Neutrino masses and mixings as an evidence of GUT, and the impact to (flavor changing) nucleon decay Nobuhiro Maekawa (Nagoya Univ. KMI)

with Yu Muramatsu (KIAS) arXiv:1307.7529

- 1. Introduction&Summary1601.04789
- 2. Neutrino can be a signature of (SU(5)) GUT! An assumption can explain the various hierarchies in quark and lepton masses and mixings.
- 3. *E*46 GUT is attractive $U \downarrow e^3 \sim sin \theta \downarrow c, \delta \downarrow L \sim O(1)$ The assumption can be derived.
- 4. Nucleon decay is important! Branching ratios identify the unfication group.
- 5. Summary



Congratulations!



- On Nobel prize on physics in 2015
- To all researchers who are (and/or were) working on neutrino physics.



Neutrino masses and mixings are important

to understand the signature of SU(5) GUT.

The total quark and lepton masses and mixings can be an experimental signature for unification of matters in SU(5) GUT!

Introduction



Grand Unified Theories

- 2 Unifications
 - Gauge Interactions

 $SU(5) \supset SU(3)_C \times SU(2)_L \times U(1)_Y$ $SO(10) \supset SU(5)$ Matter

Experimental supports for both unifications

GUT is promising

Grand Unified Theories

 Unification of gauge interactions quantitative evidence:

Non SUSY

 $\begin{array}{c}
 a^{-1} \\
 a_{1} \\
 a_{2} \\
 a_{3}^{-1} \\
 b_{3} \\
 b$

SUSY GUT



• Unification of matters $(Y_u)_{ij} \mathbf{10}_i \mathbf{10}_j \mathbf{5}_H + (Y_d)_{ij} \mathbf{10}_i \mathbf{\overline{5}}_j \mathbf{\overline{5}}_H + (Y_v)_{ij} \mathbf{\overline{5}}_i \mathbf{\overline{5}}_j \mathbf{5}_H \mathbf{5}_H$ qualitative evidence:

 $10_i(Q_i)$ have stronger hierarchy than $\overline{5}_i(L)$

hierarchies of masses and mixings

lepton >>quark (in hierarchies for mixings)

ups >> downs, electrons >> neutrinos (in mass hierarchies)

Nucleon decay($P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0$) Superheavy gauge exchange(dimension 6) g12 /MIX12 ($uIR1c \gamma I\mu g (q \mu ref R1c)$ X(3,2),45/6 ulRtc* $M\downarrow X \sim 2 \times 10$ ft 6 <u>~10714</u> Ge∛ 40 30 $\rightarrow e^{\uparrow} + \pi^{\uparrow} 0) \leq 10^{129} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)) \sim 10^{10} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)) \sim 10^{10} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)) \sim 10^{10} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)) \sim 10^{10} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)) \sim 10^{10} \text{ yrs}_{4-6} \tau(P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0)$ $\tau \downarrow exp (P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0) > 10^{\uparrow} 34 \text{ yrs}$

Nucleon decay $(P \rightarrow \nu K \uparrow +)$ Triplet Higgs exchange $H \downarrow T (3, 1) \downarrow 1 / 3$ $y\downarrow d$ '↓U $l \uparrow * q$ /1* $y \downarrow u y \downarrow d / M \downarrow H \downarrow T \uparrow 2 q \uparrow c q q \uparrow c l$ $d12 \theta y \downarrow u y \downarrow d / M \downarrow H \downarrow T \uparrow qqql$

MIHIT

 $M \downarrow H \downarrow T > 10 \uparrow 12 \text{ GeV} \rightarrow 10 \uparrow 17 \text{ GeV}$

GUT predicts nucleon decay

No signal for proton decay in (Super)K "I killed GUT" by Koshiba "I gave up GUT" by Georgi







GUT predicts nucleon decay

No signal for proton decay in (Super)K

- " I killed GUT" by Koshiba
- "I gave up GUT" by Georgi

Neutrino masses and mixings



The total quark and lepton masses and mixings can be an experimental signature for unification of matters in SU(5) GUT!

2 events in signal region $(P \rightarrow \mu \hat{1} + \pi \hat{1})$

NNN15 by Ikeda

dimension 6!

