal{D}\phi \ e^{iS+iS_\phi^{\text{mass}}}$$

$$S = \int d^4x \ \psi^\dagger(x) [i\partial_t - H] \psi(x)$$

$$S_\phi^{\text{mass}} = - \int d^4x \ M^2 \phi^2$$

$$\Gamma^5 \phi$$

$\downarrow$

$$H = H_0 + \boxed{\delta H}$$

$$M^2 = \int \frac{d^3k}{(2\pi)^3} \frac{2}{U}$$

## Partition function (TI + AFM)

$$Z = \int \mathcal{D}\psi \mathcal{D}\psi^\dagger \boxed{\mathcal{D}\phi} e^{iS+iS_\phi^{\text{mass}}}$$

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$$S_\phi^{\text{mass}} = - \int d^4x \ M^2 \phi^2$$

$$H = H_0 + \boxed{\delta H}$$

$\Gamma^5 \phi$   
↓

$$M^2 = \int \frac{d^3k}{(2\pi)^3} \frac{2}{U}$$

Integrate out  $\psi, \psi^\dagger$



Effective action for  $\phi$

## Effective potential for $\phi$

KI '21

$$V_\phi = -2 \int \frac{d^3 k}{(2\pi)^3} (\sqrt{|d_0|^2 + \phi^2} - |d_0|) + M^2 \phi^2$$

$$\boxed{|d_0|^2 = \sum_{a=1}^4 |d^a|^2}$$
$$\boxed{M^2 = \int \frac{d^3 k}{(2\pi)^3} \frac{2}{U}}$$

## Effective potential for $\phi$

KI '21

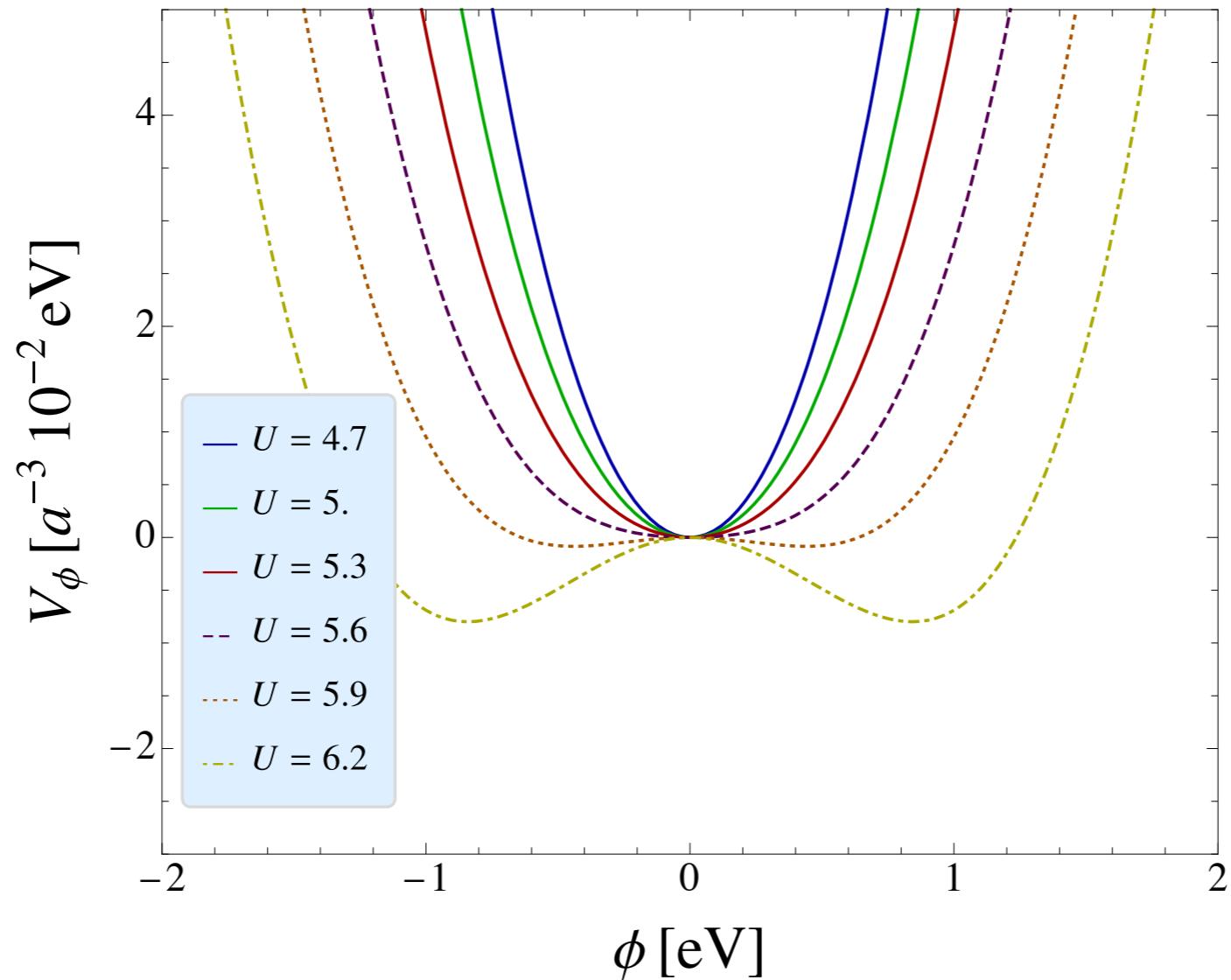
$$V_\phi = \boxed{-2 \int \frac{d^3 k}{(2\pi)^3} (\sqrt{|d_0|^2 + \phi^2} - |d_0|)} + \boxed{M^2 \phi^2}$$

Negative potential



The mass term stabilizes the potential

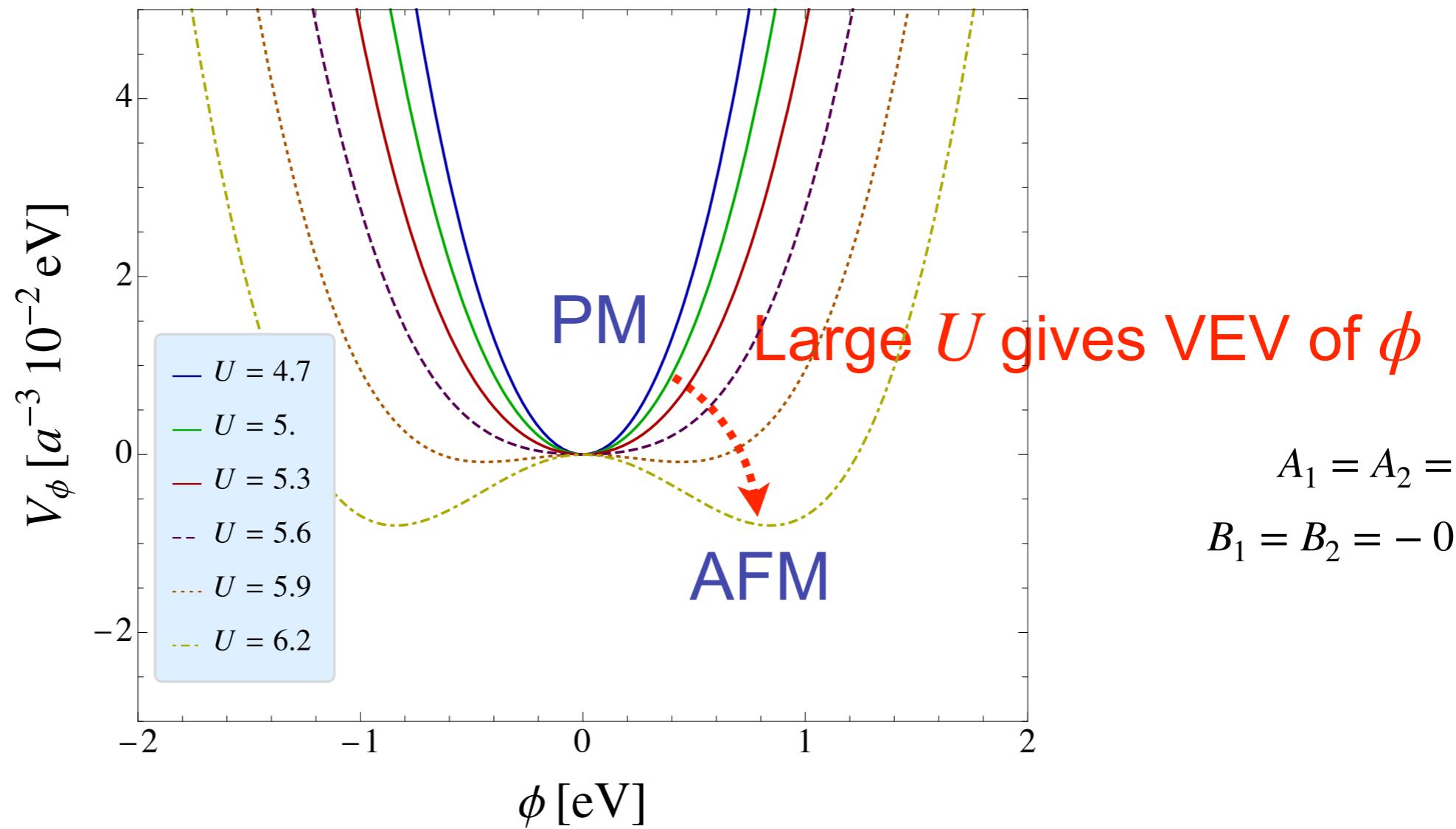
$$\boxed{|d_0|^2 = \sum_{a=1}^4 |d^a|^2}$$
$$\boxed{M^2 = \int \frac{d^3 k}{(2\pi)^3} \frac{2}{U}}$$

