



Alexander von Humboldt
Stiftung / Foundation



Weak renormalization group and critical phenomena

Masatoshi Yamada

Institut für theoretische Physik, Universität Heidelberg

Based on Nucl.Phys. B931 (2018) 105-131

Seminar@Kyoto university

Basic idea

- Functional Renormalization Group
 - Wilson RG, Non-perturbative RG, Exact RG...
 - The method to evaluate the path integral

Path integral

$$Z = \int \mathcal{D}\phi e^{iS}$$

Functional integral

FRG equation

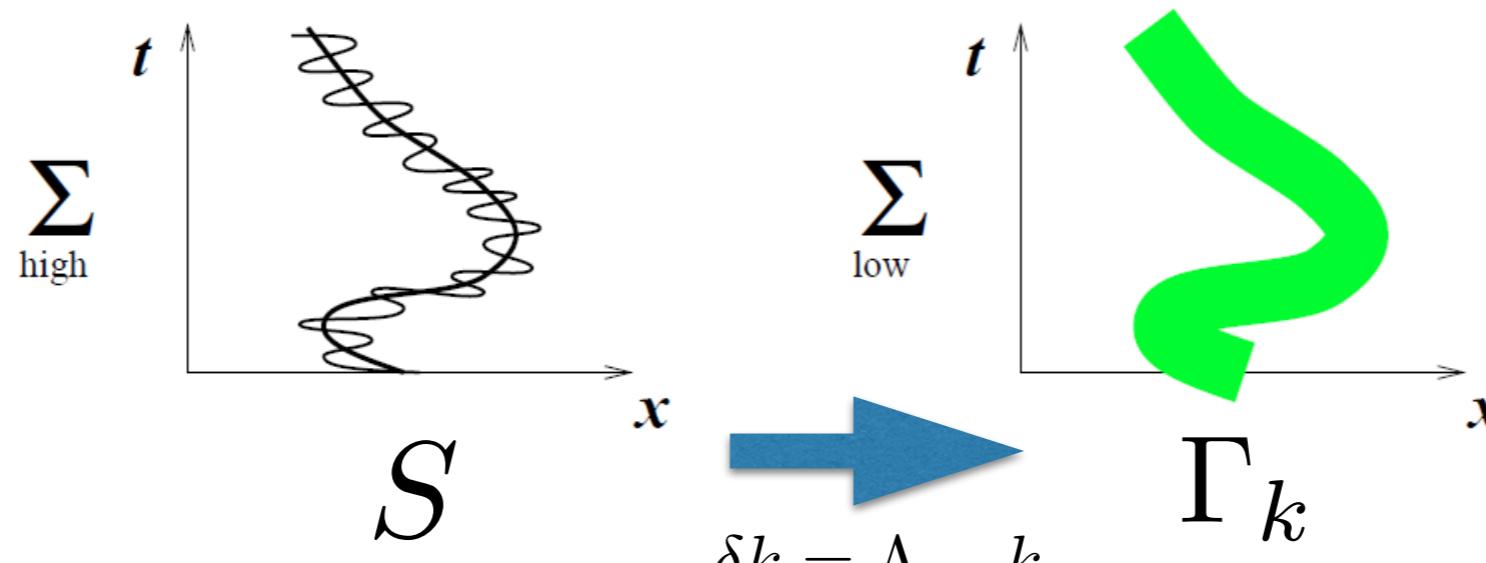
$$\frac{d\Gamma_k}{dk} = \beta \left[\frac{d^2\Gamma_k}{d\phi^2}; k \right]$$

$$\Gamma_{k=\Lambda} = S$$

Functional differential equation

RG transformation

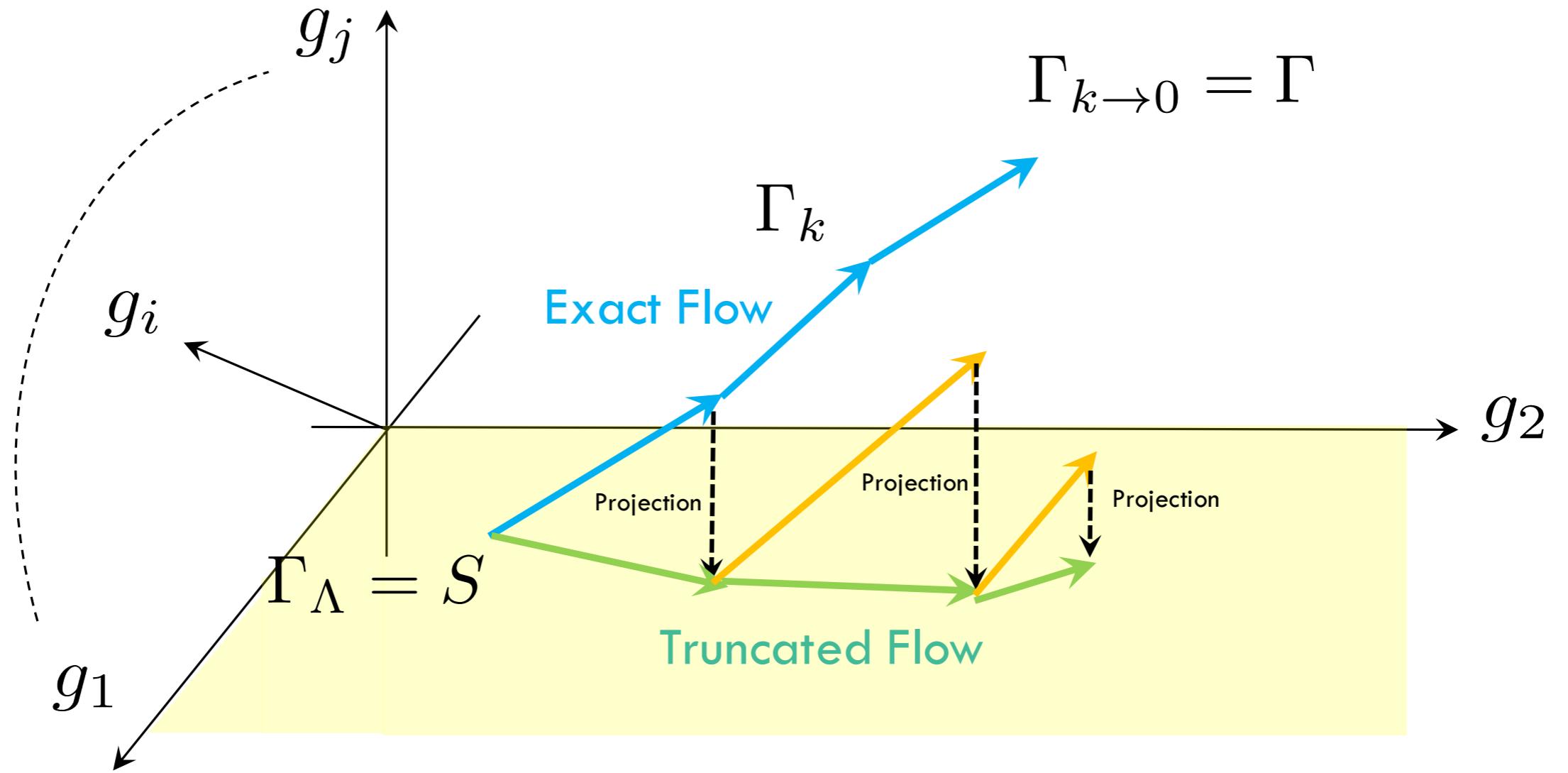
- Coarse-graining: Summing up quantum fluctuations



- Rescaling

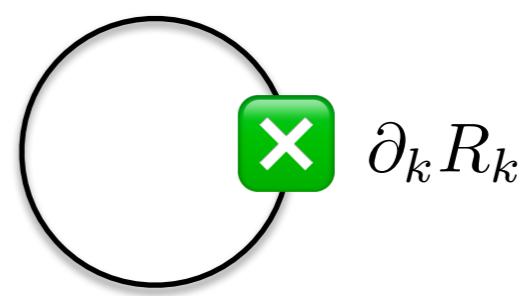
- Normalize the energy unit and the kinetic term.

RG flow



Wetterich equation

$$\partial_k \Gamma_k = \frac{1}{2} \text{STr} \left[\frac{\partial_k R_k}{\frac{\delta^2 \Gamma_k}{\delta \phi^2} + R_k} \right] =$$



Advantages of FRG

- The FRG is useful to evaluate the fixed point structure and critical exponents.

$$\Gamma_k = \int d^d x [g_1 \mathcal{O}_1 + g_2 \mathcal{O}_2 + \dots]$$

$$k \frac{\partial g_i}{\partial k} = \beta_i(g_i) = 0 \quad \xrightarrow{\text{blue arrow}} \quad g_i^*$$

$$g_i = g_i^* + C_i \left(\frac{k}{k_0} \right)^{-\theta_i}$$

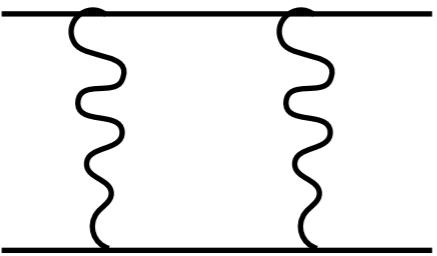
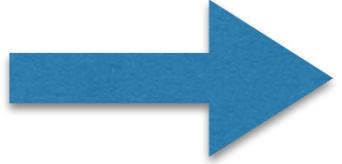
Advantages of FRG

- Systematically improve the approximation
 - The mean-field approximation and Schwinger-Dyson equation have difficulty to improve the approximation.
- No sign problem
 - Lattice Monte Carlo simulation suffers from the sign problem.