 $\tau \downarrow SUSY (P \rightarrow e \uparrow + \pi \uparrow 0) \sim 10 \uparrow 36$

 $\tau(P \rightarrow \mu \uparrow + \pi \uparrow 0) \sim 10 \uparrow 34 \text{ yrs}$

They are consistent with BG expected to be 0.9 events

Results of $p \rightarrow \mu^+ \pi^0$

(analysis proceeds as with $e^+\pi^0$ with additional requirement of 1 Michel-e)

- 306.3 kton·yrs (SKI-IV) (220kt·yrs in PRD)
- signal ε(P_{tot}<250MeV/c): 30-40%
- total expected #BKG:
 - P_{tot}<100: ~0.05, 100≤P_{tot}<250: ~0.82
- no significant data excess



Summary in part

- ◆ Flavor changing nucleon decay(P→μ1+ π10)
 1, consistent with observed large neutrino mixings
 2, It suggests higher rank unification group SO(10),
- Enhanced nucleon decay via dim. 6 op., while suppressed via dim. 5 op.
 This situation is predicted in natural GUT (anomalous U(1) GUT) in 2001. N.M. hep-ph/0110276 N.M.- T.Yamashita hep-ph/0209217

We had studied nucleon decay via dim, 6 op.

Neutrino can be a signature of (SU(5)) GUT!

An assumption can explain the various hierarchies in quark and lepton masses and mixings.

Masses & Mixings and GUT



SU(5) SUSY GUT $10 = (q, u_R^c, e_R^c) \quad \bar{5} = (d_R^c, l)$ $1 = \nu_{R}^{c}$ $Y_u \mathbf{10_i 10_j 5_H} + Y_{(d,e)} \mathbf{10_i \overline{5}_j \overline{5}_{\overline{H}}} + Y_{\nu_D} \mathbf{\overline{5}_i 1_j 5_H} + M_{\nu_R} \mathbf{1_i 1_j}$ $\frac{Y_{\nu}}{M}\overline{\mathbf{5}}_{\mathbf{i}}\overline{\mathbf{5}}_{\mathbf{j}}\mathbf{5}_{H}\mathbf{5}_{H}$ $u \gg d, e \gg \nu$ 10_i have stronger hierarchy than $\overline{5}_i$ Stronger hierarchy leads to smaller mixings Quark mixings(CKM) << Lepton mixing(MNS) $10_{i}(q_{i})$ $\overline{\mathbf{5}}_{i}(l_{i})$

Mass hierarchy and mixings

Stronger hierarchy leads to smaller mixings



Stronger hierarchy - Smaller mixings



*E*46 Grand Unified Theory

Bando-N.M. 0109 N.M, T. Yamashita 0202

The assumption in SU(5) GUT $10\downarrow i$ have stronger hierarchy than $5\downarrow i$ can be derived.

Various Yukawa hierarchies can be induced from one Yukawa hierarchy in $E\downarrow 6$ GUT.



$$\begin{array}{ccc} E_{6} & \text{Unification} \\ \begin{array}{c} \text{Guisey-Ramond-Sikivie,} \\ \text{Aichiman-Stech, Shafi,} \\ \text{Barbieri-Nanopoulos,} \\$$

Milder hierarchy for $\overline{5}_i(l)$ Bando-N.M. 0109 N.M, T. Yamashita 0202

 $\bullet\,\overline{5}\,$ fields from 27_3 become superheavy.

- Light modes $(\overline{5}_1, \overline{5}_1, \overline{5}_2)$ have smaller Yukawa couplings and milder hierarchy than $(10_1, 10_2, 10_3)$
 - $Y_{\nu_D}, Y_d << Y_u$ •Larger mixings in lepton sector than in quark sector.
 - •Small $\tan\beta$