Effective model for 3D TI,  $M$  [eV] = 0.1

$$A_1 = A_2 = 1$$

$$B_1 = B_2 = -0.5$$

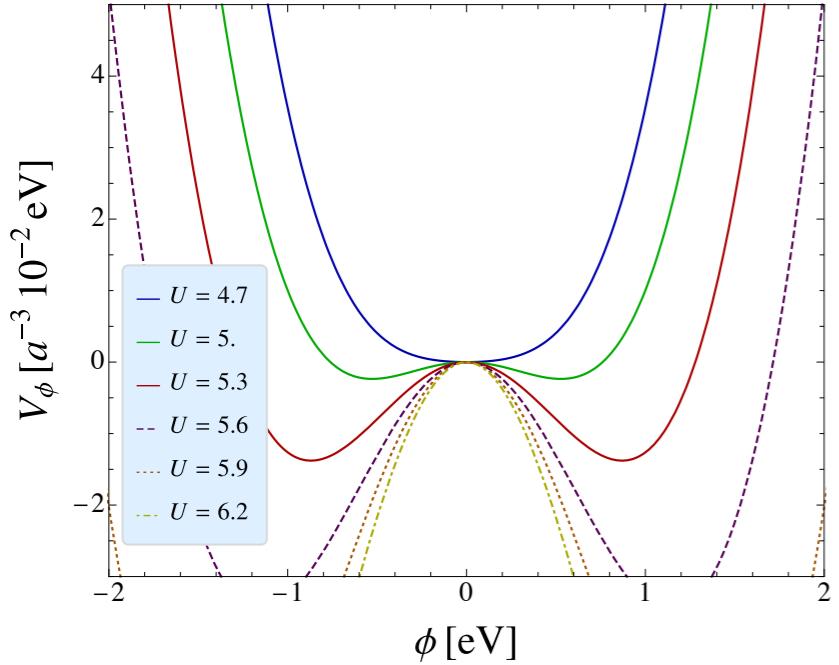
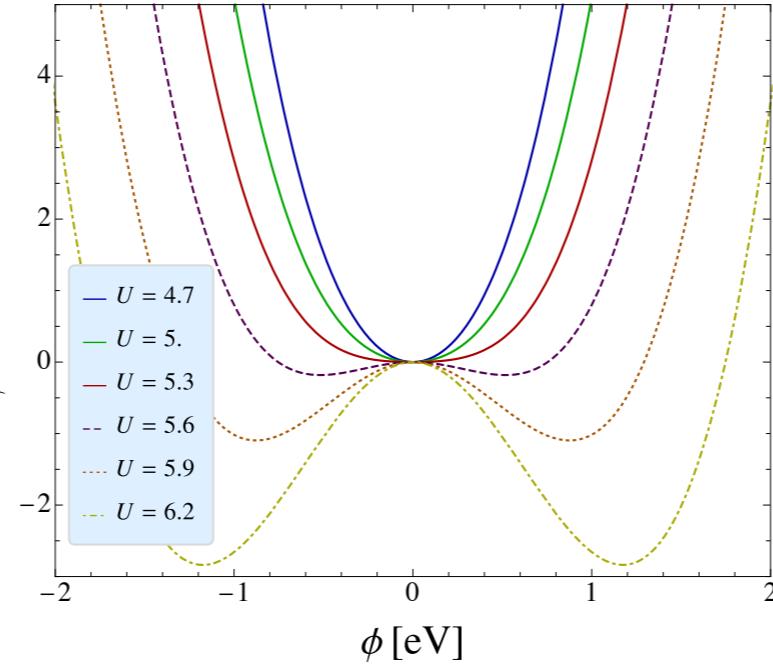
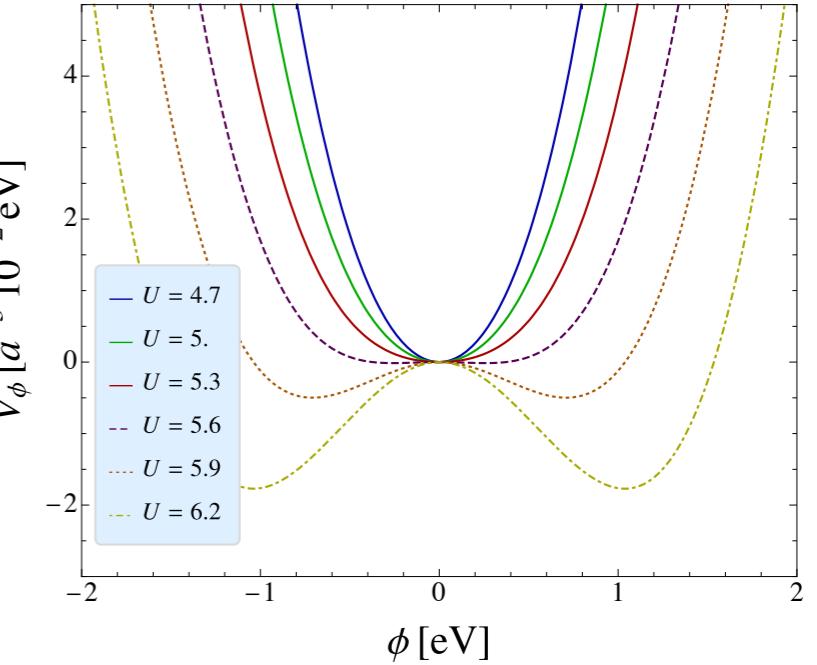
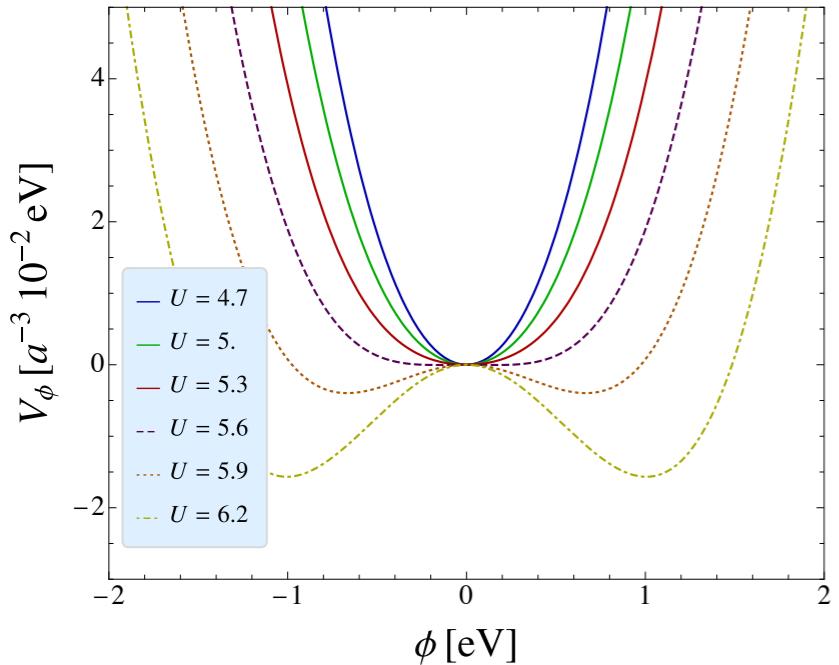
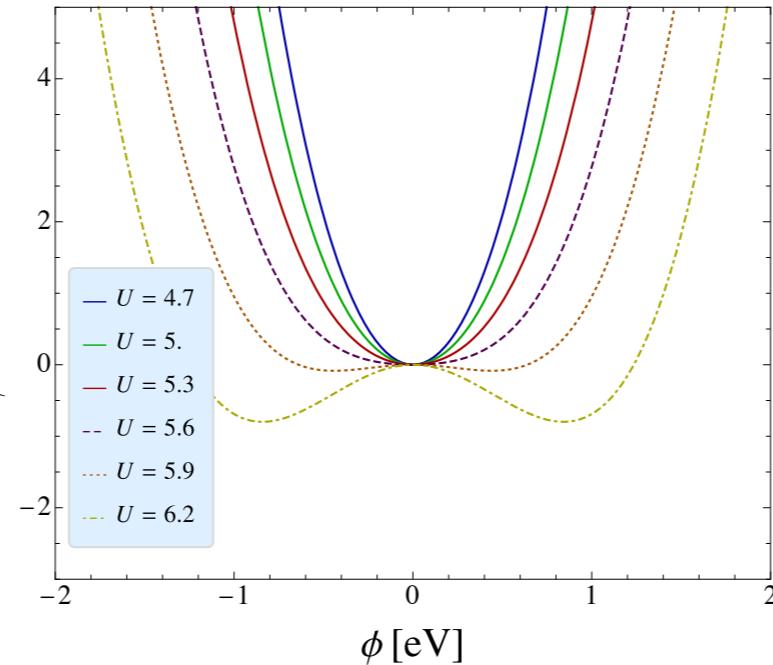
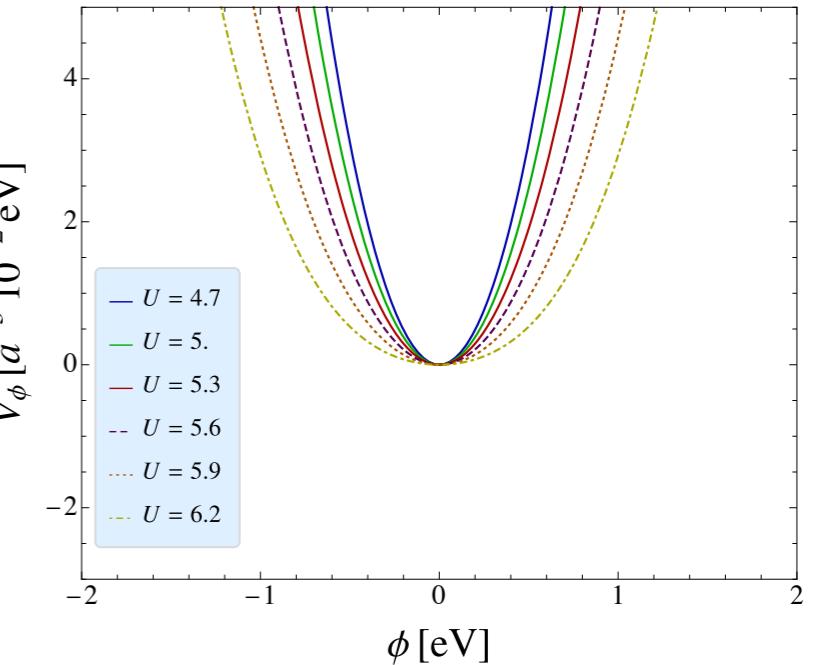
Effective model for 3D TI,  $M$  [eV] = 0.1



Phase transition from PM to AFM

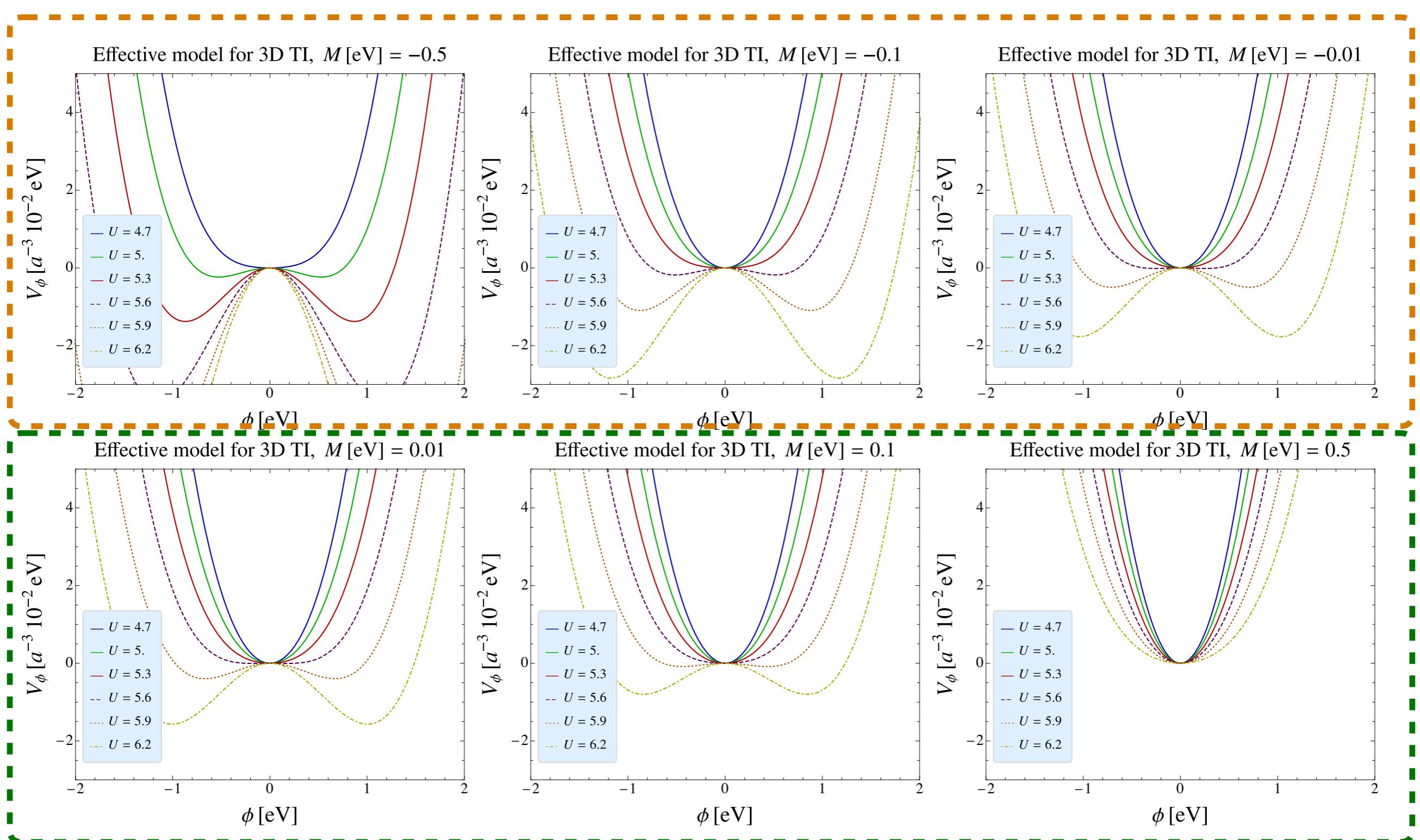
$$\therefore M^2 \propto 1/U$$

# $M$ dependence

Effective model for 3D TI,  $M$  [eV] = -0.5Effective model for 3D TI,  $M$  [eV] = -0.1Effective model for 3D TI,  $M$  [eV] = -0.01Effective model for 3D TI,  $M$  [eV] = 0.01Effective model for 3D TI,  $M$  [eV] = 0.1Effective model for 3D TI,  $M$  [eV] = 0.5

# $M$ dependence

TI



NI

The difference between TI and NI is not clear

Recall ME effect in TI is described by

$$\mathcal{L}_\theta = -\frac{\alpha}{4\pi} \int d^4x \ \theta F_{\mu\nu} \tilde{F}^{\mu\nu} \quad \text{with} \quad \theta = \pm\pi$$