Plan

1. Four-Fermi coupling diverges.
2. Functional renormalization group with weak solution (Weak renormalization group)
3. Chiral phase diagram

D χ SB

- Effective interaction four-Fermi structure
  $(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\psi)^2$
- Effective model describing the D χ SB:
 - Nambu-Jona-Lasinio (NJL) model
$$\mathcal{L}_{\text{NJL}} = \bar{\psi}i\partial\psi + \frac{G}{2} [(\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\psi)^2]$$
- Invariant under
 $\psi \rightarrow e^{i\gamma^5\theta}\psi \quad \bar{\psi} \rightarrow \bar{\psi}e^{i\gamma^5\theta}$

NJL model in FRG

- Initial action at $k=\Lambda$: Simplified NJL model

$$S = \int d^4x \left[\bar{\psi} \not{\partial} \psi - \frac{G_0}{2} (\bar{\psi} \psi)^2 \right]$$

- Invariant under $\psi \rightarrow \gamma^5 \psi, \bar{\psi} \rightarrow -\bar{\psi} \gamma^5$
- Effective action (with LPA)

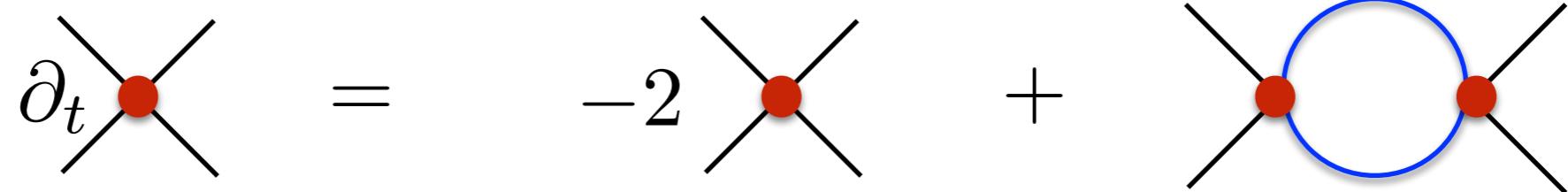
$$\Gamma_k = \int d^4x \left[\bar{\psi} \not{\partial} \psi - V(\sigma; k) \right] \quad \sigma = \bar{\psi} \psi$$

$$V(\sigma; k) = \frac{G_k}{2} \sigma^2 + \frac{G_{8,k}}{4} \sigma^4 + \dots$$

Four-Fermi coupling diverges at critical scale

- RG equation of G

$$\tilde{G} = \frac{G_k k^2}{2\pi^2}$$

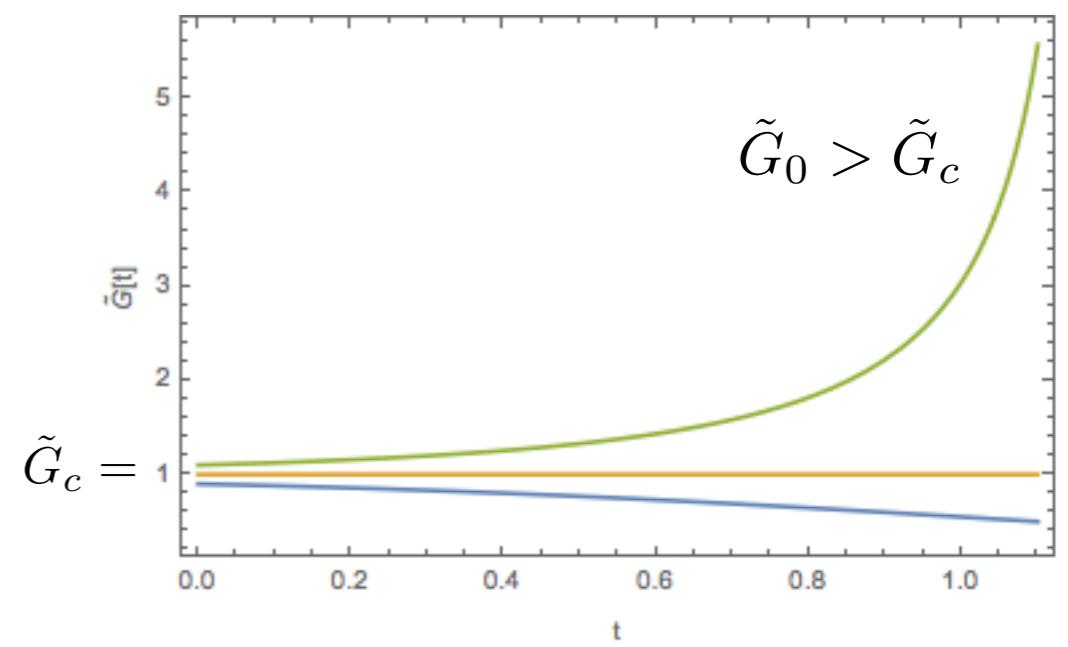


$$\partial_t \tilde{G} = -2\tilde{G} + 2\tilde{G}^2 \xrightarrow{\text{Fixed Point}} \tilde{G}_c = 1$$

- Solution:

$$\tilde{G}(t) = \frac{\tilde{G}_c \tilde{G}_0}{\tilde{G}_0 - (\tilde{G}_0 - \tilde{G}_c)e^{2t}}$$

It diverges at $t_c = \frac{1}{2} \log \left(\frac{\tilde{G}_0}{\tilde{G}_0 - \tilde{G}_c} \right)$



The divergence is signal of 2nd-order phase transition.

- Path integral(partition function)

$$Z = e^{\textcolor{red}{W}} = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S + \int d^4x m_0 \bar{\psi} \psi}$$

- Susceptibility

$$\chi := \left. \frac{\partial^2 \textcolor{red}{W}}{\partial m_0^2} \right|_{m_0=0} \sim \langle (\bar{\psi} \psi)^2 \rangle \sim G$$

- 2nd order phase transition

↔ Divergence of susceptibility (2nd order derivative of $\textcolor{red}{W}$)

The divergence is signal of 2nd-order phase transition.

- The divergence is physical: signal of symmetry breaking (2nd order).
- However…
 - Once the RG equation diverges, we cannot follow the RG flow after the divergence.
 - The physical values, e.g., chiral condensate, should be evaluated at infrared scale $\Lambda \rightarrow 0$ ($t \rightarrow \infty$)

Legendre effective potential

- Legendre effective potential

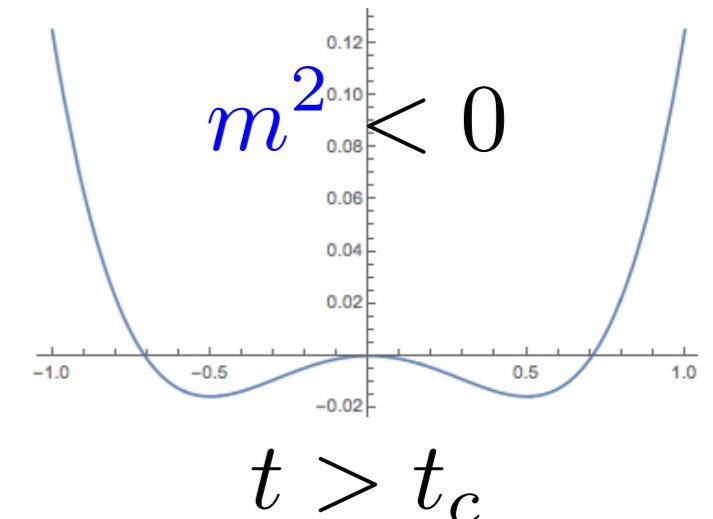
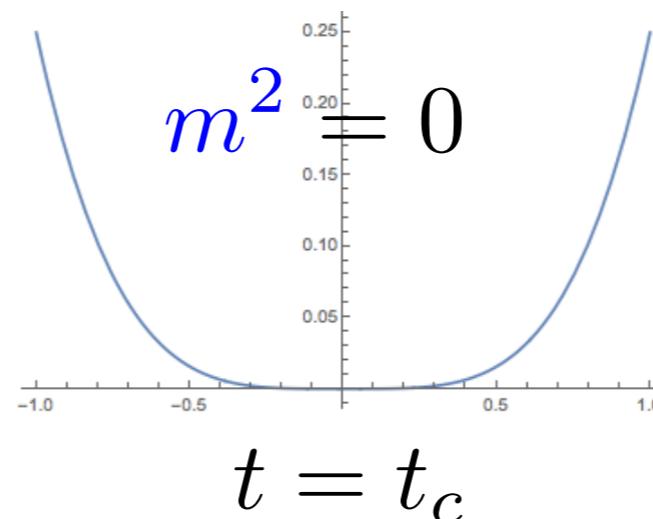
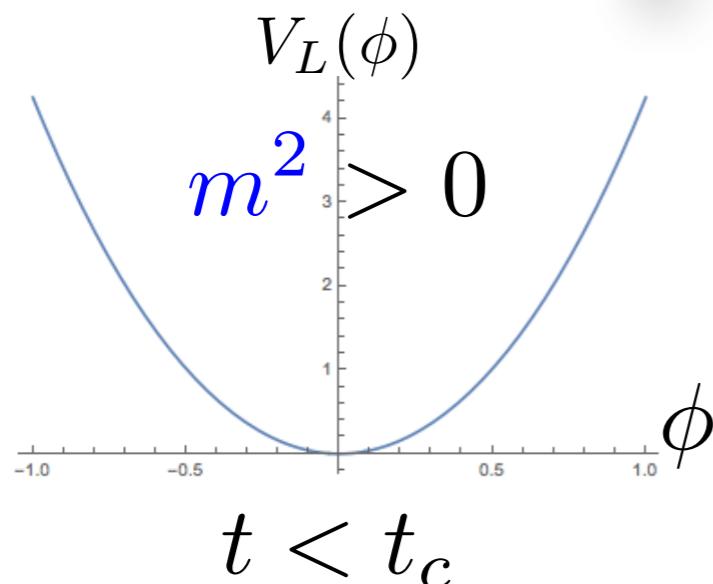
$$V_L(\phi) = -W(m_0) + m_0\phi \quad \phi = \frac{\partial W}{\partial m_0} = \langle \bar{\psi}\psi \rangle$$

$$V_L(\phi) = \frac{1}{2}m^2\phi^2 + \frac{\lambda}{4}\phi^4 + \dots$$

- Well-known relation:

$$1 = \left. \frac{\partial^2 W}{\partial m_0^2} \cdot \frac{\partial V_L}{\partial \phi^2} \right|_{m_0=0, \phi=0} = \chi m^2$$

$$\chi \sim G \rightarrow \infty \iff m^2 \rightarrow 0$$



Summary so far

- The four-Fermi coupling constant is the (chiral) susceptibility.
- Divergence of $G \Leftrightarrow$ 2nd order phase transition
- It is difficult to go to the broken phase.

Plan

1. Four-Fermi coupling diverges.
2. Functional renormalization group with weak solution (Weak renormalisation group)
3. Chiral phase diagram

How to access to broken phase ?

- Bosonization (auxiliary field method) Phys.Rev. D61 (2000) 045008

- Inserting the Gauss integral: $1 = \mathcal{N} \int \mathcal{D}\phi e^{-\int d^4x \frac{y^2}{2G} \left(\phi - \frac{G}{y} \bar{\psi}\psi\right)^2}$


$$\frac{y^2}{2G} \phi^2 = \frac{m^2}{2} \phi^2$$

- Dynamical Bosonization Phys.Rev. D94 (2016) no.3, 034016

- Scale-depend field: $\phi \rightarrow \phi_k$

- External field method Prog.Theor.Phys. 121 (2009) 875-884

- Introduce $m_0 \bar{\psi}\psi$

$$\langle \bar{\psi}\psi \rangle = \lim_{m_0 \rightarrow +0} \lim_{t \rightarrow \infty} \frac{\partial V(\psi, \bar{\psi}; t)}{\partial m_0}$$

Weak solution method

- We introduce neither an auxiliary field nor an external field.
- Mathematically define the solution with divergences!

RG equation is PDE

- RG equation as partial differential equation (PDE)

$$\partial_t V(\sigma; t) = -F(M; t) \xrightarrow{\partial_\sigma} \partial_t M + \partial_\sigma F(M; t) = 0$$

Beta function: $F(M; t) = -\frac{k^3}{\pi^2} \sqrt{k^2 + M^2}$ $k = \Lambda e^{-t}$

Mass function: $M = \partial_\sigma V$

- Initial condition $V(\sigma; t = 0) = \frac{G_0}{2} \sigma^2$

$$M(\sigma; t = 0) = G_0 \sigma$$

Solving RG equation

$$\partial_t M + \partial_\sigma F(M; t) = 0$$

$$\text{with } M(\sigma; t = 0) = G_0\sigma$$

- Due to the divergence of G , the derivatives with respect to $\textcolor{red}{t}$ and $\textcolor{blue}{\sigma}$ cannot be defined.