•Small neutrino Dirac masses } Suppressed radiative LFV



$$\begin{aligned} & \text{SO(10) GUT relations} \quad Y_{d} = Y_{e}^{T} = Y_{u} = Y_{\nu_{D}} \\ & \stackrel{10_{1}}{}_{Y_{u} \sim 10_{2}} \begin{pmatrix} \lambda^{6} & \lambda^{5} & \lambda^{3} \\ \lambda^{5} & \lambda^{4} & \lambda^{2} \\ \lambda^{3} & \lambda^{2} & 1 \end{pmatrix} \Big|_{\lambda^{2}}^{\lambda} Y_{\nu_{D}} \sim \frac{5_{1}}{5_{2}} \begin{pmatrix} \lambda^{6} & \lambda^{5} & \lambda^{3} \\ \lambda^{5.5} & \lambda^{4.5} & \lambda^{2.5} \\ \lambda^{5} & \lambda^{4} & \lambda^{2} \end{pmatrix} \Big|_{\lambda^{0.5}}^{\lambda_{0.5}} \\ & \frac{(\bar{5}_{1}, \bar{5}_{1}, \bar{5}_{2})}{10_{3}} \begin{pmatrix} \bar{5}_{1} & \bar{5}_{1} \\ \lambda^{5} & \lambda^{4.5} \\ \lambda^{0.5} & \lambda^{0.5} \end{pmatrix} \Big|_{\lambda}^{\lambda} \quad \lambda^{r} \equiv \frac{\langle 27_{C} \rangle}{\langle 27_{\Phi} \rangle} \sim \lambda^{0.5} \\ & Y_{d} \sim Y_{e}^{T} \sim \frac{10_{2}}{10_{2}} \begin{pmatrix} \lambda^{6} & \lambda^{5.5} \\ \lambda^{5} & \lambda^{4.5} \\ \lambda^{5} & \lambda^{4.5} \\ \lambda^{0.5} & \lambda^{0.5} \end{pmatrix} \Big|_{\lambda}^{\lambda}^{2} \quad \text{Small tan } \beta \\ & \text{Small } Y_{\nu_{D}} \\ & \text{Large } \mathcal{U} \ell^{3} \sim \lambda \quad \text{Confirmed in 2012!} \\ & V_{CKM} \sim \begin{pmatrix} 1 & \lambda & \lambda^{3} \\ \lambda & 1 & \lambda^{2} \\ \lambda^{3} & \lambda^{2} & 1 \end{pmatrix} \quad V_{MNS} \sim \begin{pmatrix} 1 & \lambda^{0.5} & \lambda \\ \lambda^{0.5} & 1 & \lambda^{0.5} \\ \lambda & \lambda^{0.5} & 1 \end{pmatrix} \end{aligned}$$

Right-handed neutrinos

$$W = \frac{Y^{XY}}{\Lambda} 27_i 27_j \langle \overline{27}_X \rangle \langle \overline{27}_Y \rangle$$

$$X, Y = \overline{H}, \overline{C}$$

$$M_R = Y^{XY} \frac{\langle \overline{27}_X \rangle \langle \overline{27}_Y \rangle}{\Lambda}$$

• The same hierarchy $Y^{XY} \sim Y^H \sim Y^C$

$$\begin{split} M_{\nu} &= Y_{\nu_D} M_R^{-1} Y_{\nu_D}^T \sim \begin{pmatrix} \lambda^2 & \lambda^{1.5} & \lambda \\ \lambda^{1.5} & \lambda & \lambda^{0.5} \\ \lambda & \lambda^{0.5} & 1 \end{pmatrix} \frac{\langle H_u \rangle^2 \Lambda}{\langle 1_H \rangle^2} \\ \frac{\Delta m_{solar}^2}{\Delta m_{atm}^2} \sim \frac{m_{\nu_{\mu}}^2}{m_{\nu_{\tau}}^2} \sim \lambda^2 \end{split}$$
 LMA for solar neutrino problem

1st Summary

- 1, Quark and lepton masses and mixings can be a qualitative evidence of GUT.
- "10 i induce stronger hierarchy in Yukawa than 5 i "
- **2**, Diagonalising matrices are fixed as $V \downarrow 10 \sim V \downarrow CKM$, $V \downarrow 5 \sim V \downarrow MNS$
- 3, The assumption in SU(5) can be derived in $E \downarrow 6$. One basic Yukawa hierarchy $Y \sim Y_u$ The other Yukawa hierarchies $Y_u \sim Y_u \sim Y_u$
- **4**, $(\bar{5}_1, \bar{5}_1, \bar{5}_2)$ is important.
- 5, Large $U \downarrow e^3 \sim \lambda$ $U \downarrow e^3 f \exp \sim 0.15$ by T2K, DayaBay, RENO
- 6 Large $\delta I \sim O(1)$

Family symmetry SU(3)↓F(CUC) ↓F N.M. 0212, 0402 Ishiduki-Kim-N.M.-Sakurai 0901, 0910 Kawase-N.M. 1005 N.M.-Takayama 1202