Namely,

- $\theta = \pm\pi$  for TI
- $\theta = 0$  for NI



$\theta$  is the order parameter of phase transition between TI and NI

We need potential for  $\theta$

$\theta$  can be computed from Hamiltonian:

$$\bullet \quad \theta = \frac{1}{4\pi} \int d^3k \frac{2|d| + d^4}{(|d| + d^4)^2 |d|^3} \epsilon^{ijkl} d^i \partial_{k_x} d^j \partial_{k_y} d^k \partial_{k_z} d^l$$

R. Li et al. '10

- Approximately given by chiral anomaly (Fujikawa method)

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$$\bullet \quad \theta = \frac{1}{4\pi} \int d^3k \frac{2|d| + d^4}{(|d| + d^4)^2 |d|^3} \epsilon^{ijkl} d^i \partial_{k_x} d^j \partial_{k_y} d^k \partial_{k_z} d^l$$

R. Li et al. '10

- Approximately given by chiral anomaly (Fujikawa method)

## Derivation as chiral anomaly

$$H(\boldsymbol{k}) = \sum_{a=1}^5 d^a(\boldsymbol{k}) \Gamma^a$$

$$(d^1, d^2, d^3, d^4, d^5) = (A_2 \sin k_x, A_2 \sin k_y, A_1 \sin k_z, \mathcal{M}(\boldsymbol{k}), \phi)$$

$$\mathcal{M}(\boldsymbol{k}) = M - 2B_1 - 4B_2 + 2B_1 \cos k_z + 2B_2 (\cos k_x + \cos k_y)$$

## Derivation as chiral anomaly

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$$(d^1, d^2, d^3, d^4, d^5) = (A_2 \sin k_x, A_2 \sin k_y, A_1 \sin k_z, \mathcal{M}(\mathbf{k}), \phi)$$

$$\mathcal{M}(\mathbf{k}) = M - 2B_1 - 4B_2 + 2B_1 \cos k_z + 2B_2 (\cos k_x + \cos k_y)$$



- expand around  $\mathbf{k} = 0$
- redefine  $\mathbf{k}$

$$H(\mathbf{k}) = k_x \Gamma^1 + k_y \Gamma^2 + k_y \Gamma^3 + M \Gamma^4 + \phi \Gamma^5$$

“Dirac model”

$$H(\mathbf{k}) = k_x \Gamma^1 + k_y \Gamma^2 + k_y \Gamma^3 + M \Gamma^4 + \phi \Gamma^5$$



Unitary transformation of the basis

$$\tilde{U} H(\mathbf{k}) \tilde{U}^\dagger = \beta(\gamma \cdot \mathbf{k} + M + \phi \gamma_5)$$

→  $S = \int d^4x \bar{\psi} [i\gamma^\mu (\partial_\mu - ieA_\mu) - M - i\phi\gamma_5] \psi$

$\Gamma^5 \phi$  reduces to  $i\gamma^5 \phi$

$i\gamma^5\phi$  term can be rotated away, which gives rise to  $\theta$  term:

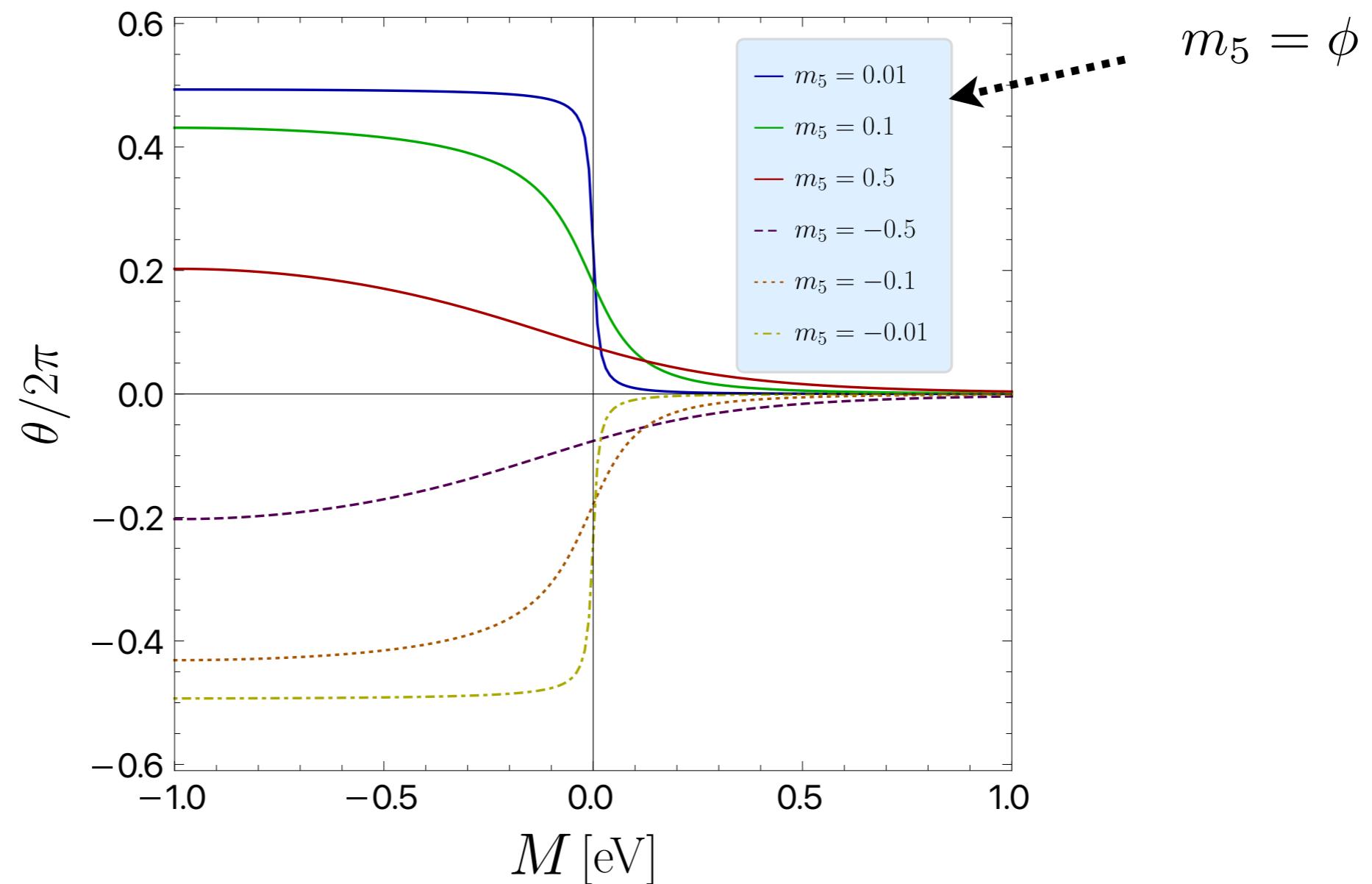
$$S_\Theta = -\frac{\alpha}{4\pi} \int d^4x \Theta F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$\Theta = \frac{\pi}{2} [1 - \text{sgn}(M)] \text{sgn}(\phi) + \tan^{-1} \frac{\phi}{M}$$

it is consistent with

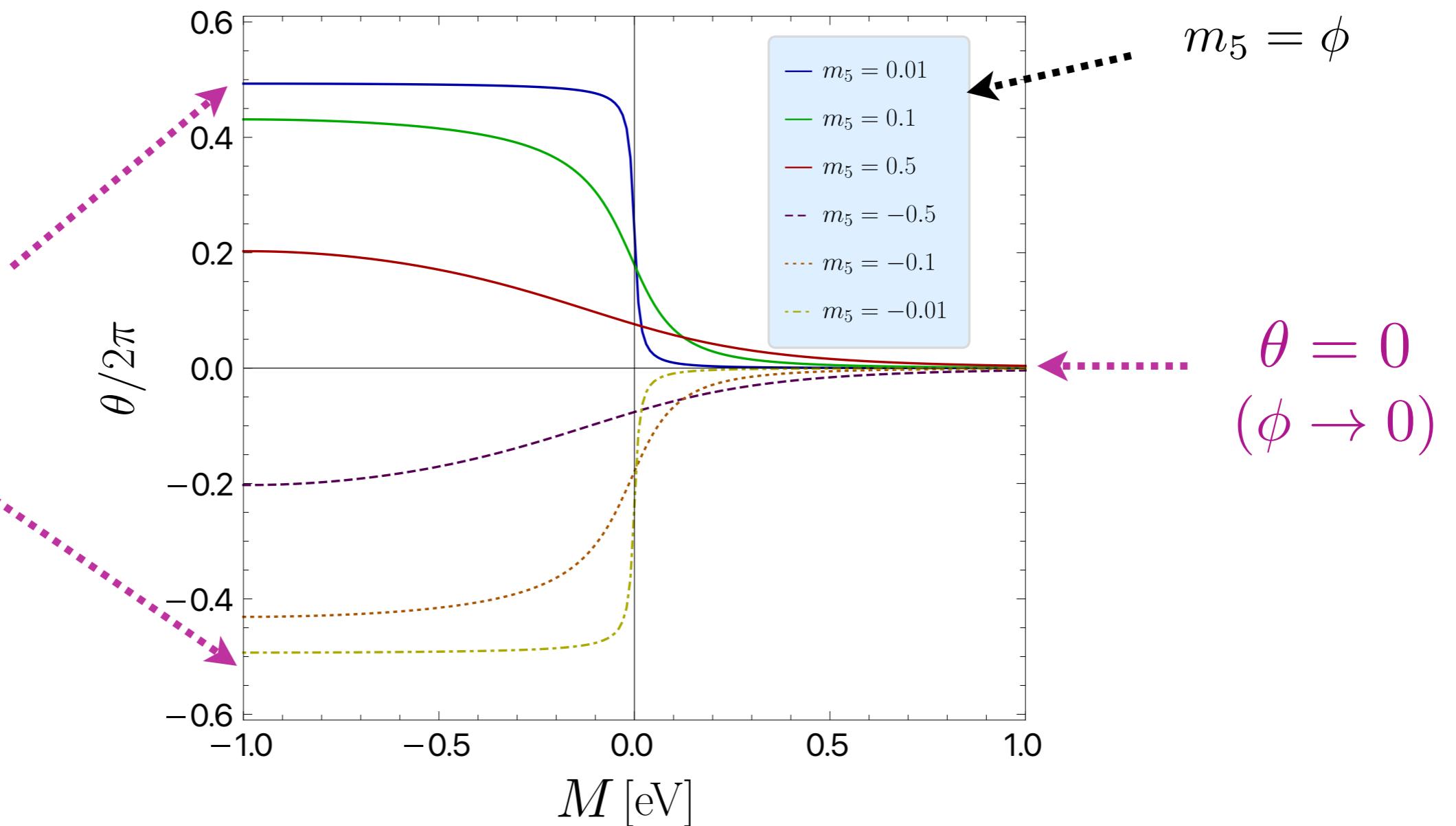
$$\theta = \frac{1}{4\pi} \int d^3k \frac{2|d| + d^4}{(|d| + d^4)^2 |d|^3} \epsilon^{ijkl} d^i \partial_{k_x} d^j \partial_{k_y} d^k \partial_{k_z} d^l$$