Weak solution

- Introduce the test function $\varphi(\sigma; t)$

Smooth and satisfying $\varphi(\pm\infty; t) = \varphi(\sigma; \infty) = 0$

$$\int_0^\infty dt \int_{-\infty}^\infty d\sigma \left[\frac{\partial M}{\partial t} + \frac{\partial F(M; t)}{\partial \sigma} \right] \varphi(\sigma; t) = 0$$



integration by parts

$$\int_{-\infty}^\infty d\sigma \left[(M\varphi)|_{t=0}^{t=\infty} - \int_0^\infty dt M \frac{\partial \varphi}{\partial t} \right] + \int_0^\infty dt \left[(\varphi F(M; t))|_{\sigma=-\infty}^{\sigma=\infty} - \int_{-\infty}^\infty d\sigma M \frac{\partial \varphi}{\partial \sigma} \right] = 0$$

Weak RG equation

$$\int_0^\infty dt \int_{-\infty}^\infty d\sigma \left[M \frac{\partial \varphi}{\partial t} + F(M; t) \frac{\partial \varphi}{\partial \sigma} \right] + \int_{-\infty}^\infty d\sigma M(\sigma; 0) \varphi(\sigma; 0) = 0$$

Its solution is called “weak solution”.

Characteristics

- RG equation of NJL model as PDE

$$\partial_t M(\sigma; t) + \partial_\sigma F(M; t) = 0$$

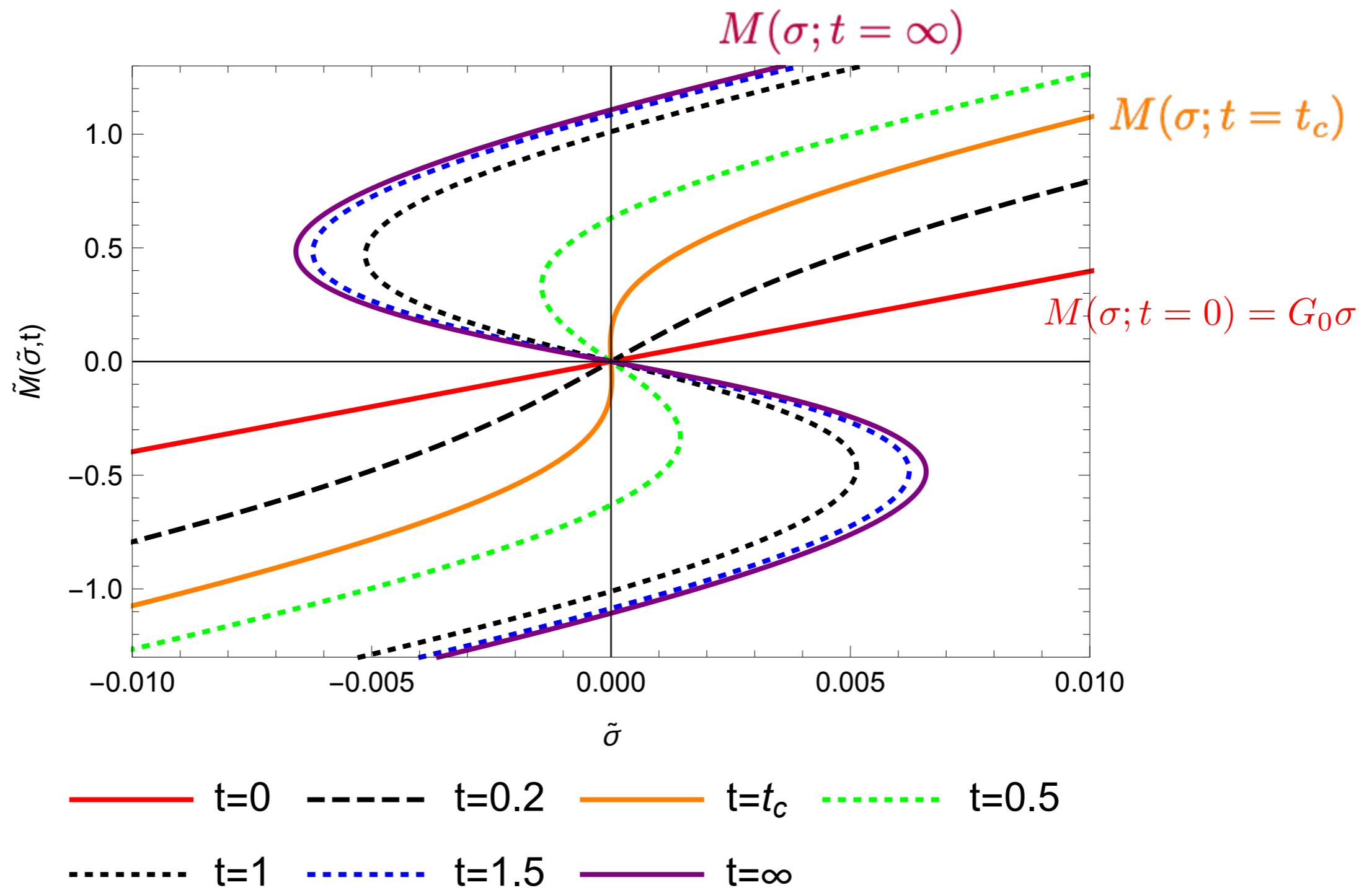
- Characteristic equation (coupled ODE)

$$\frac{d\sigma(s)}{ds} = \frac{\partial F}{\partial M}, \quad \frac{dt(s)}{ds} = 1, \quad \frac{dM(\sigma; s)}{ds} = 0$$



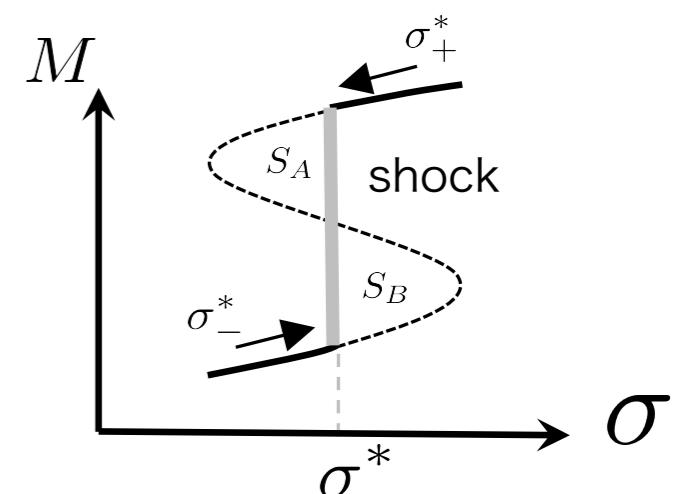
$$\frac{d\sigma(t)}{dt} = \frac{\partial F}{\partial M}, \quad \frac{dM(\sigma; t)}{dt} = 0$$

Mass function



How to uniquely determine the solution?

- After the critical scale, the mass function becomes multi-valued function.



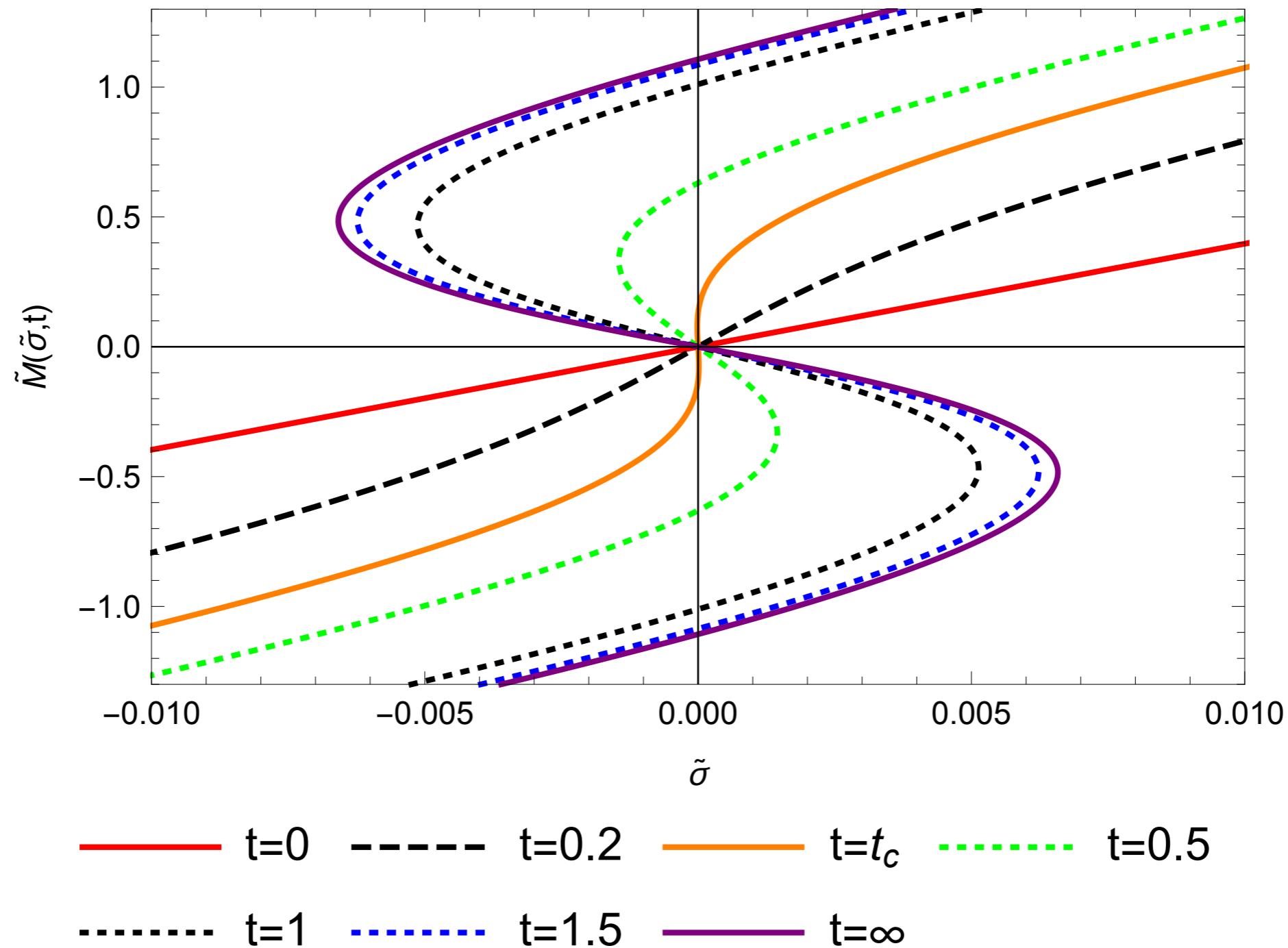
- To uniquely determine,
Rankine-Hugoniot condition:

$$\frac{d\sigma^*}{dt} = \frac{F(M(\sigma_+^*(t)) ; t) - F(M(\sigma_-^*(t)) ; t)}{M(\sigma_+^*(t) ; t) - M(\sigma_-^*(t) ; t)}$$