*E*¹⁶ GUT can obtain realistic Yukawa structures so naturally that we can obtain an *E*¹⁶ GUT in which all three generation quark and leptons can be unified into a single (or two) field(s) by introducing family symmetry. By breaking the family symmetry, realistic quark and lepton masses and mixings can be obtained. Spontaneous CP violation solves SUSY CP problem with O(1) KM phase and O(1) neutrino phase(thermal leptogenesis is possible) Peculiar sfermion mass spectrum is predicted. $m\downarrow3 = m\downarrow\tau\downarrow R = m\downarrowt\downarrow R = m\downarrow$ $12 = (\blacksquare m 12 \&.\&.@.\&m 12 \&.@.\&.\&m 12)$ Ψ↓a (27,2)∋10↓1,10↓2,5↓1,5 12,5 ↓3 Ψ↓3 (27,1)∋10↓3

Effective (Natural) SUSY type mass spectrum if $m\downarrow 3 \ll m$.

Nucleon decay

Nucleon decay($\Lambda \downarrow G \sim 2 \times 10716$ GeV)

*g↓U 1*2 */M↓X1*2 *qqql*(dim. 6 op.) gauge int. (*X,Y*)

main decay mode $\tau \downarrow exp \ (p \rightarrow e\pi) > 10734$ years



Why nucleon decay via dim. 6 operators?

 Nucleon decay via dim. 5 operators depends on models strongly (and on solutions for finetuning problem in Higgs)



 In Natural (Anomalous U(1)) GUT, nucleon decay via dim. 5 op. is suppressed, but that via dim. 6 op. is enhanced.

Typically $\tau(P \rightarrow e\pi) < 10735$ years

 Nucleon decay via dim. 6(gauge int.)
 Predictions depends on Yukawa couplings. Decay via weak force depends on CKM mixings. CKM mixings can be fixed by Yukawa couplings. Predictions depends on explicit models.

• We have reached to a picture for Yukawas. "10 \downarrow i induce stronger Yukawa hierarchy than 5 $\downarrow i$ "

 $V \downarrow 10 \sim V \downarrow CKM$, $V \downarrow 5 \sim V \downarrow MNS \rightarrow$ Predictions are possible

In *E*\$\lambda , light modes (5 \$\lambda 1, 5 \$\lambda 1, 5 \$\lambda 2 \rangle) 16\lambda i = 10$\lambda i + 5 $\lambda i + 1$\lambda i \text{ 10}$ $\lambda i = 5 $\lambda i + 5$\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ $\lambda i + 5$ $\lambda i \text{ 10}$ $\lambda i = 5$ λ

Nucleon decay via dim. 6 op. gggl. Quantum # of superheavy gauge boson? $10 = q(3,2) \downarrow 1/6 + u \downarrow R \uparrow c (3,1) \downarrow -2/3 + e \downarrow R \uparrow c$ (1,1)/1 $\mathbf{5} = d \downarrow R \uparrow c \ (3, 1) \downarrow 1/3 + l(1, 2) \downarrow \downarrow /2 \land$ q $24 = G(8,1) \downarrow 0 + W(1,3) \downarrow 0 + B(1,1) \downarrow 0$ +X(3,2)/5/6 +X(3,2)/-5/6 $X(3,2) \downarrow 5/6$ $u\downarrow R\uparrow c*$ 45=24+10+10+1 $d\downarrow R\uparrow c*$ ΧŶ (3,2)/-1/678=45+16+16+1 10*\i*1 $45 \ni X$

GUT model identification by nucleon decay

N.M.-Muramatsu 13



N.M.-Muramatsu 1307



Flavor changing neucleon decay N.M.-Muramatsu 1601

Other modes for identification of group. V↓10 ~V↓CKM, V↓5 ~V↓MNS → FCND FCND occurrs easier in larger rank group. P→μπ, eK, etc.

2 events in signal region ($P \rightarrow \mu \pi$)

They are consistent with BG expected to be 0.9 events

Results of $p \rightarrow \mu^+ \pi^0$

NNN15 by Ikeda

(analysis proceeds as with $e^+\pi^0$ with additional requirement of 1 Michel-e)

- 306.3 kton·yrs (SKI-IV) (220kt·yrs in PRD)
- signal ε(P_{tot}<250MeV/c): 30-40%
- total expected #BKG:
 - P_{tot}<100: ~0.05, 100≤P_{tot}<250: ~0.82
- no significant data excess



Can $P \rightarrow \mu \pi$ be main decay mode?