## Effective model for 3D TI

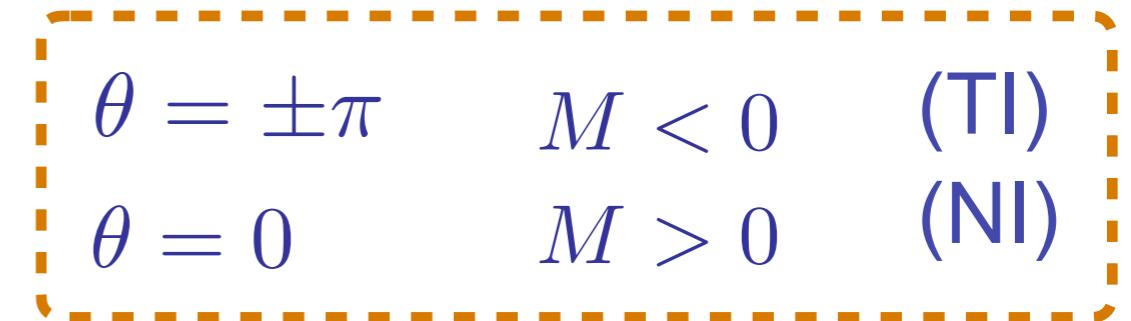
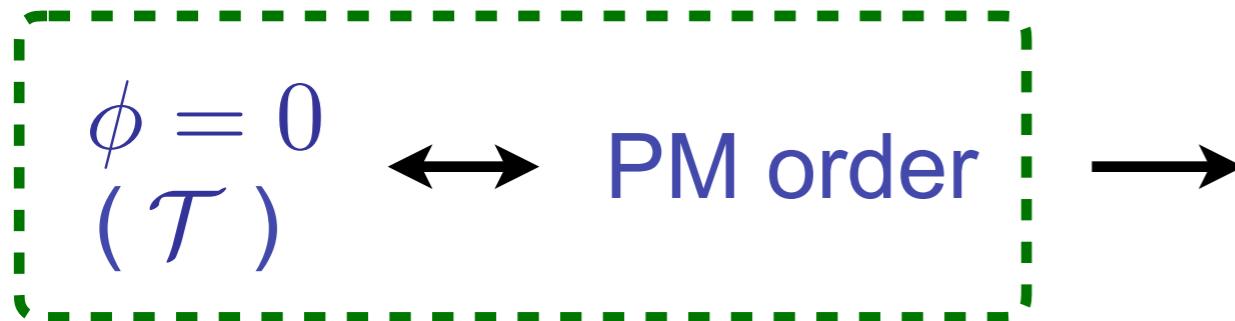
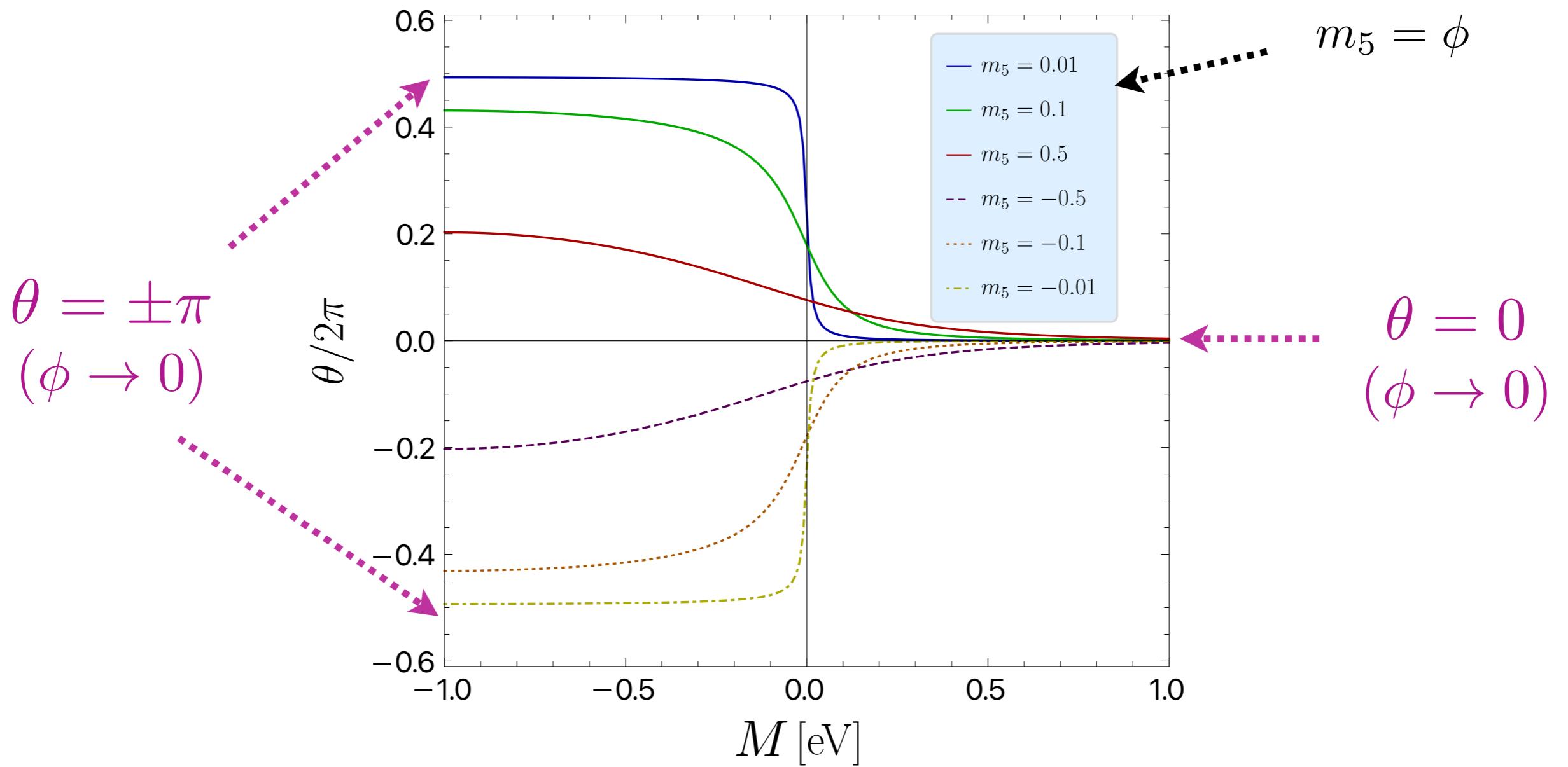


calculation for Dirac  
model is done by  
Zhang '19

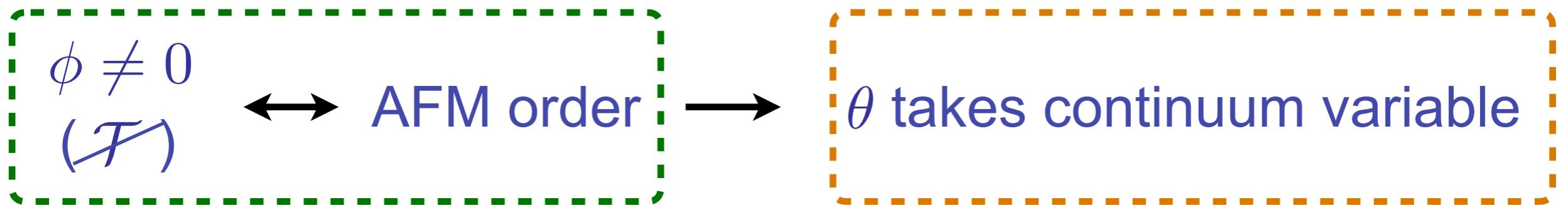
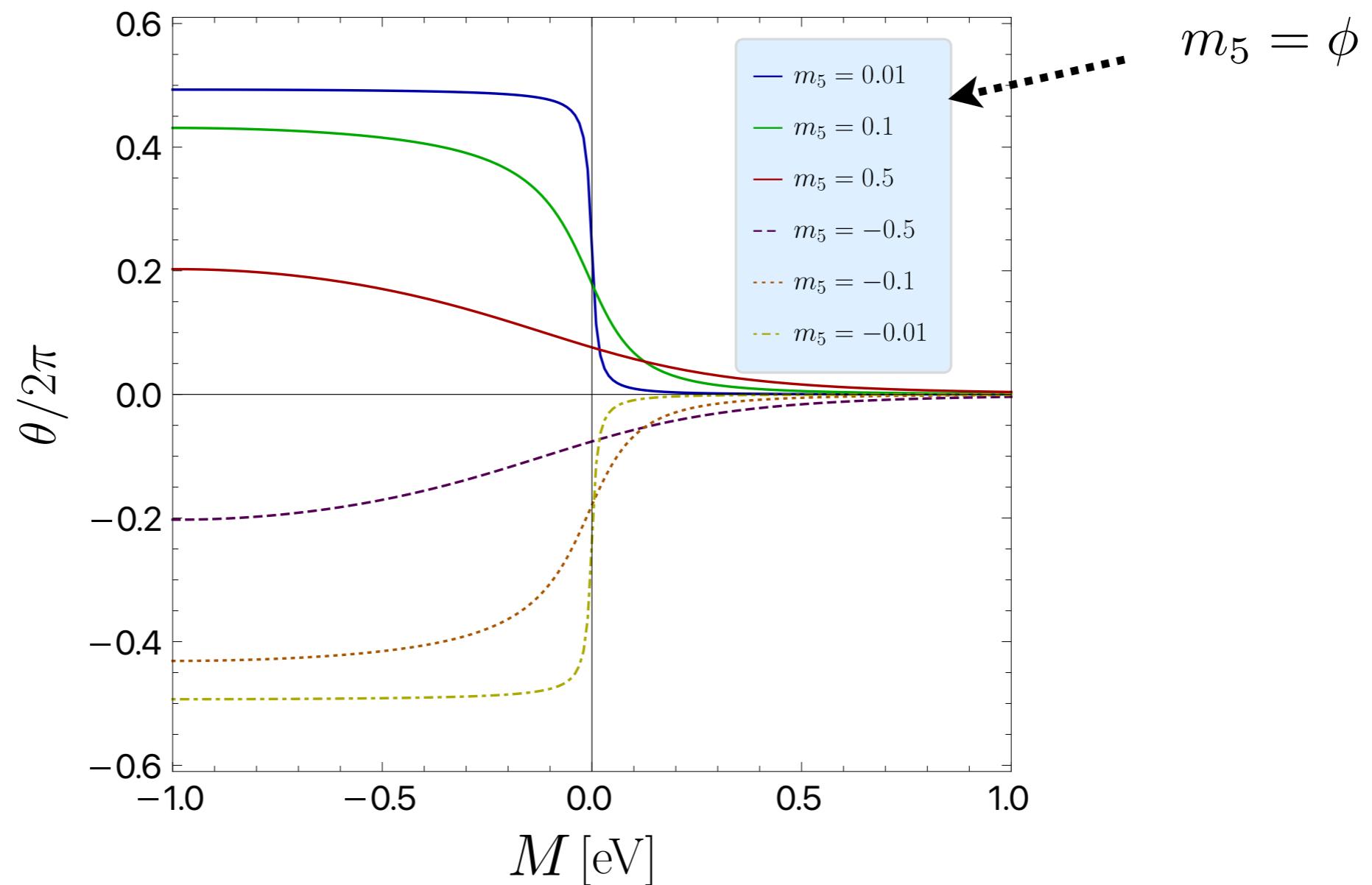
## Effective model for 3D TI

 $\theta = \pm\pi$   
 $(\phi \rightarrow 0)$  $m_5 = \phi$  $\theta = 0$   
 $(\phi \rightarrow 0)$