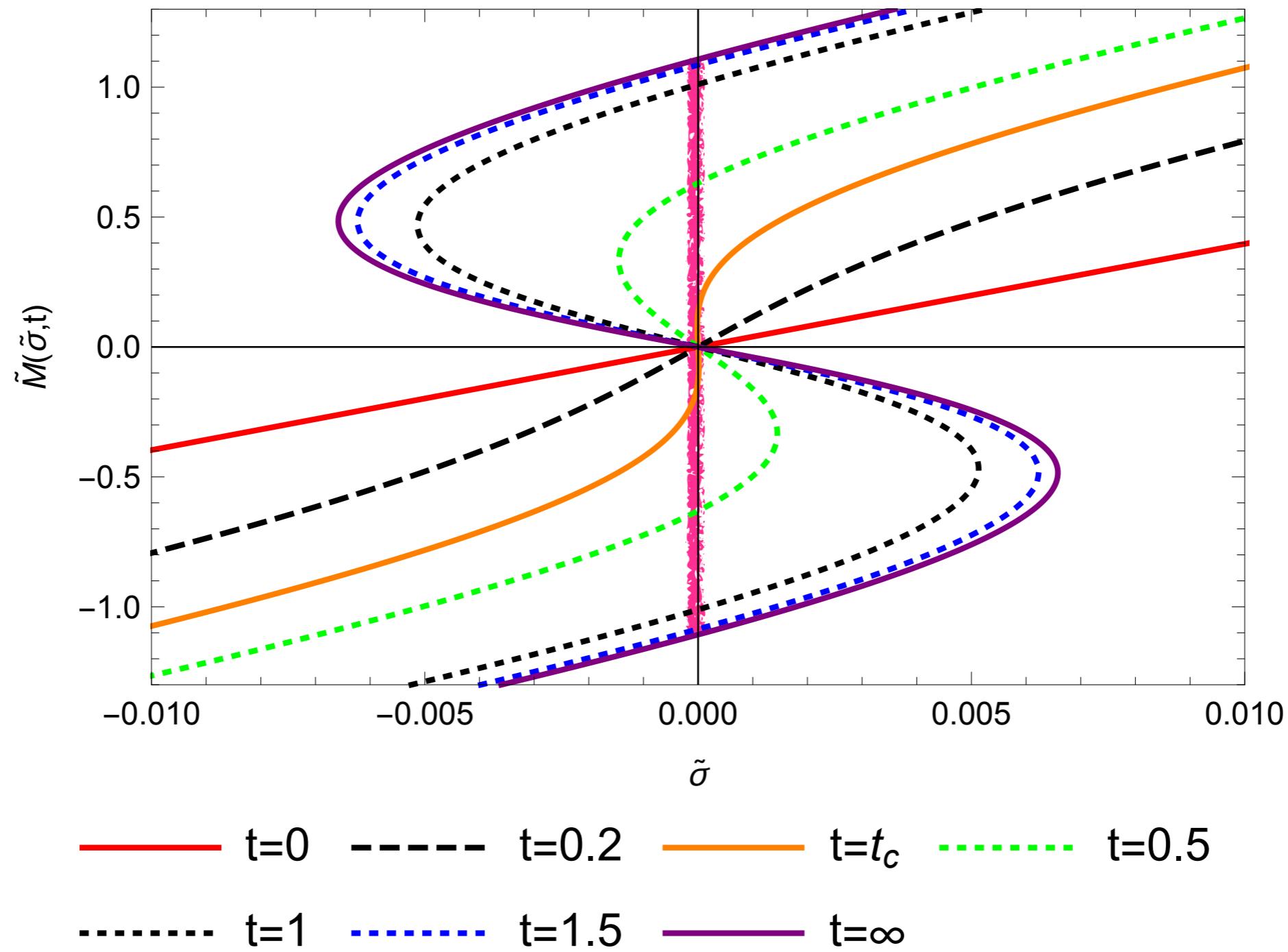
- Geometrically equal area law

$$S_A(t) - S_B(t) = \text{constant} = 0$$

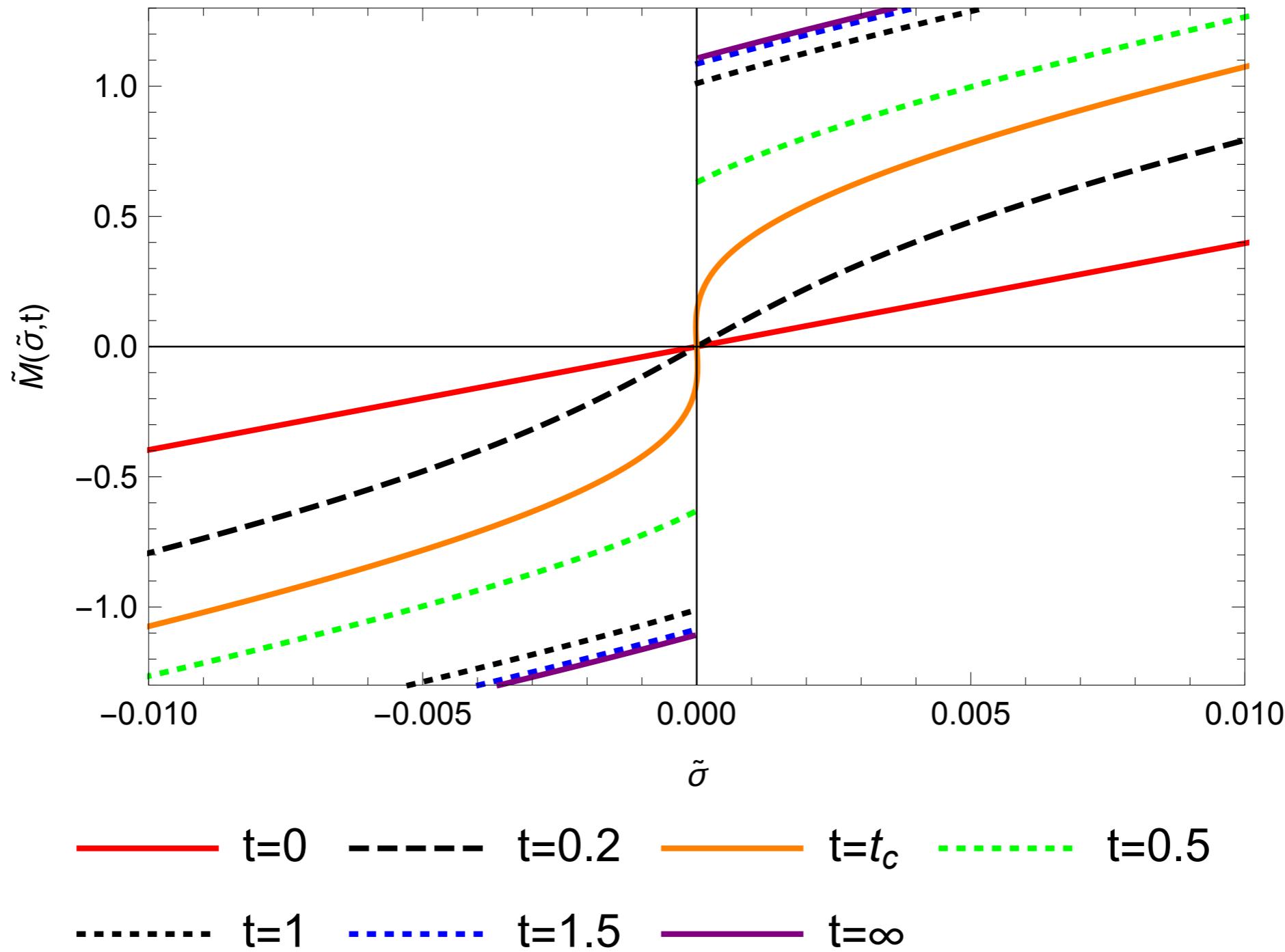
Mass function



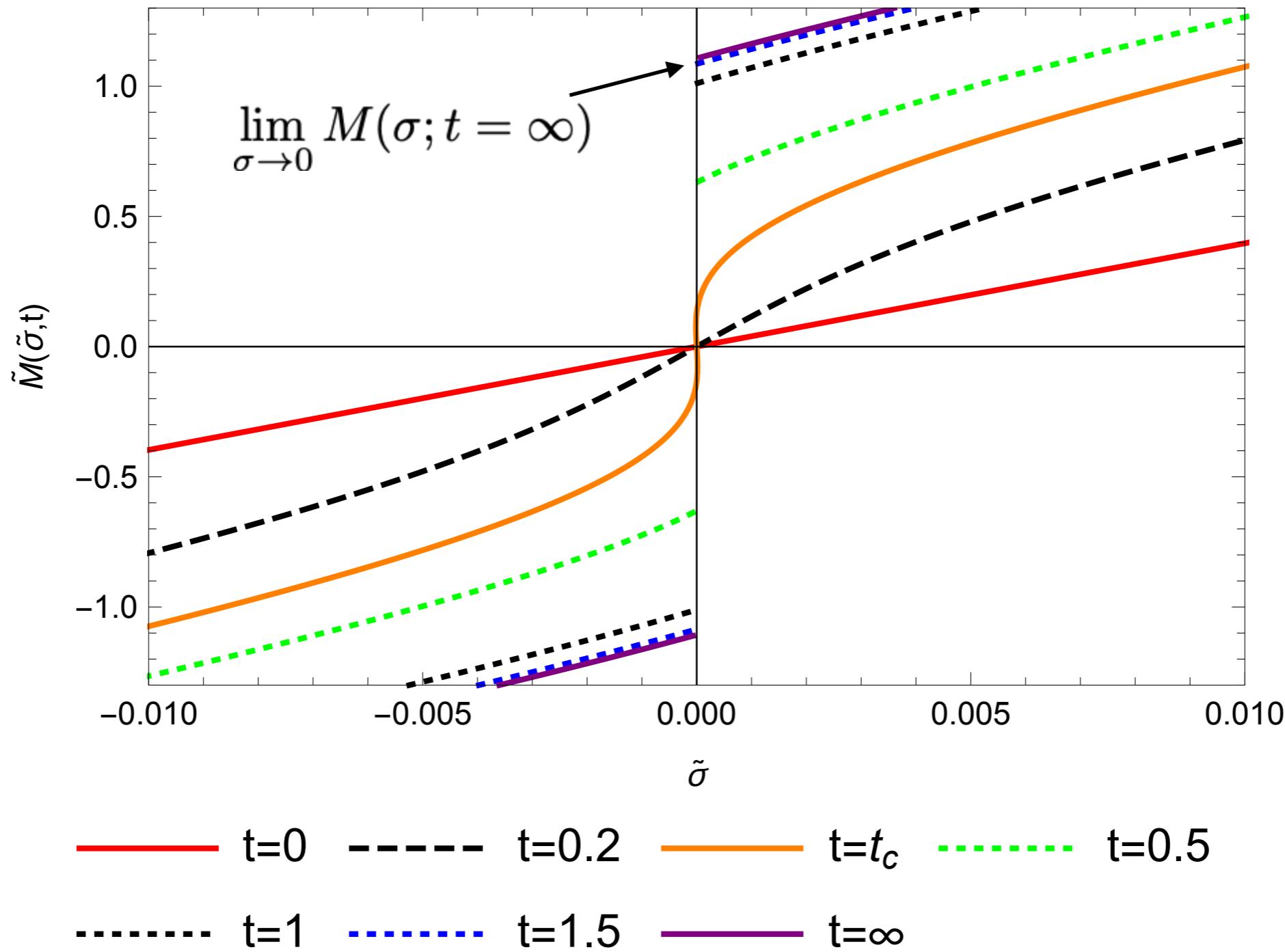
Mass function



Weak solution

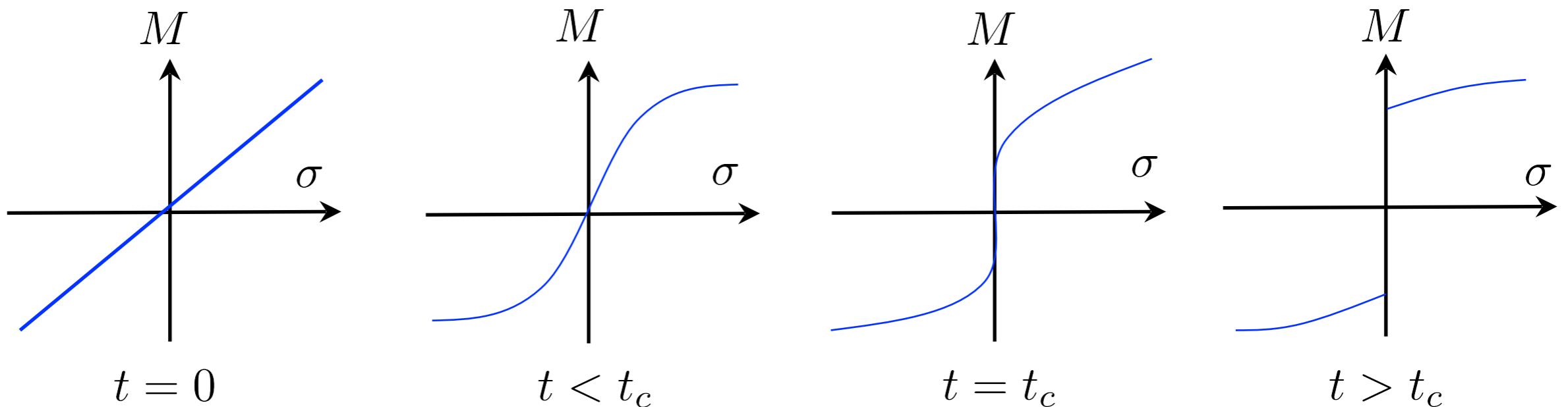


Weak solution



Evolution of RG flow

- Evolution of mass function

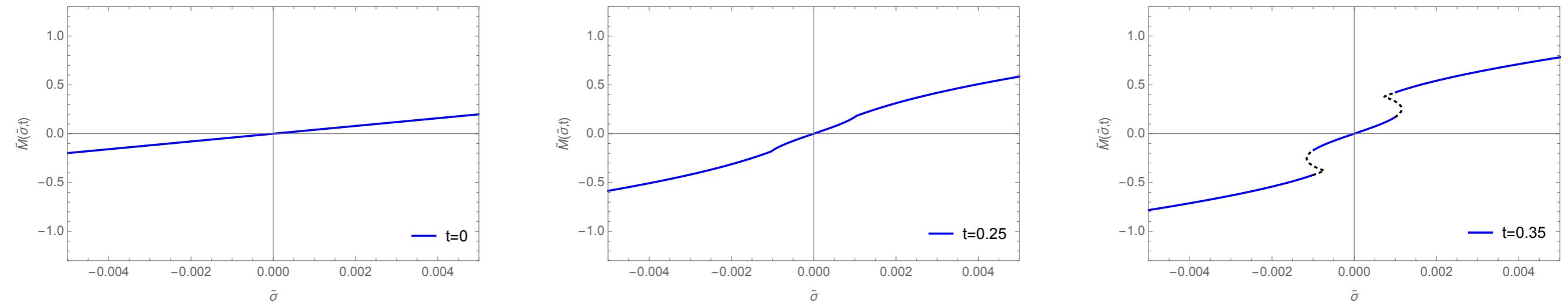


- At a scale the slope of the mass function becomes infinity.
- This corresponds to the second-order phase transition.

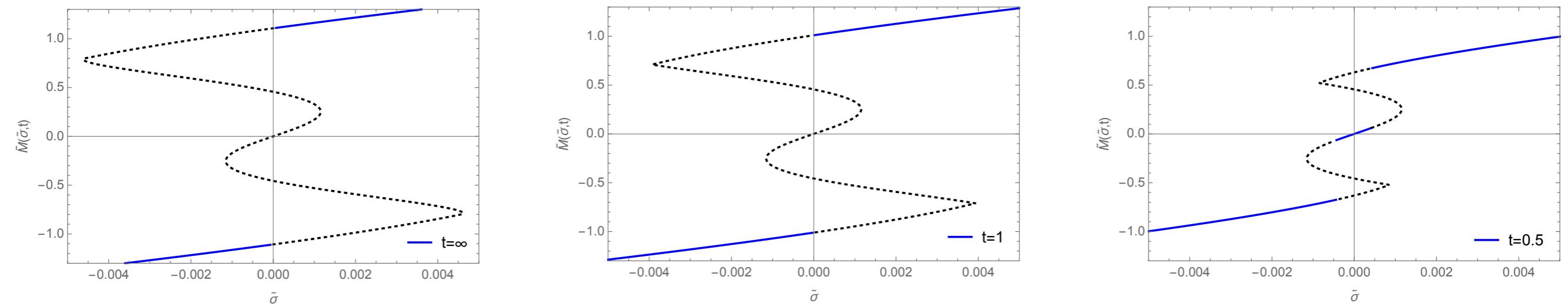
$$M(\sigma; t) = 2\pi^2 \tilde{G}(t) \sigma + \dots$$

In case of first-order phase transition

$k \rightarrow \Lambda$



$k \rightarrow 0$



Summary so far

- The Solutions for RG equations with singularities is defined as a weak solutions
- Characteristic method: PDE to coupled ODE.
- In 2nd-order PT, the “Shock” arises at the origin $\sigma=0$.
- In 1st-order PT, the shocks arise at $\sigma\neq0$.

Plan

1. Four-Fermi coupling diverges.
2. Functional renormalization group with weak solution (Weak renormalisation group)
3. Chiral phase diagram

Finite temperature and density

- Effective action

$$\Gamma_k = \int d^4x [\bar{\psi} \not{\partial} \psi - V(\sigma; t)]$$

$$\Gamma_k = \int_0^{1/\textcolor{red}{T}} d\tau \int d^3x [\bar{\psi} \not{\partial} \psi - V(\sigma; t) + \textcolor{blue}{\mu} \bar{\psi} \gamma^0 \psi]$$