N.M.-Muramatsu 1601



If the events are real signal, larger rank unification group is preferable! Larger $\Gamma(P \rightarrow \mu \hat{\tau} + \pi \hat{\tau} 0)$ leads to larger $\Gamma(N \rightarrow \nu \pi \hat{\tau} 0)$.

Which mode is discovered next?

N.M.-Muramatsu 1601

•
$$P \rightarrow e^{\uparrow} + \pi^{\uparrow} 0$$
, $N \rightarrow \nu \pi^{\uparrow} 0$, $P \rightarrow e^{\uparrow} + K^{\uparrow} 0$, $P \rightarrow \mu$
 $\uparrow + K^{\uparrow} 0$

• $P \rightarrow e\hat{i} + \pi\hat{i}0$ must be next, although $N \rightarrow v$ $\pi\hat{i}0$ can be larger than $P \rightarrow e\hat{i} + \pi\hat{i}0$



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We should know FCND before signal! N.M.-Muramatsu 1307



Summary

- Quark and lepton masses and mixings can be a qualitative signature for unification of matters in SU(5)
- An assumption " $10 \downarrow i$ induce stronger hierarchy than $5 \downarrow i$ "
- (Neutrino experiments play an important role)
- *E* \downarrow 6 GUT explains assumption large $U \downarrow e^3 \sim \lambda \leftrightarrow 0.15$, $\delta \downarrow L \sim O(1)$

 $(5 \ 1, 5 \ 1, 5 \ 2)$ $16 \ i = 10 \ i + 5 \ i + 1 \ i$ $10 \ i = 5 \ i + 5 \ i$

(10 1, 10 2, 10 3) Possible in SO(10) if 10 matter is included

We understand Yukawa couplings in SU(5), SO(10), $E\downarrow 6$ GUT Diagonalizing matrices are fixed as $V\downarrow 10 \sim V\downarrow CKM$, $V\downarrow 5 \sim U\downarrow MMC$

Summary

- "10 i induce stronger hierarchy than 5 i"
- An assumption in SU(5)
- A result in E6 (or SO(10))
- *E* \downarrow 6 GUT explains assumption large $U \downarrow e^3 \sim \lambda \leftrightarrow 0.15$, $\delta \downarrow L \sim O(1)$

 $(5 \ 1, 5 \ 1, 5 \ 2)$ $16 \ i = 10 \ i + 5 \ i + 1 \ i$ $10 \ i = 5 \ i + 5 \ i$

(10 1, 10 2, 10 3) Possible in SO(10) if 10 matter is included

Diagonalizing matrices are fixed as $V\downarrow 10 \sim V\downarrow CKM$, $V\downarrow 5 \sim V\downarrow MNS$

It reduces the ambiguities for prediction of nucleon decay.

We calculated various partial decay widths for nucleon decay

Thermal leptogenesis

- Right-handed neutrino decay produces lepton number
- Basically the abundance is fixed by

 $K = \Gamma \downarrow D / H \qquad \epsilon = \Gamma (N \downarrow 1 \rightarrow l + H \downarrow u) - \Gamma (N \downarrow 1 \rightarrow l + H \downarrow u) - \Gamma (N \downarrow 1 \rightarrow l + H \downarrow u) - \Gamma (N \downarrow 1 \rightarrow l + H \downarrow u) - \Gamma (N \downarrow 1 \rightarrow l + H \downarrow u) + H \downarrow u \uparrow + H \downarrow u \downarrow + H \downarrow + H \downarrow + H$

• For sufficient leptogenesis $K \sim 1$ $\epsilon \sim 10 \uparrow -7$



Thermal replogenesis in $L \downarrow 0$ GUT_{Ishihara-N.M.-Takegawa-Yamanaka 1508}

• $Y \downarrow \nu \downarrow D$, $M \downarrow \nu \downarrow R$ are determined by the symmetry in natural GUT.

 $(M \downarrow 1 \sim 6 \times 10 \uparrow 7 \text{ GeV}$ This is below the Ibarra's lower bound)

Three important observations

1, $K \propto M \downarrow 1 \uparrow -1$, $\epsilon \propto M \downarrow 1$

 \Rightarrow Enhancement of $M \downarrow 1$ may improve it.

2, The enhancement can be expected.

We have a plenty of RH neutrino mass terms which are fixed by the symmetry. (N terms lead to \sqrt{N} enhancement) • The surplus are surplus and the surplus the surplus