## Effective model for 3D TI



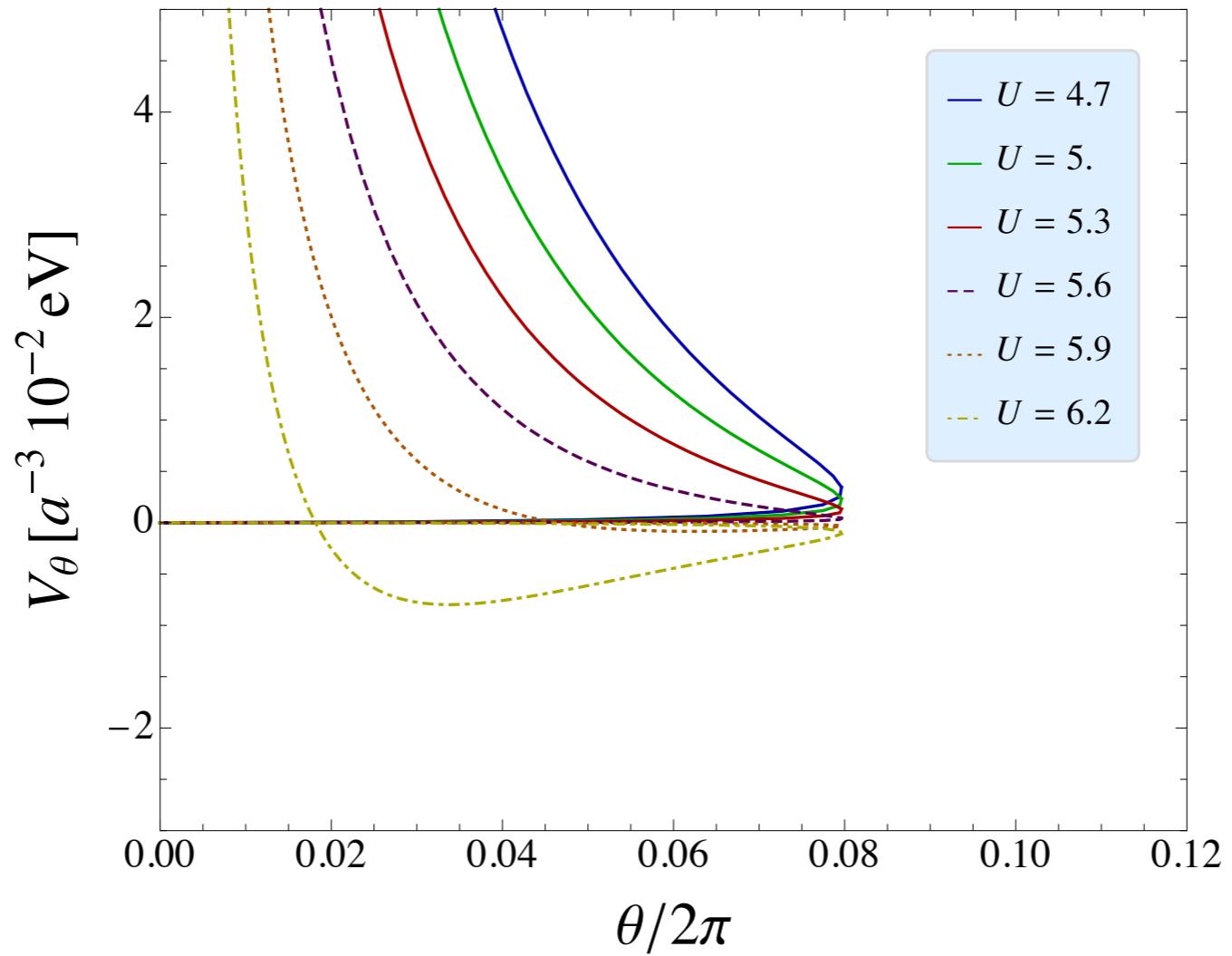
## Effective model for 3D TI



# Effective potential in terms of $\theta$

Effective model for 3D TI,  $M$  [eV] = 0.1

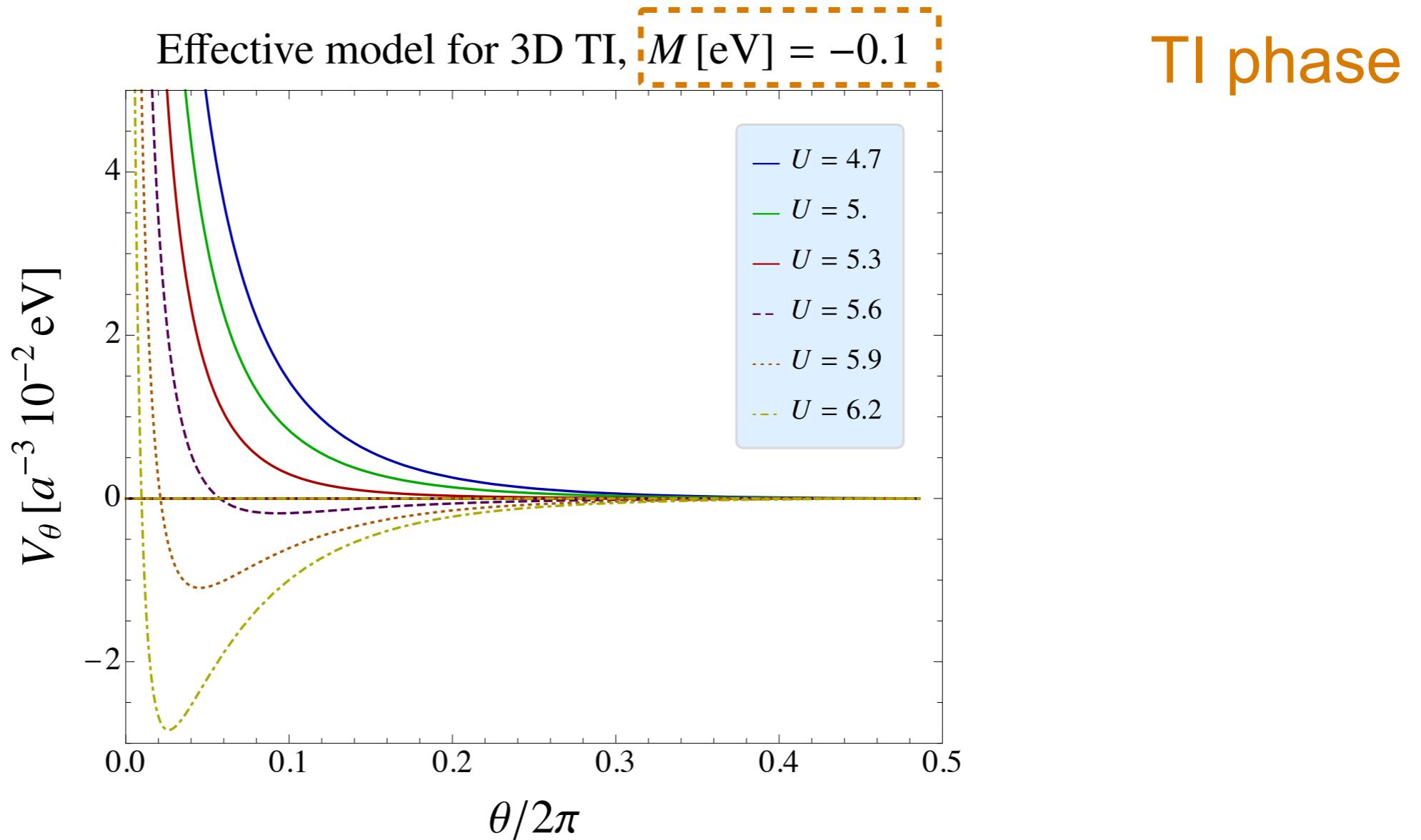
NI phase



Potential minimum:

- $\theta = 0$  (small  $U$ , i.e., PM)
- $\theta \neq 0$  (large  $U$ , i.e., AFM)

# Effective potential in terms of $\theta$



Potential minimum:

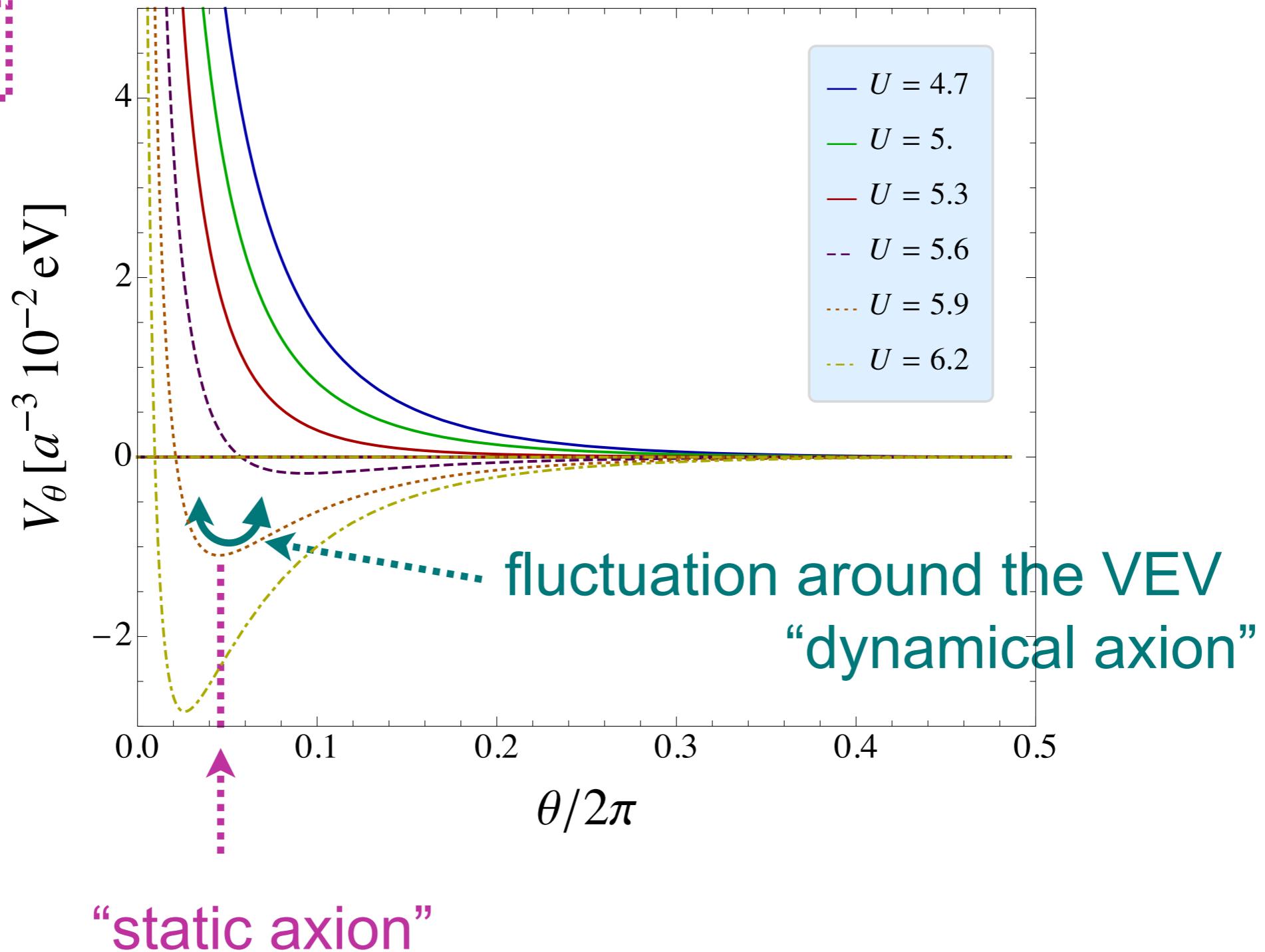
- $\theta = \pi$  (small  $U$ , i.e., PM)
- $\theta \neq 0$  (large  $U$ , i.e., AFM)