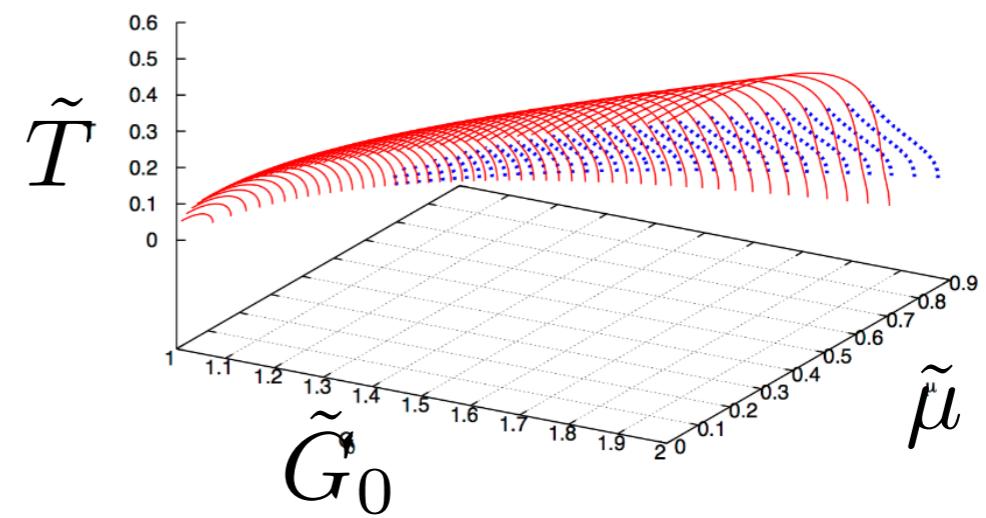
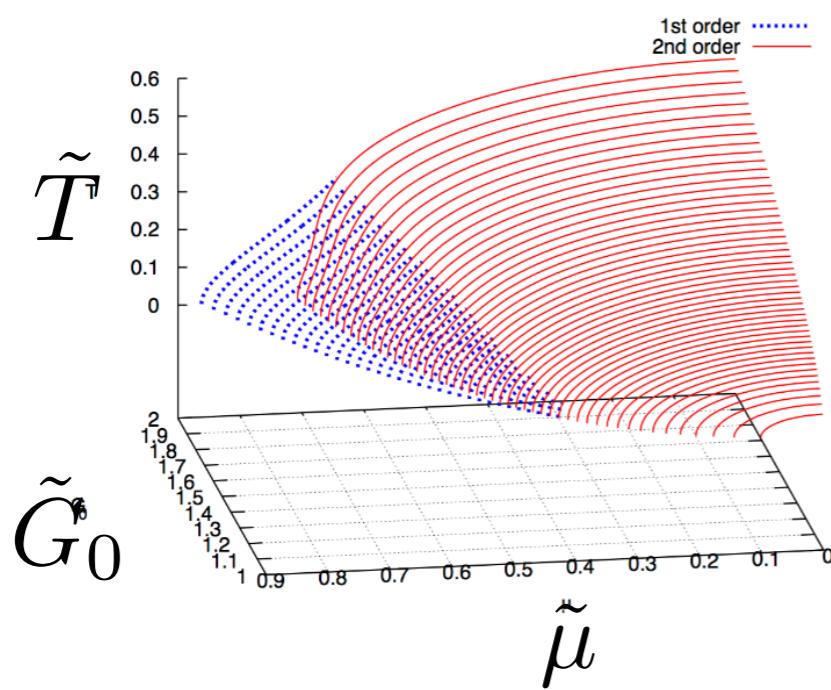
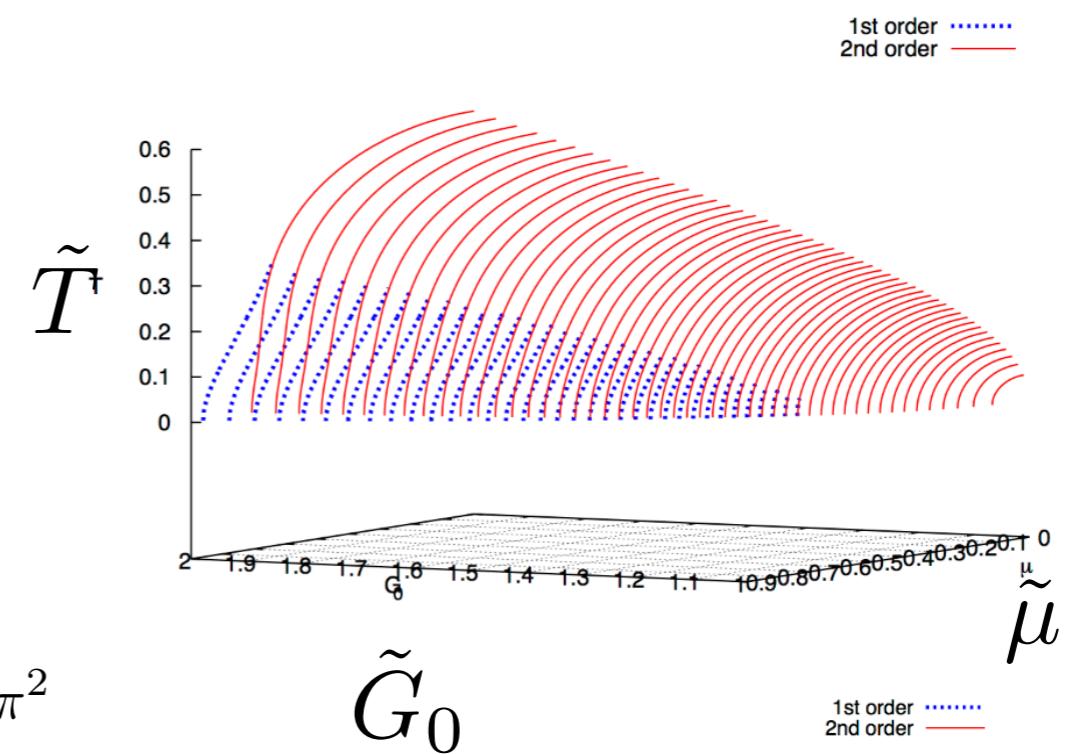
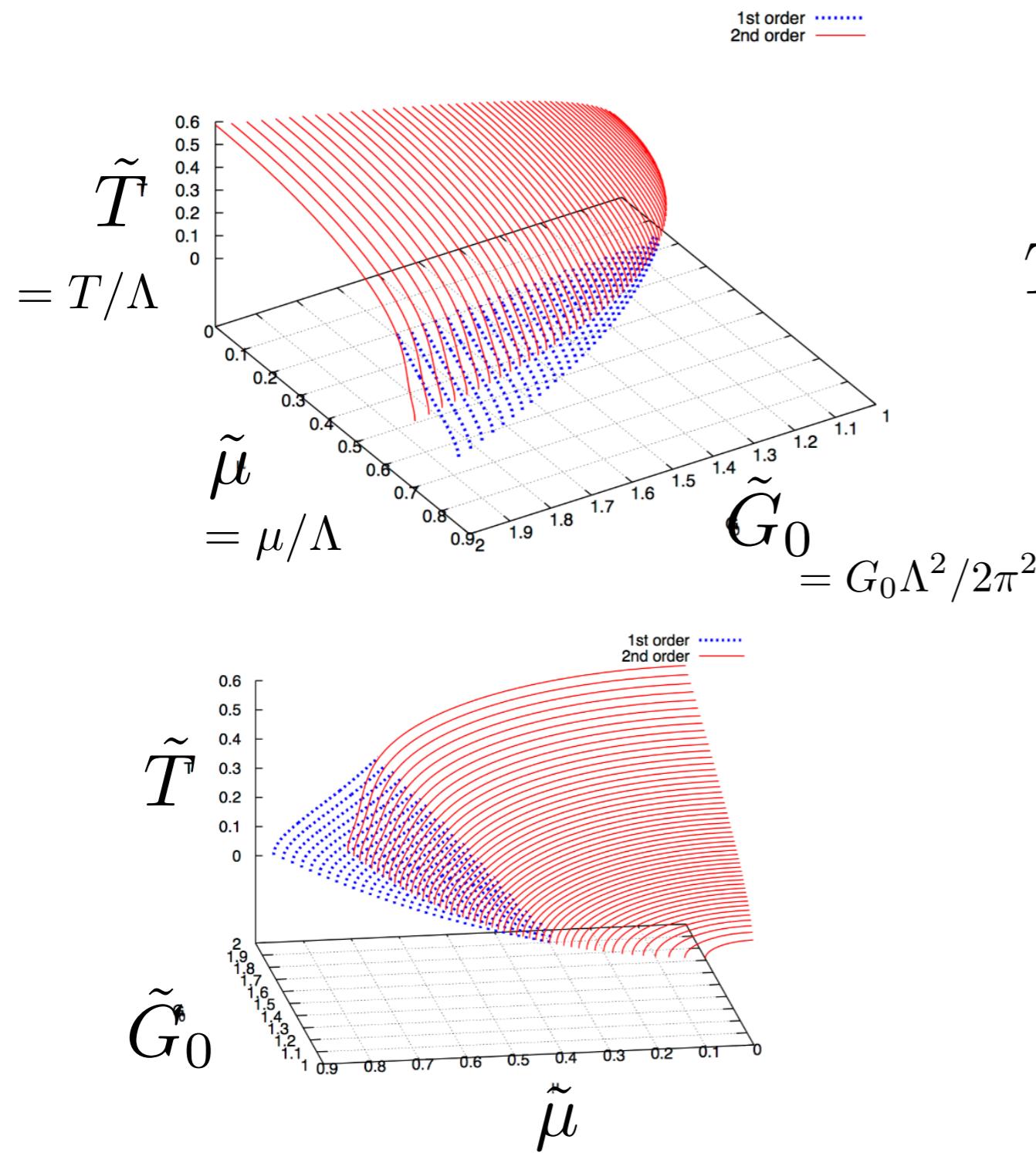
- Beta function

$$F(M; t)$$

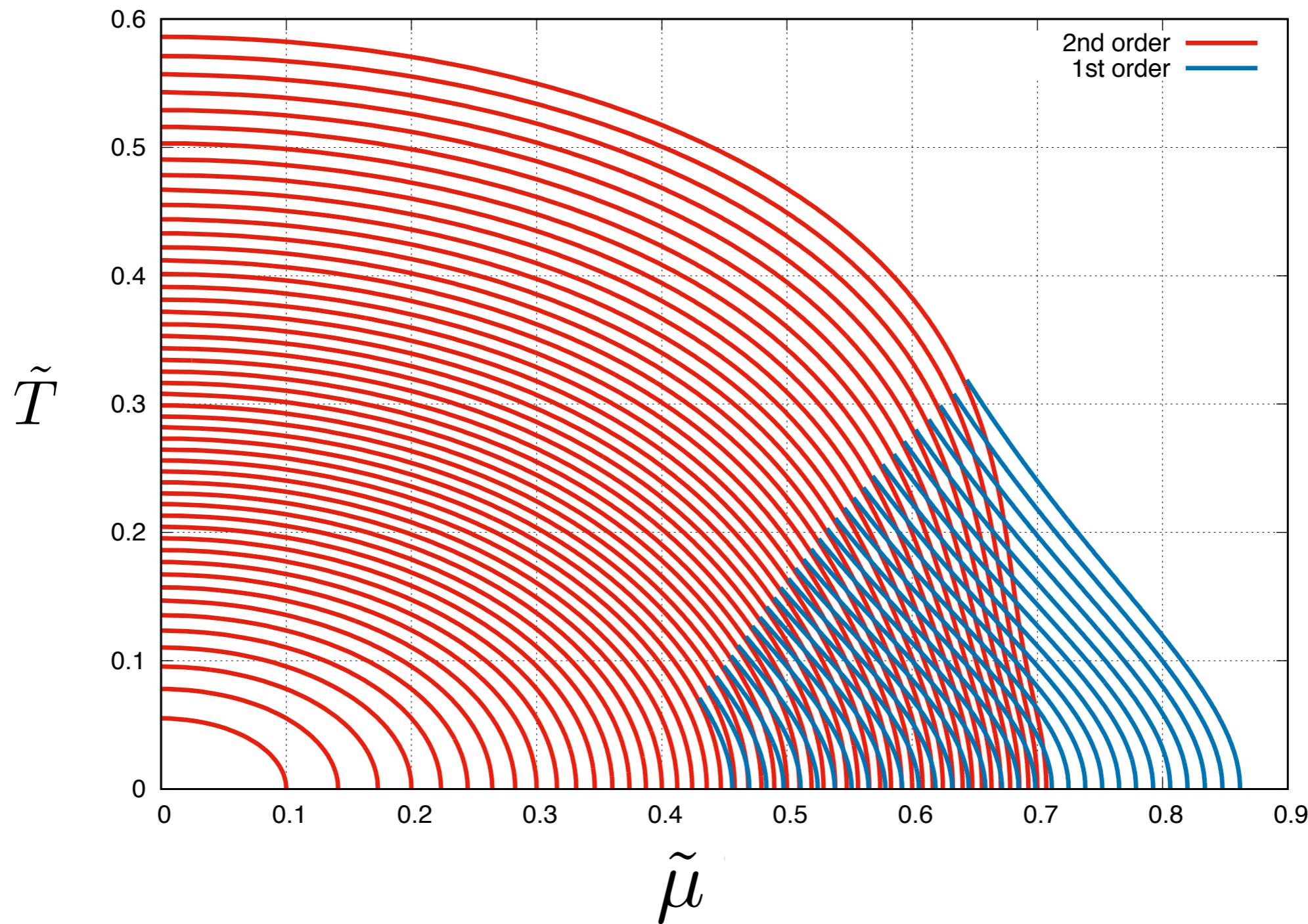
$$F(M; t; \textcolor{red}{T}, \textcolor{blue}{\mu})$$