# Axion in condensed matter

- static axion
- dynamical axion

Effective model for 3D TI,  $M$  [eV] = -0.1

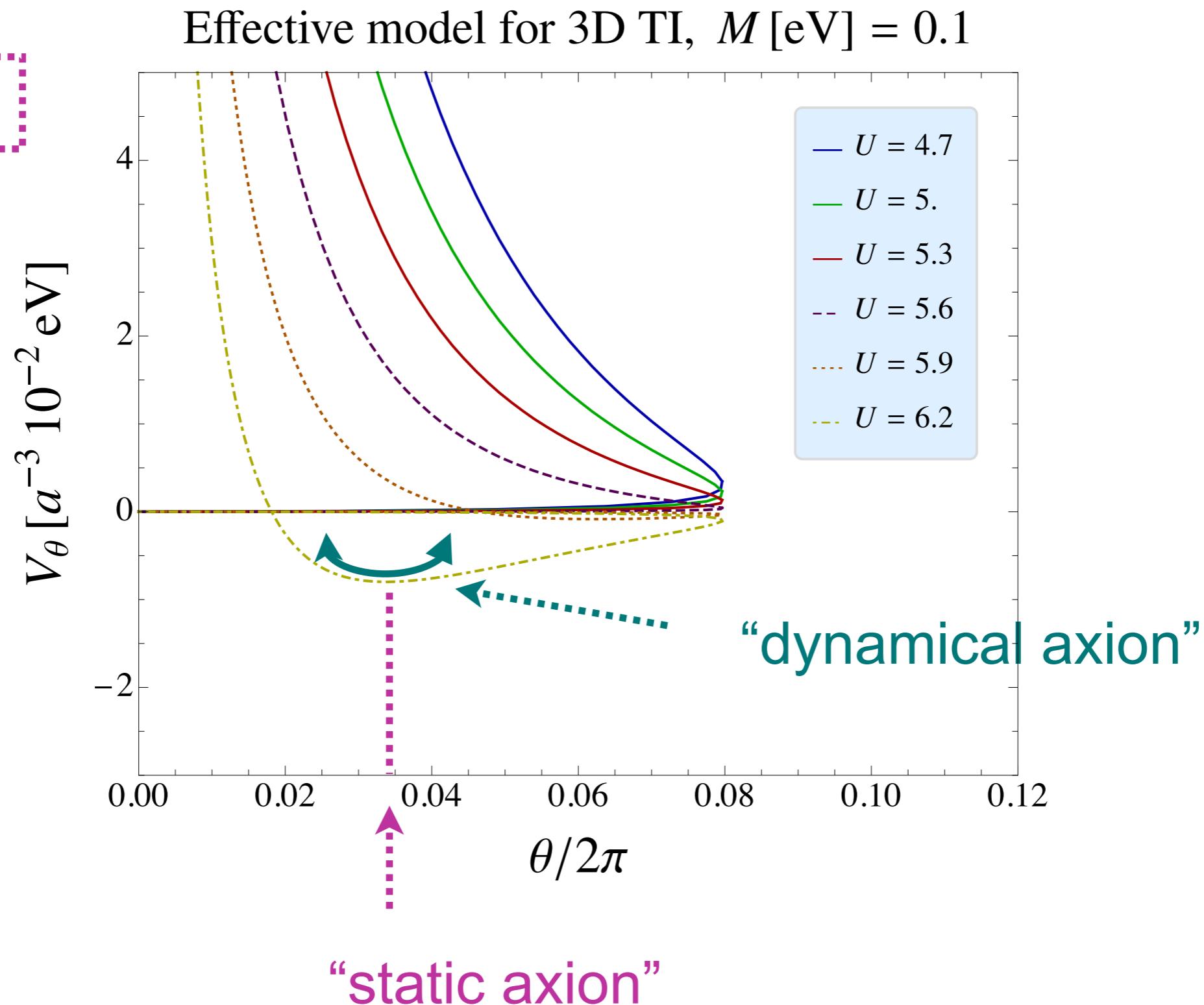
TI phase



# Axion in condensed matter

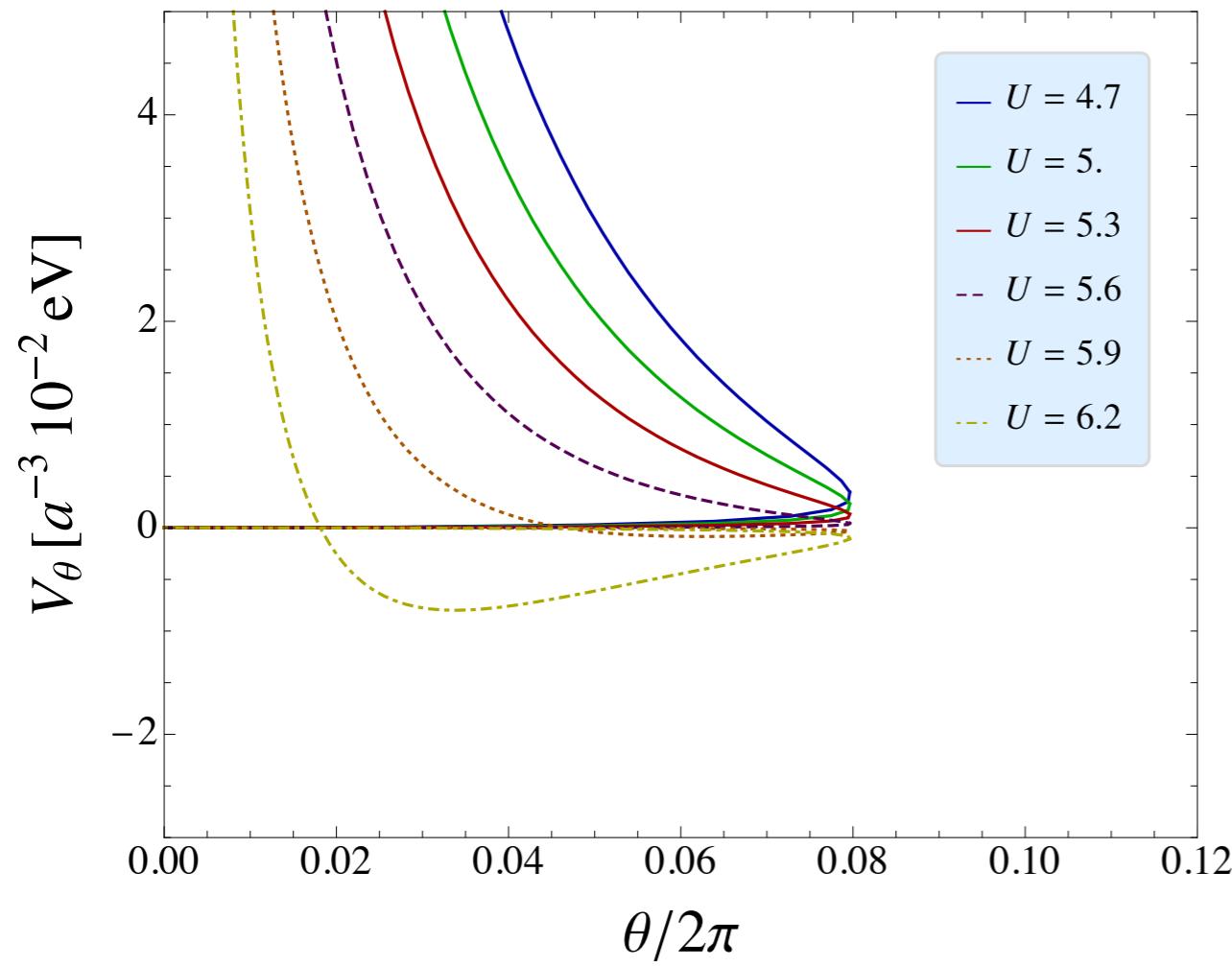
- static axion
- dynamical axion

NI phase

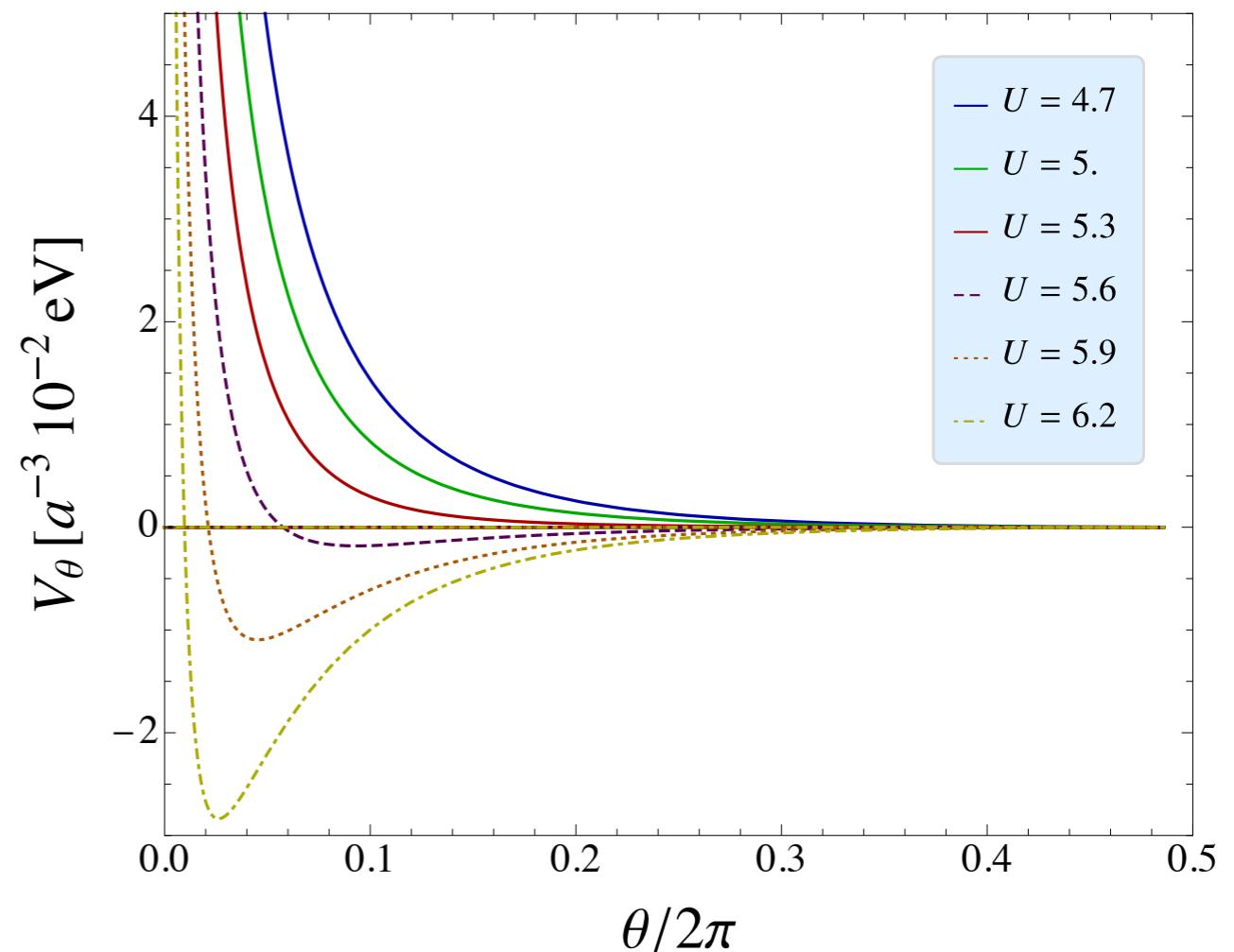


# Axion in condensed matter

Effective model for 3D TI,  $M$  [eV] = 0.1

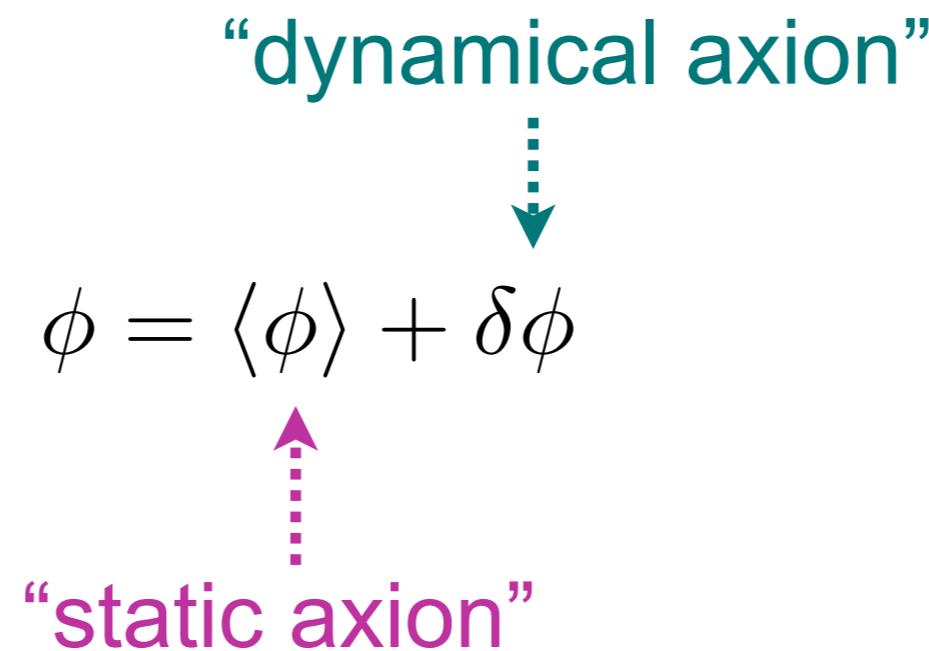


Effective model for 3D TI,  $M$  [eV] = -0.1



Dynamical axion exists in both TI and NI phases

# Axion in condensed matter



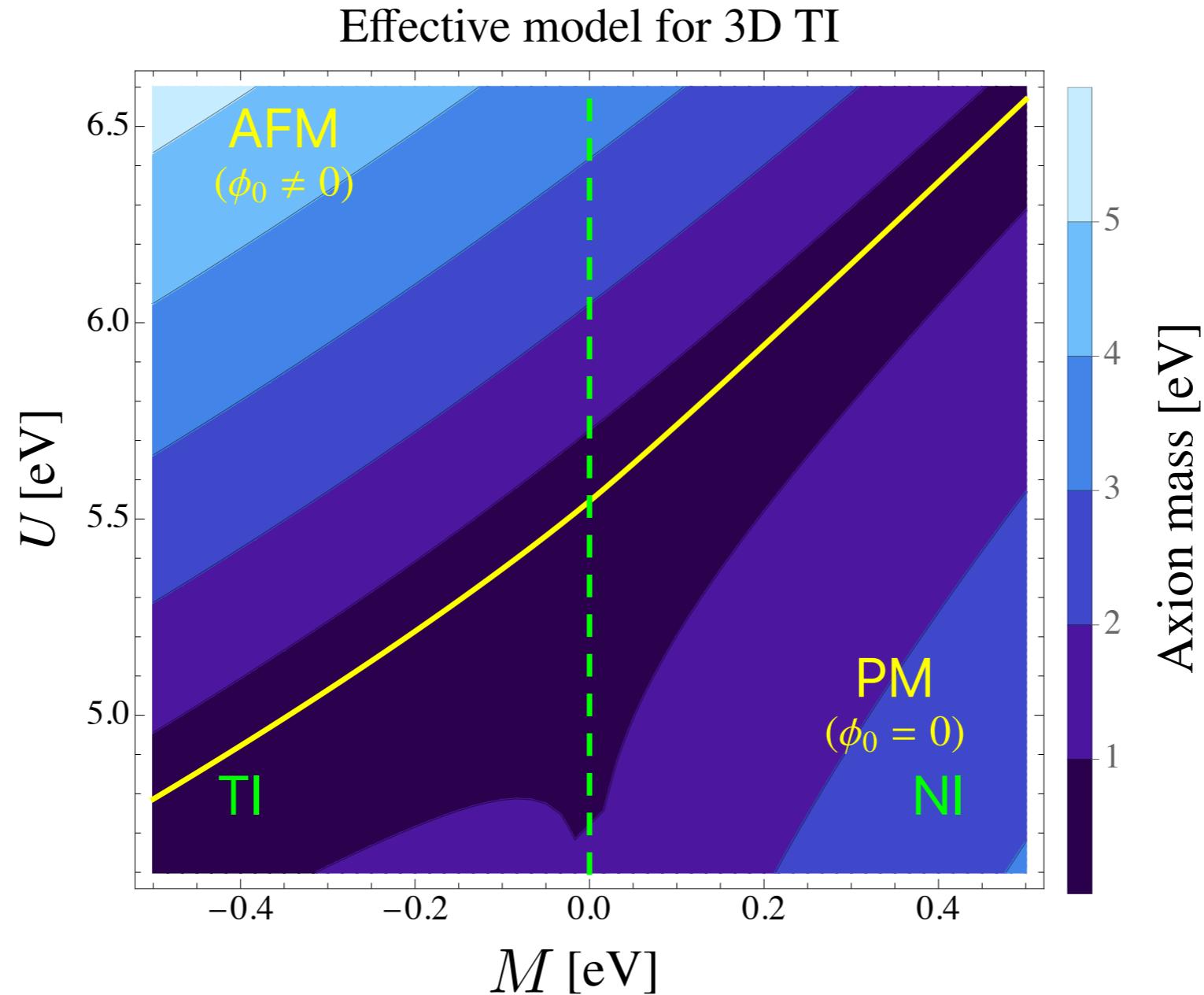
"dynamical axion" = amplitude mode of magnon

magnon: quantum of magnetization

Note: magnon can be dynamical axion

see Chigusa, Nakayama, Moroi '21

# Axion mass



Axion mass is  $\mathcal{O}(\text{eV})$

# Dynamical axion is predicted in topological magnetic insulators

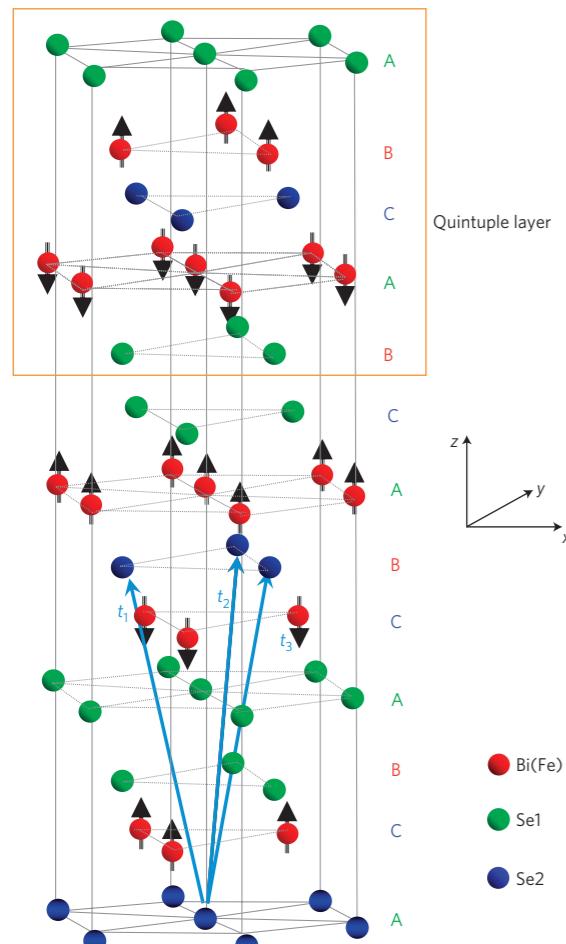
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PUBLISHED ONLINE: 7 MARCH 2010 | DOI: 10.1038/NPHYS1534

nature  
physics

## Dynamical axion field in topological magnetic insulators

Rundong Li<sup>1</sup>, Jing Wang<sup>1,2</sup>, Xiao-Liang Qi<sup>1</sup> and Shou-Cheng Zhang<sup>1\*</sup>



$\text{Bi}_2\text{Se}_3$



$$\begin{aligned} \mathcal{S}_{\text{tot}} &= \mathcal{S}_{\text{Maxwell}} + \mathcal{S}_{\text{topo}} + \mathcal{S}_{\text{axion}} \\ &= \frac{1}{8\pi} \int d^3x dt \left( \epsilon \mathbf{E}^2 - \frac{1}{\mu} \mathbf{B}^2 \right) + \boxed{\frac{\alpha}{4\pi^2} \int d^3x dt (\theta_0 + \delta\theta) \mathbf{E} \cdot \mathbf{B}} \\ &\quad + g^2 J \int d^3x dt [(\partial_t \delta\theta)^2 - (\nu_i \partial_i \delta\theta)^2 - m^2 \delta\theta^2] \end{aligned} \quad (4)$$

Axion mass  $\sim \mathcal{O}(\text{meV})$

# Dynamical axion is predicted in topological magnetic insulators

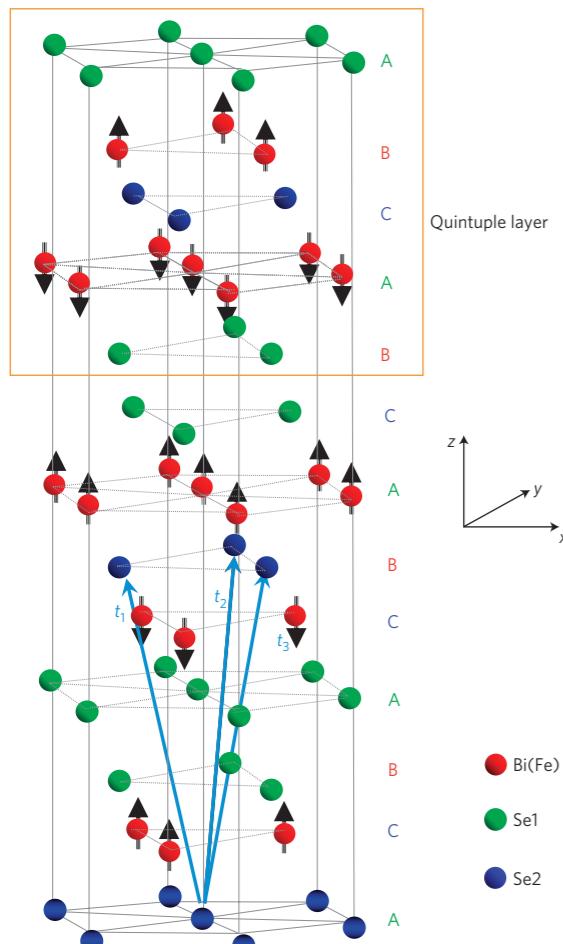
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Axion mass  $\sim \mathcal{O}(\text{meV})$

?

# The thing is ...

R. Li et al. '10

- $\langle \phi \rangle (= m_5) = 1 \text{ meV}$  is taken  
(i.e.,  $\langle \phi \rangle$  is considered to be a free parameter)
- AFM order is *assumed*

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R. Li et al. '10

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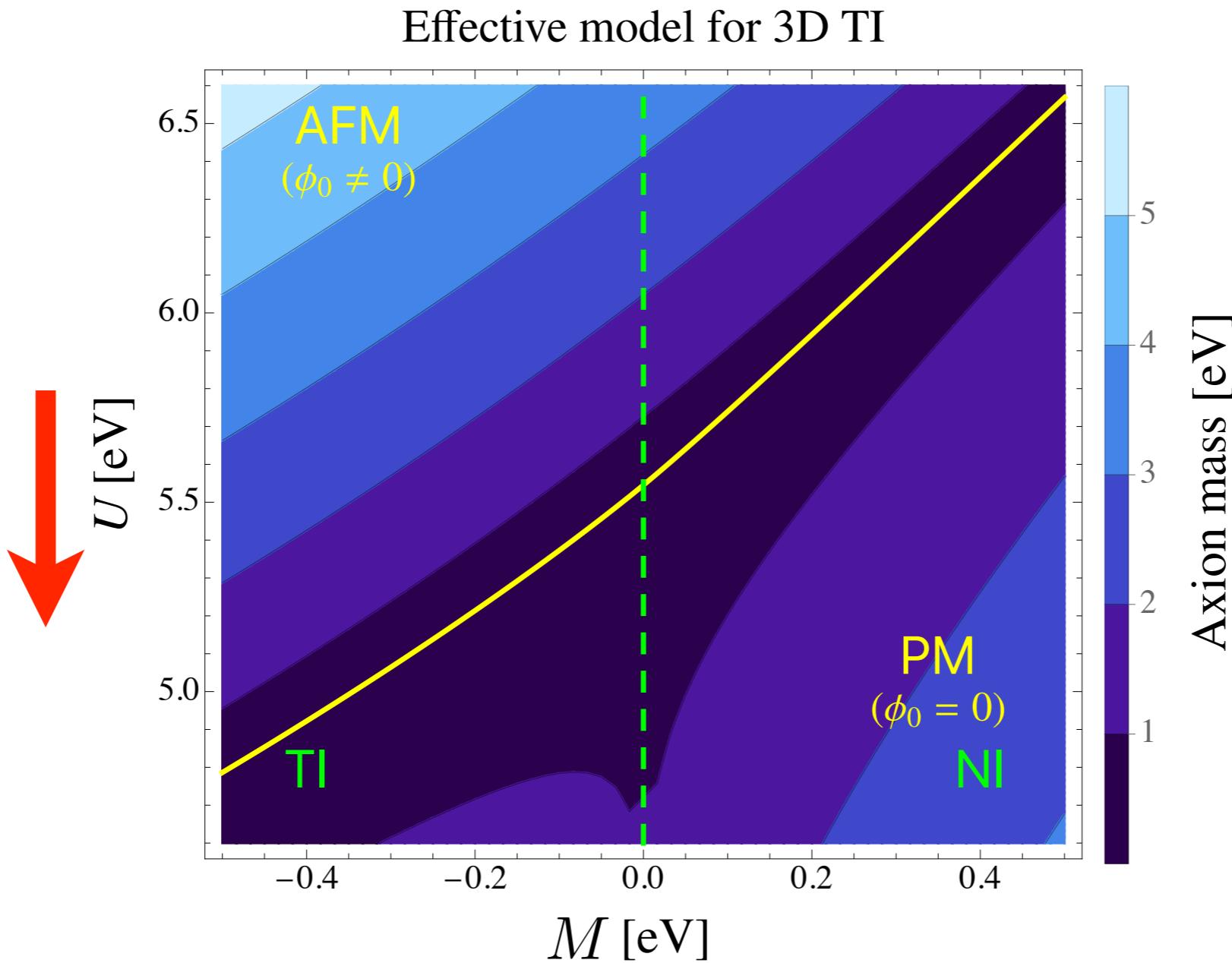
R. Li et al. '10

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But this is not naively possible since

- AFM order is assumed  $m_5 \sim U \sim \text{eV}$  (in AFM order)

# Axion mass



Suppressed  $U$  → No AFM

# The thing is ...

R. Li et al. '10

- $\langle \phi \rangle (= m_5) = 1 \text{ meV}$  is taken

(i.e.,  $\langle \phi \rangle$  is considered to be a free parameter)

$$\rightarrow \text{Axion mass} \sim \mathcal{O}(\text{meV}) \quad (\because m_a^2 \propto m_5^2)$$

But this is not naively possible since

- AFM order is assumed  $m_5 \sim U \sim \text{eV}$  (in AFM order)

They may have misunderstood the amplitude mode as magnon?