- Parameters: G_0 , $\textcolor{red}{T}$, $\textcolor{blue}{\mu}$, Λ

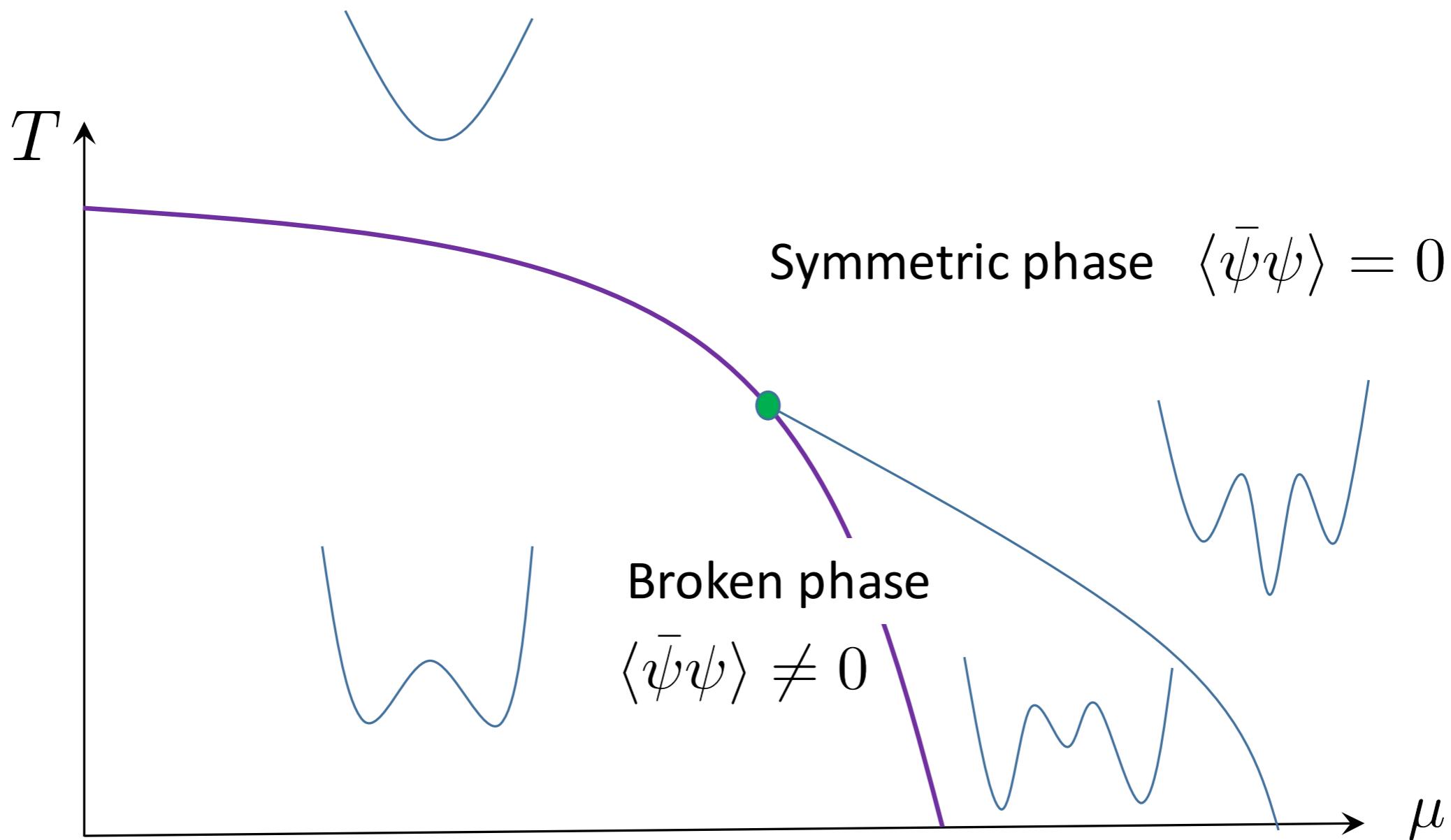
Phase diagram



Phase diagram on T - μ plane



Schematic figure of phase diagram

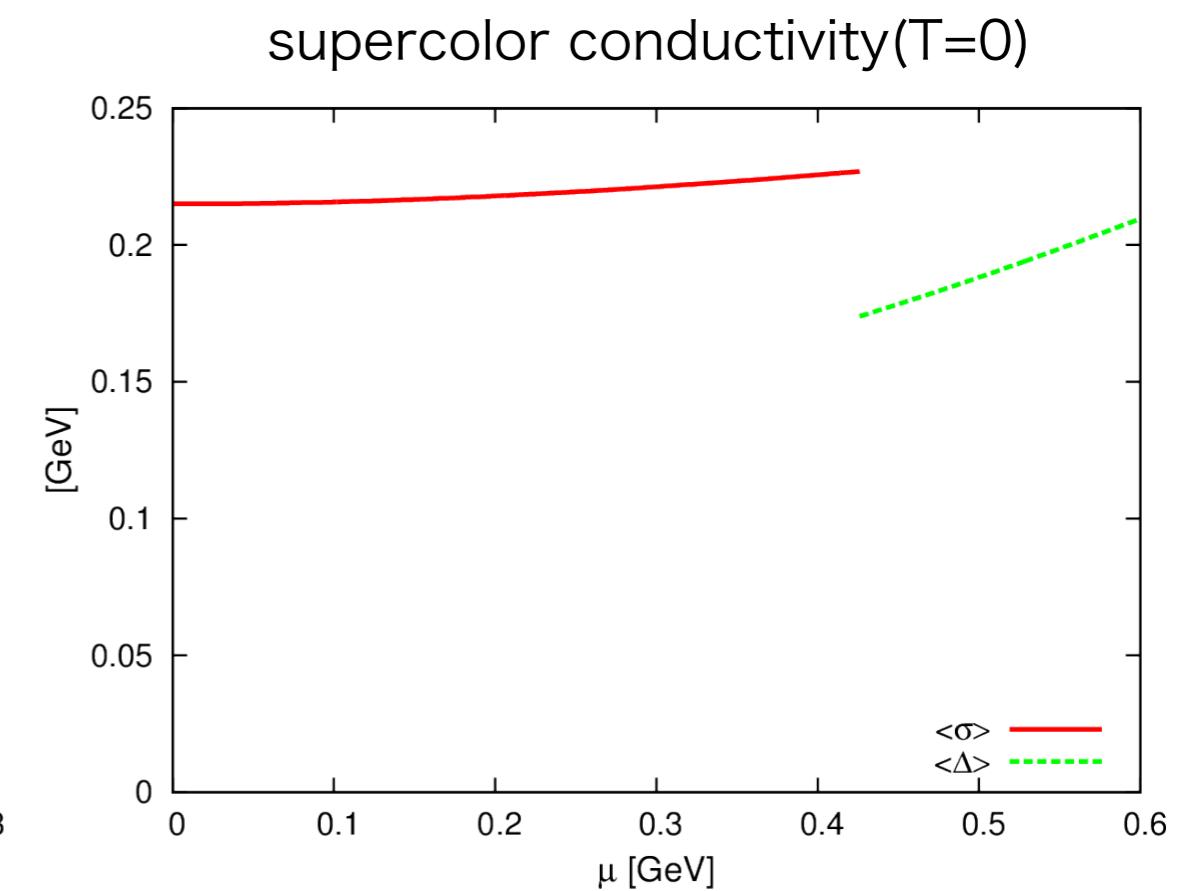
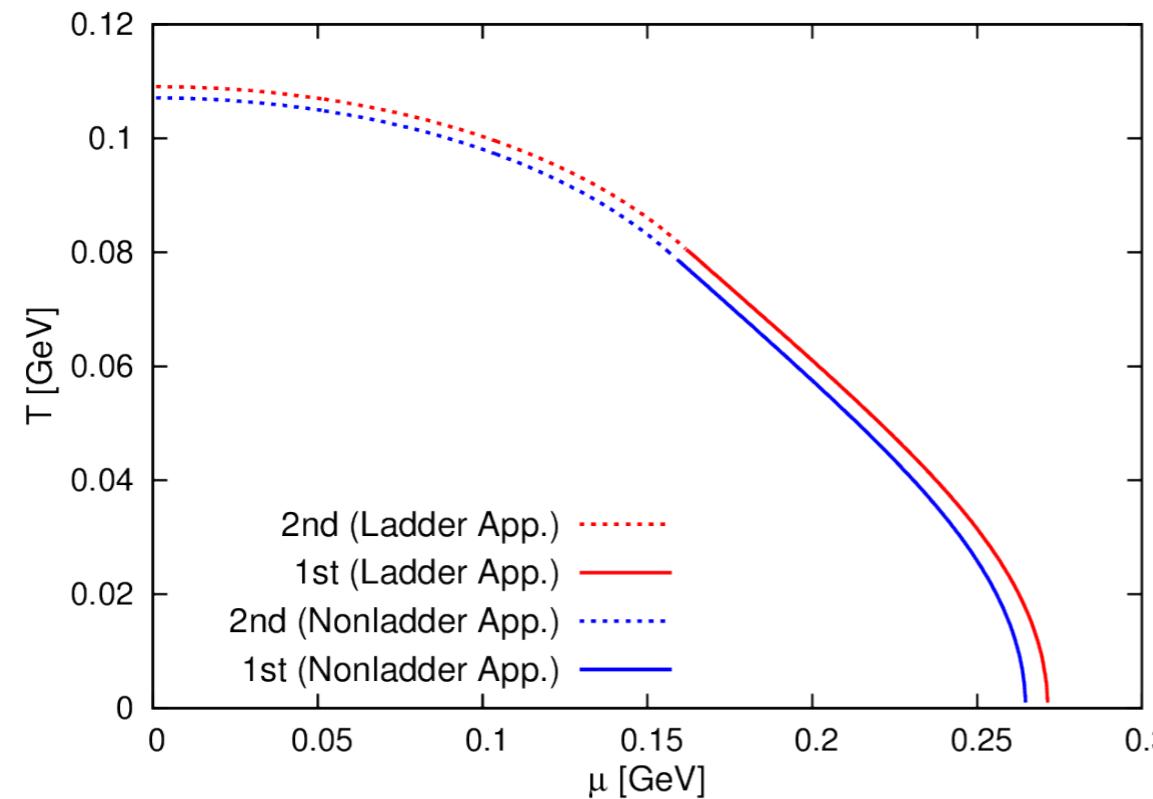


Summary

- Dynamical Chiral Symmetry Breaking with weak renormalization group
- Mathematically define the solution of RG equation with singularity.
- Phase diagram of NJL model

Prospects

- Phase diagram of QCD



- Improving the approximation
- Relationship with dynamical bosonization?

Improvement of approximation

- Introduce the test function $\varphi(\sigma; t)$

Smooth and satisfying $\varphi(\pm\infty; t) = \varphi(\sigma; \infty) = 0$

$$\int_0^\infty dt \int_{-\infty}^\infty d\sigma \left[\frac{\partial M}{\partial t} + \frac{\partial F(M; t)}{\partial \sigma} \right] \varphi(\sigma; t) = 0$$



integration by parts

$$\int_{-\infty}^\infty d\sigma \left[(M\varphi)|_{t=0}^{t=\infty} - \int_0^\infty dt M \frac{\partial \varphi}{\partial t} \right] + \int_0^\infty dt \left[(\varphi F(M; t))|_{\sigma=-\infty}^{\sigma=\infty} - \int_{-\infty}^\infty d\sigma M \frac{\partial \varphi}{\partial \sigma} \right] = 0$$

Weak RG equation

$$\int_0^\infty dt \int_{-\infty}^\infty d\sigma \left[M \frac{\partial \varphi}{\partial t} + F(M; t) \frac{\partial \varphi}{\partial \sigma} \right] + \int_{-\infty}^\infty d\sigma M(\sigma; 0) \varphi(\sigma; 0) = 0$$

When there is a higher derivative terms?