# The thing is ...

R. Li et al. '10

- $\langle \phi \rangle (= m_5) = 1 \text{ meV}$  is taken  
(i.e.,  $\langle \phi \rangle$  is considered to be a free parameter)  
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R. Li et al. '10

- $\langle \phi \rangle (= m_5) = 1 \text{ meV}$  is taken  
(i.e.,  $\langle \phi \rangle$  is considered to be a free parameter)  
→ Axion mass  $\sim \mathcal{O}(\text{meV})$  ( $\because m_a^2 \propto m_5^2$ )
- AFM order is assumed  
No AFM in TI in the first place  
→ Fe -doped  $\text{Bi}_2\text{Te}_3$  is proposed

- Fe-doped  $\text{Bi}_2\text{Te}_3$

“likely to be AFM”  
(by first-principles calculation)

J.M. Zhang et al. '13

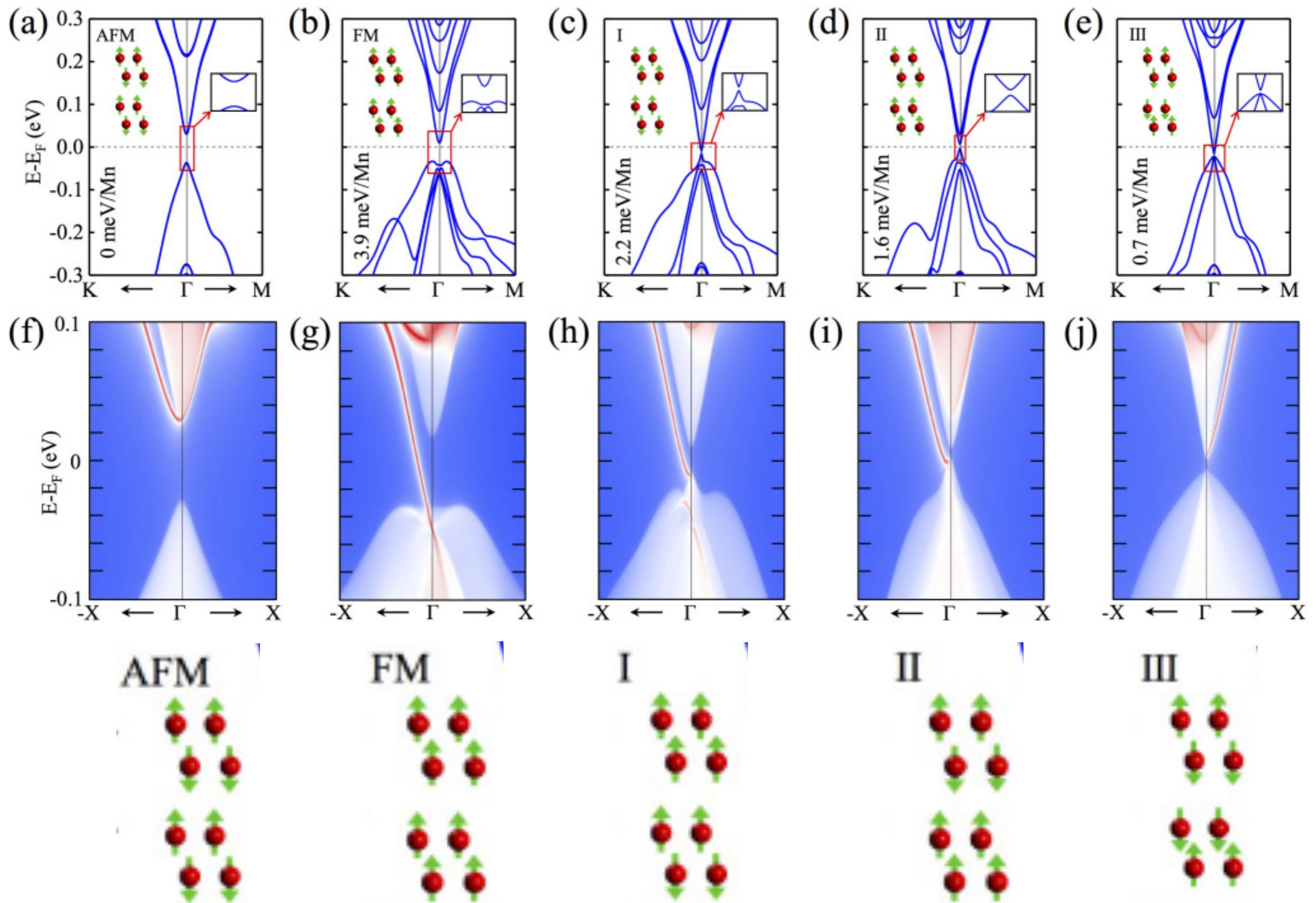
→ it looks unlikely to be realized ...

- $\text{Mn}_2\text{Bi}_2\text{Te}_5$

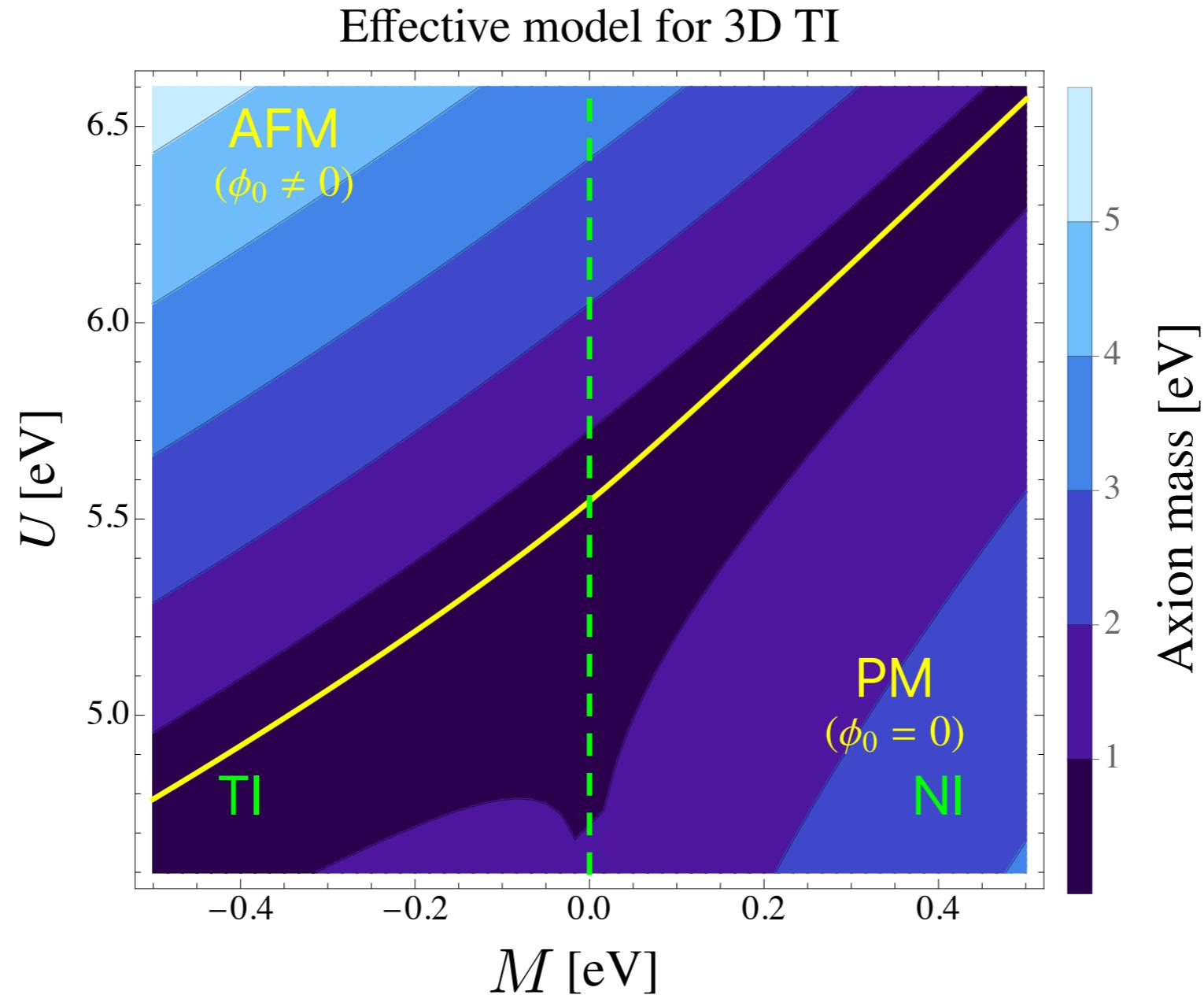
J. Zhang et al. '19

“rich magnetic topological quantum states”  
(by first-principles calculation)

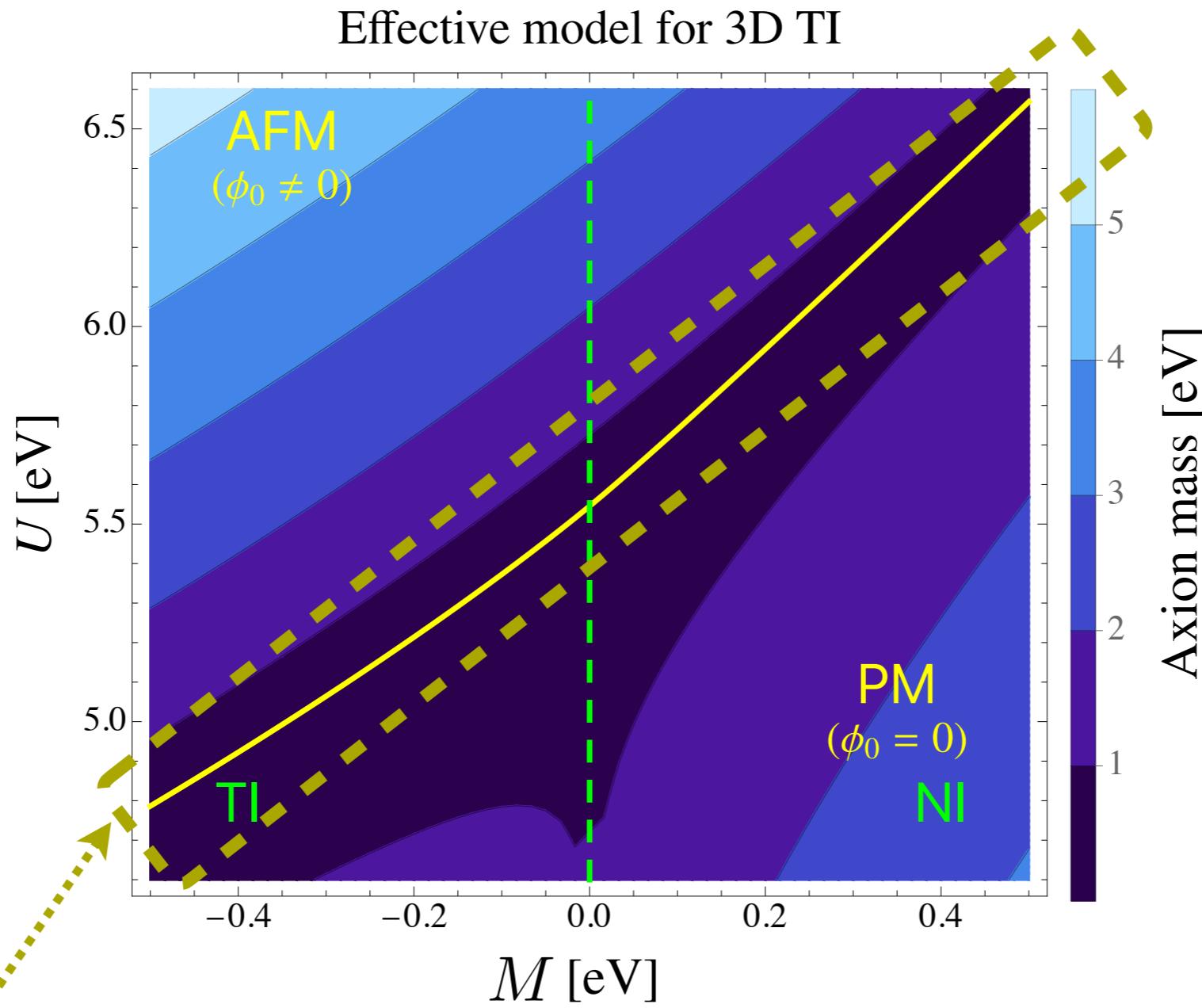
Y. Li et al. '20



# Axion mass



# Axion mass



It can be suppressed  
near the phase boundary

Rich magnetic topological  
states in that region?

## **4. Conclusions and discussion**

We have static and dynamical axions in AFM TI consistently by using path integral

- Nonzero  $\langle \phi \rangle$  is obtained from the effective potential, which gives rise to AFM order and breaks  $\mathcal{T}$
- Dynamical axion appears both in TI and NI
- Axion mass is  $\lesssim \mathcal{O}(\text{eV})$

## Discussion

- How do we describe axion in  $\text{Mn}_2\text{Bi}_2\text{Te}_5$  ?
- What about axion in NI ?
- Dynamical axion in ferromagnetic state or other magnetic states?