$F(M, \partial_\sigma M; t)$

Appendix

Characteristics

RG equation

$$\partial_t M(\sigma; t) + \partial_\sigma F(M; t) = 0$$


$$\begin{pmatrix} \partial_M F & 1 & 0 \end{pmatrix} \begin{pmatrix} \partial_\sigma M \\ \partial_t M \\ -1 \end{pmatrix} = 0 \quad \partial_\sigma F = (\partial_M F)(\partial_\sigma M)$$

total derivative: $dM = \partial_\sigma M d\sigma + \partial_t M dt$

$$(d\sigma \quad dt \quad dM) \begin{pmatrix} \partial_\sigma M \\ \partial_t M \\ -1 \end{pmatrix} = 0$$

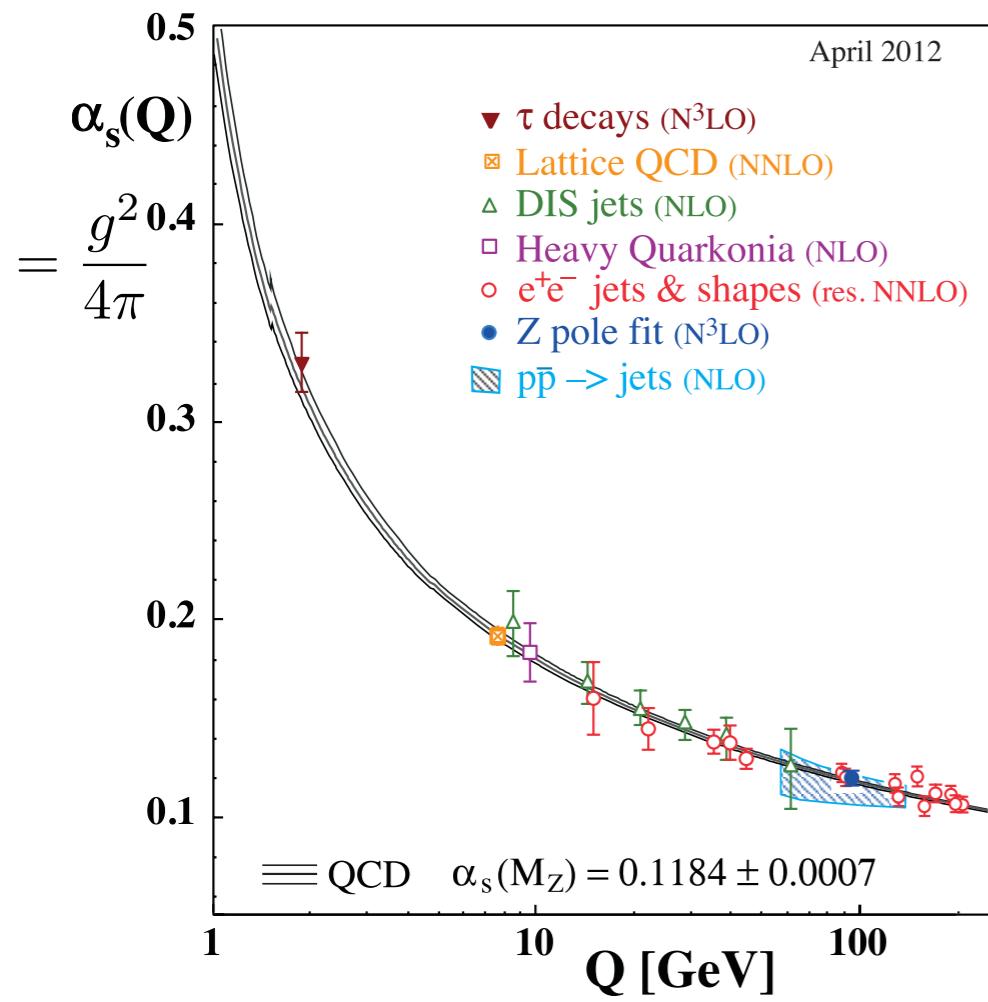
ds : constant of proportionality

$$\frac{d\sigma(s)}{ds} = \frac{\partial F}{\partial M}, \quad \frac{dt(s)}{ds} = 1, \quad \frac{dM(\sigma; s)}{ds} = 0$$

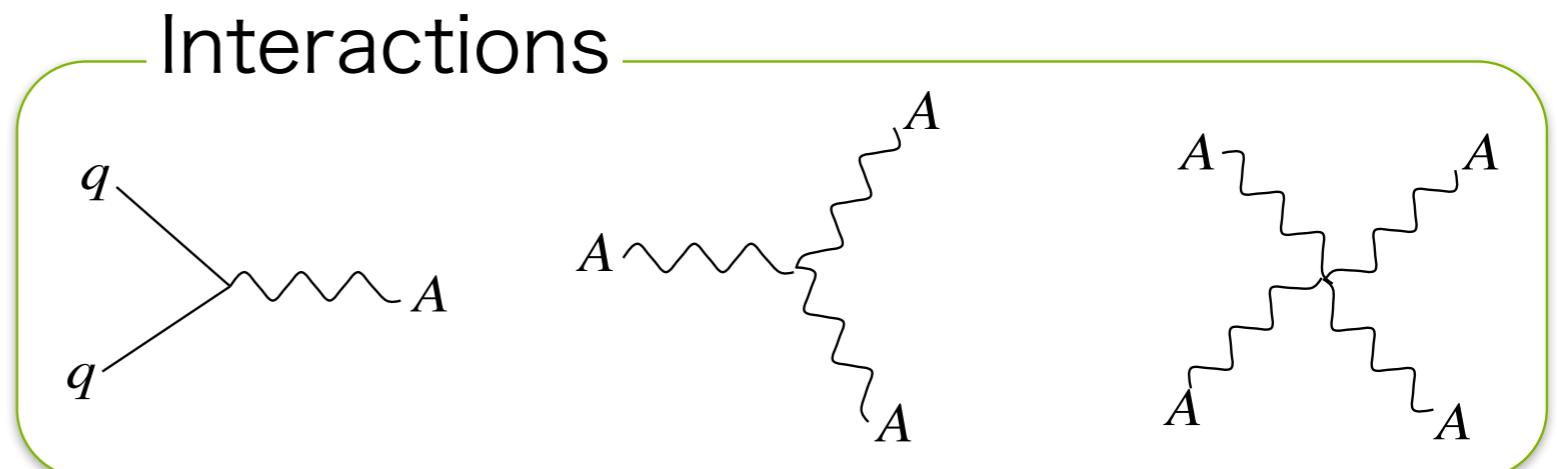
Quantum Chromodynamics (QCD)

- SU(3) non-Abelian gauge theory

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}G_{\mu\nu}^a G^{a\mu\nu} + \sum_{i=1}^{N_f} \bar{\psi}_{c,i} \left[i\gamma^\mu (\partial_\mu - ig A_\mu^a \frac{\lambda^a}{2})_{cd} - m_i \delta_{cd} \right] \psi_{d,i}$$

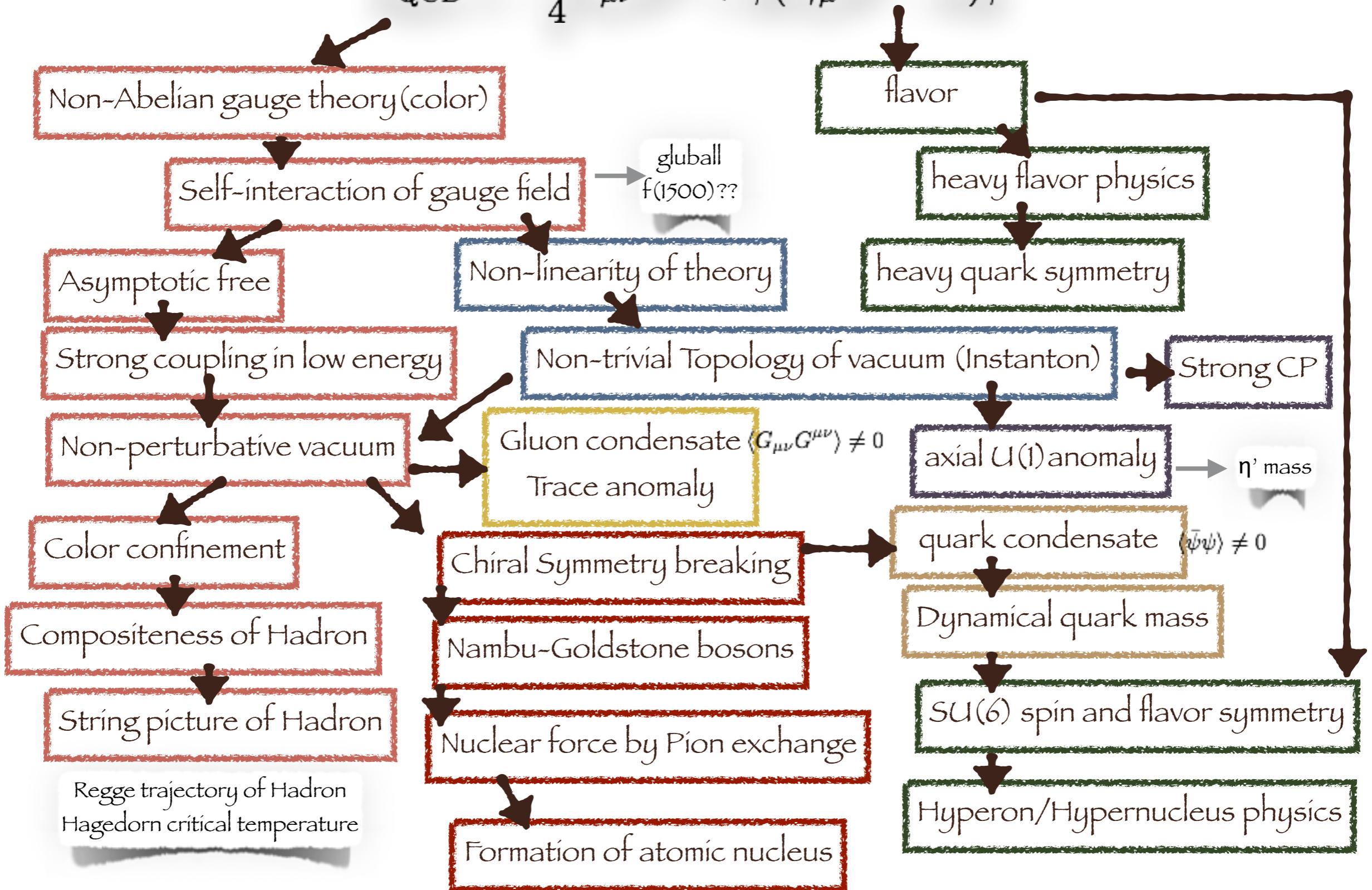


$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$



Physics from QCD

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}G_{\mu\nu}G^{\mu\nu} + \bar{\psi}(i\gamma_\mu D^\mu - m)\psi$$



Dynamical Chiral Symmetry Breaking (D χ SB)

- Origin of Hadron mass



- 99% of mass of matter comes from the D χ SB.

Notation

- The dimensionless scale t

$$k = \Lambda e^{-t} \quad \frac{d}{dt} = -k \frac{d}{dk}$$

- $k \rightarrow 0 \iff t \rightarrow \infty$

At finite density

